

# Electroweak Phase Transition and Sphaleron

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ACFI Workshop:  
Making the Electroweak Phase Transition (Theoretically) Strong

@Umass-Amherst

# Outline

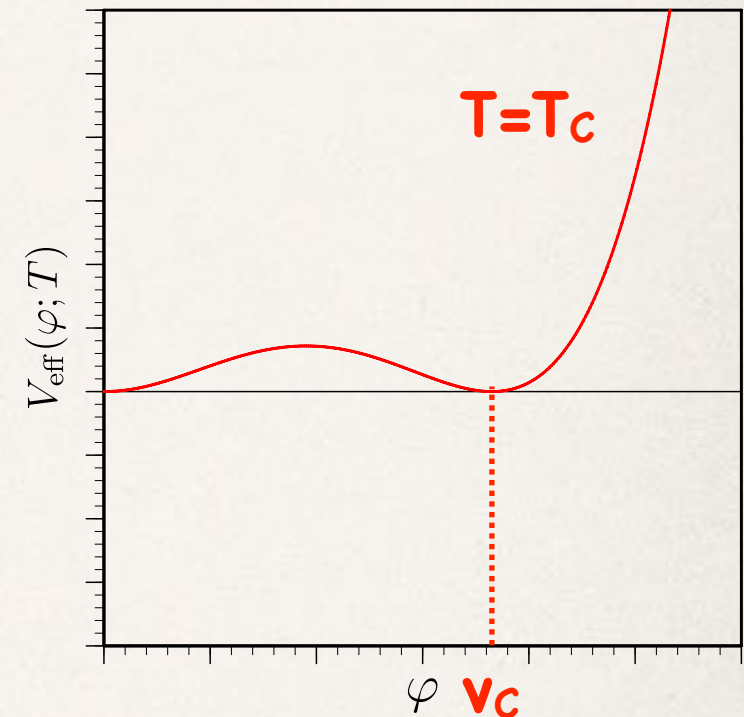
- **part1:** EWPT and sphaleron in a complex-extended SM (cxSM)  
CW Chiang, M. Ramsey-Musolf, E.S., in progress
- **part2:** Band structure effect on sphaleron rate at high-T.  
K. Funakubo, K. Fuyuto, E.S., arXiv:1612.05431

# Introduction

- Standard perturbative treatment of EWPT is **gauge-dependent**.

$T_c$ :  $T$  at which  $V_{\text{eff}}$  has degenerate minima

$v_c$ : minimum of  $V_{\text{eff}}$  at  $T_c$



- **Gauge-independent methods:**

(1)  $v_c$  and  $T_c$  are determined by  $V^{\text{high-}T}(\varphi_i; T) = V_0(\varphi_i) + \frac{1}{2} \Sigma_i(T) \varphi_i^2$

(2) Patel-Ramsey-Musolf (PRM) scheme [JHEP07(2011)029]

$v_c$  and  $T_c$  are determined separately.

**We analyze EWPT and sphaleron in the cxSM using 2 methods.**



# SM with a complex scalar (cxSM)

H: SU(2)-doublet Higgs, S: SU(2)-singlet Higgs

$$\begin{aligned} V_0(H, S) &= \frac{m^2}{2} H^\dagger H + \frac{\lambda}{4} (H^\dagger H)^2 + \frac{\delta_2}{2} H^\dagger H |S|^2 + \frac{b_2}{2} |S|^2 + \frac{d_2}{4} |S|^4 \\ &\quad + \left[ a_1 S + \frac{b_1}{4} S^2 + \text{h.c.} \right] . \end{aligned}$$

We assume all parameters are real.  $m^2, \lambda, \delta_2, b_2, d_2, a_1, b_1$

$$H(x) = \begin{pmatrix} G^+(x) \\ \frac{1}{\sqrt{2}} (v_0 + h(x) + iG^0(x)) \end{pmatrix} ,$$

$$S(x) = \frac{1}{\sqrt{2}} (v_{S0} + S(x) + iA(x)) ,$$



$$v_0, v_{S0}, m_{H_1}, m_{H_2}, \alpha, m_A, a_1$$

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$246\text{GeV}$

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$\boxed{v_0}, v_{S0}, \boxed{m_{H_1}}, m_{H_2}, \alpha, m_A, a_1$   
246GeV      125GeV



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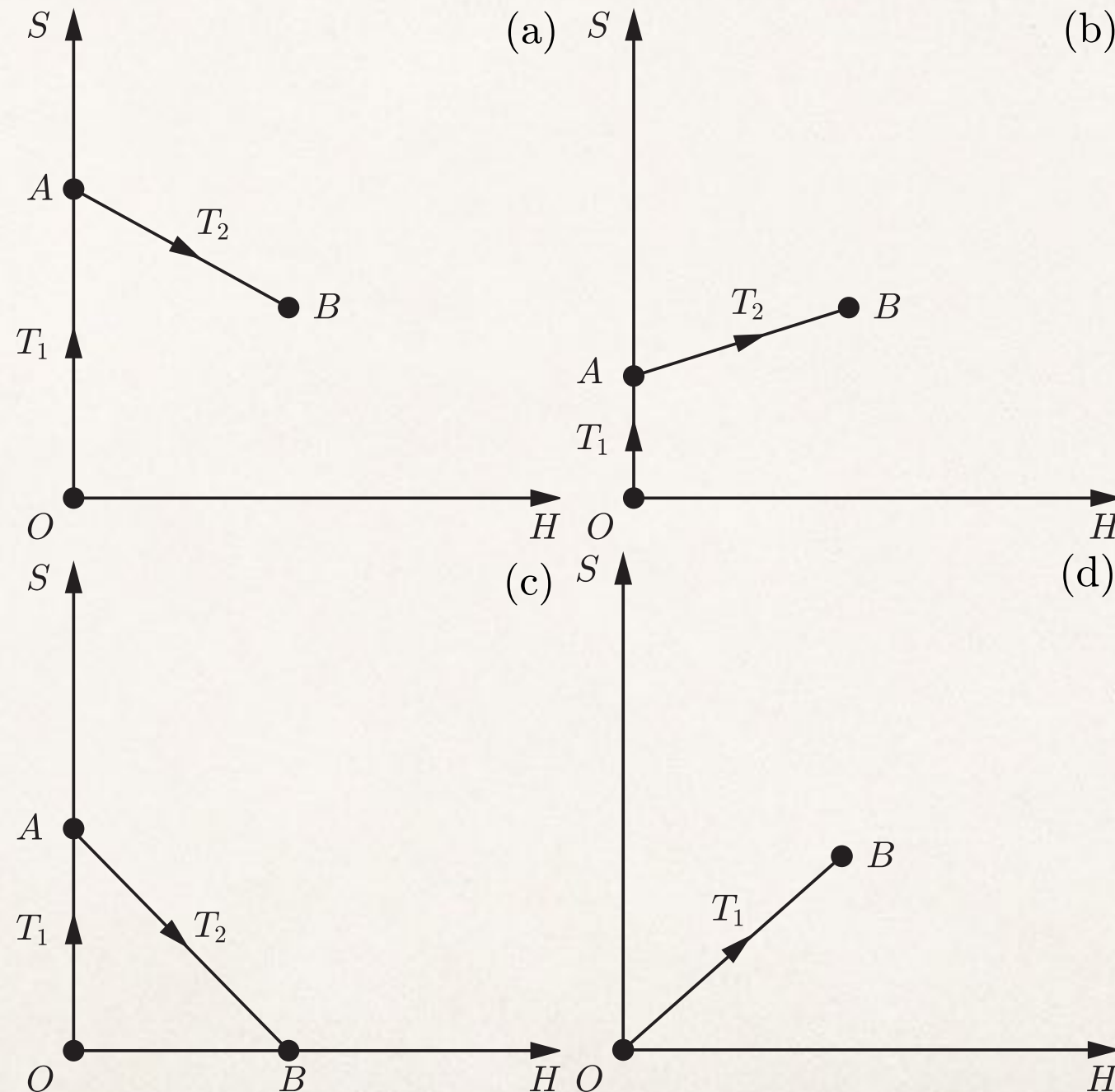


$$\boxed{v_0}, v_{S0}, \boxed{m_{H_1}}, m_{H_2}, \alpha, m_A, a_1$$

246GeV
125GeV

# Patterns of PT

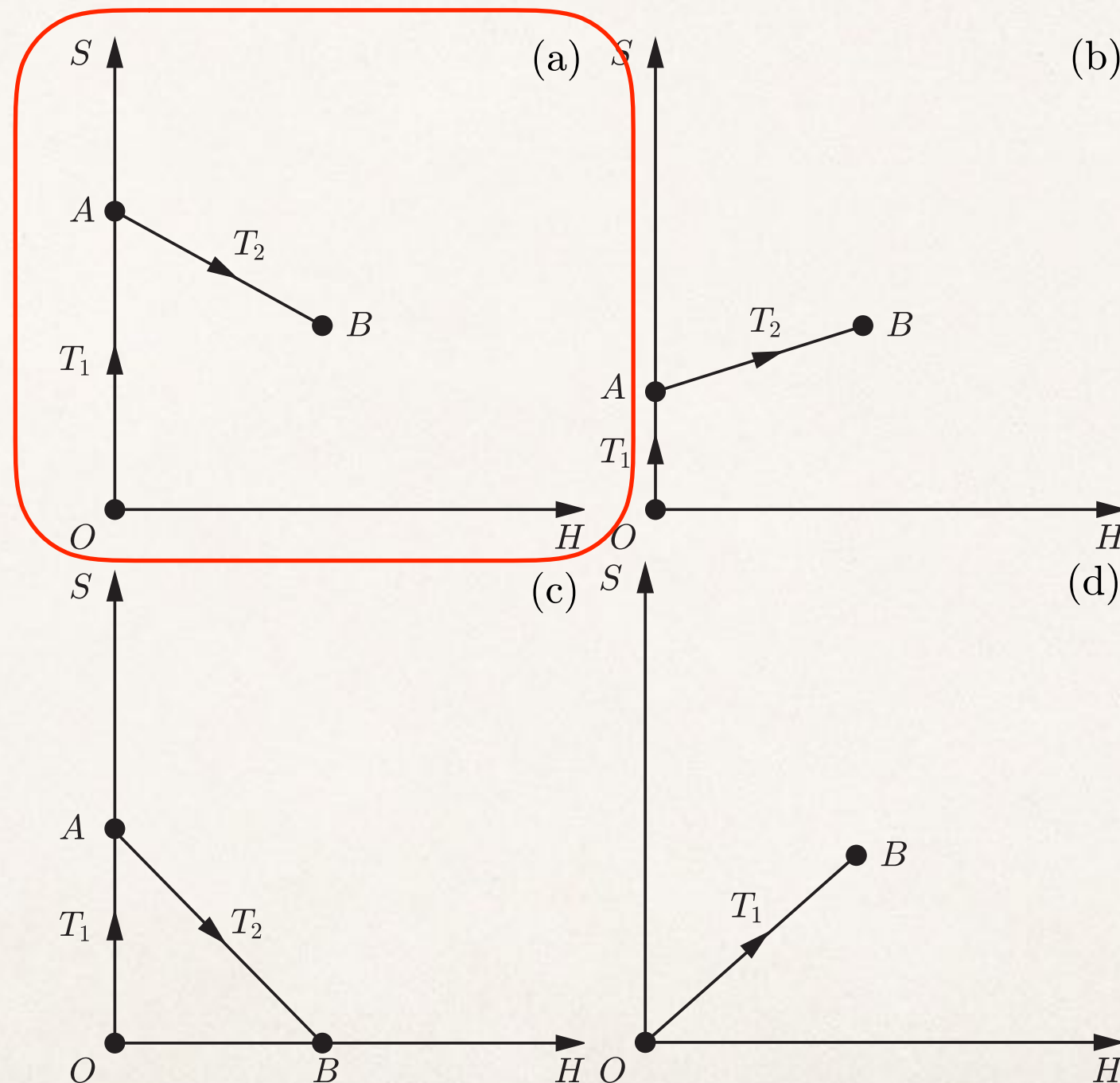
Because of 2 fields, there are many patterns of phase transitions.





# Patterns of PT

Because of 2 fields, there are many patterns of phase transitions.



We will focus on type (a) PT.

# Leading order analysis

$$V^{\text{high-}T}(\varphi, \varphi_S; T) = V_0(\varphi, \varphi_S) + \frac{1}{2}\Sigma_H T^2 \varphi^2 + \frac{1}{2}\Sigma_S T^2 \varphi_S^2 ,$$

where

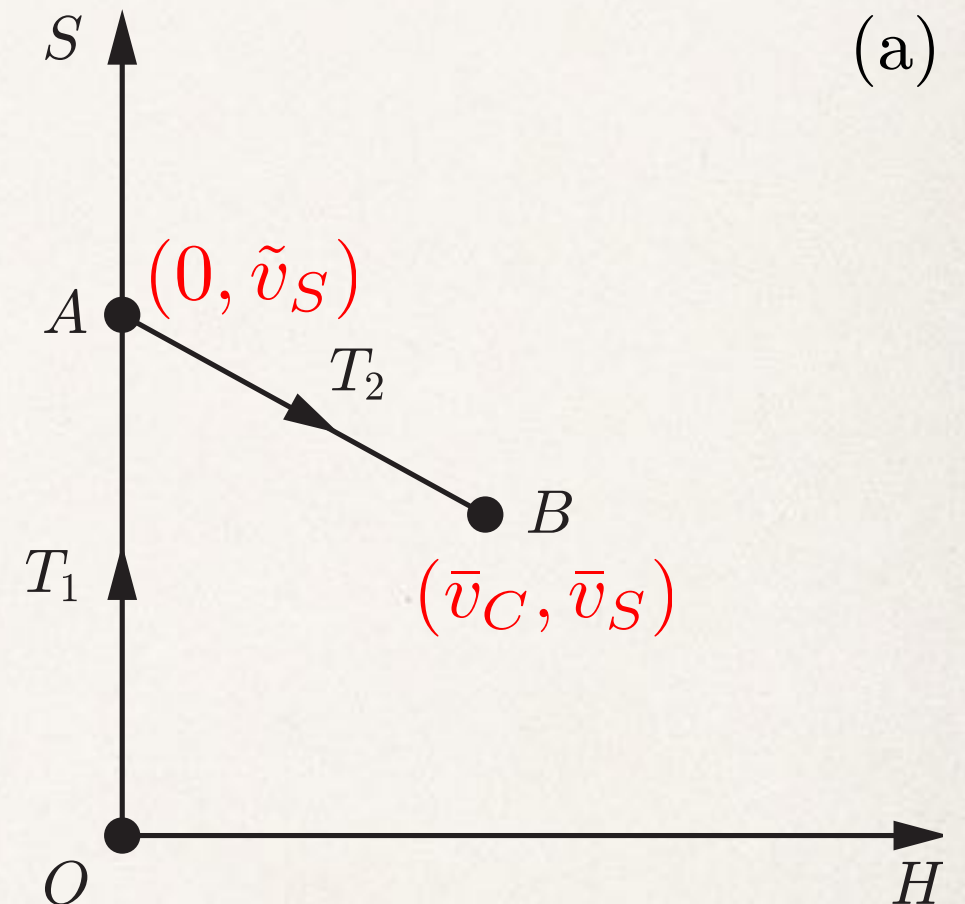
$$\Sigma_H = \frac{\lambda}{8} + \frac{\delta_2}{24} + \frac{3g_2^2 + g_1^2}{16} + \frac{y_t^2}{4} ,$$

$$\Sigma_S = \frac{\delta_2 + d_2}{12} .$$

Approximate formulas:

$$\bar{v}_C \simeq \sqrt{\frac{2\delta_2 \tilde{v}_S(T_C)}{\lambda} (\tilde{v}_S(T_C) - \bar{v}_S(T_C))} ,$$

$$T_C \simeq \sqrt{\frac{1}{2\Sigma_H} \left( -m^2 - \frac{\tilde{v}_S^2(T_C)}{2} \delta_2 \right)} .$$



- large positive  $\delta_2$  (negative  $\alpha$ ) gives larger  $v_C/T_C$ .
- However, too large positive  $\delta_2$  (negative  $\alpha$ ) leads to unstable vacuum.

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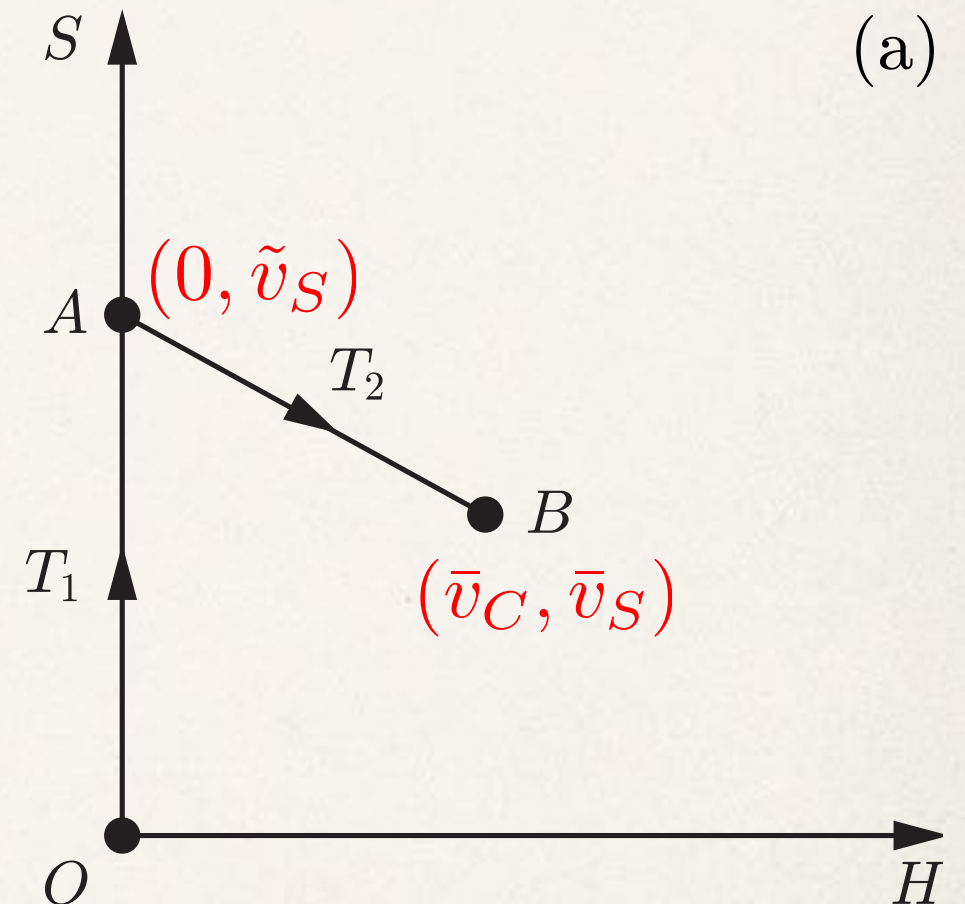
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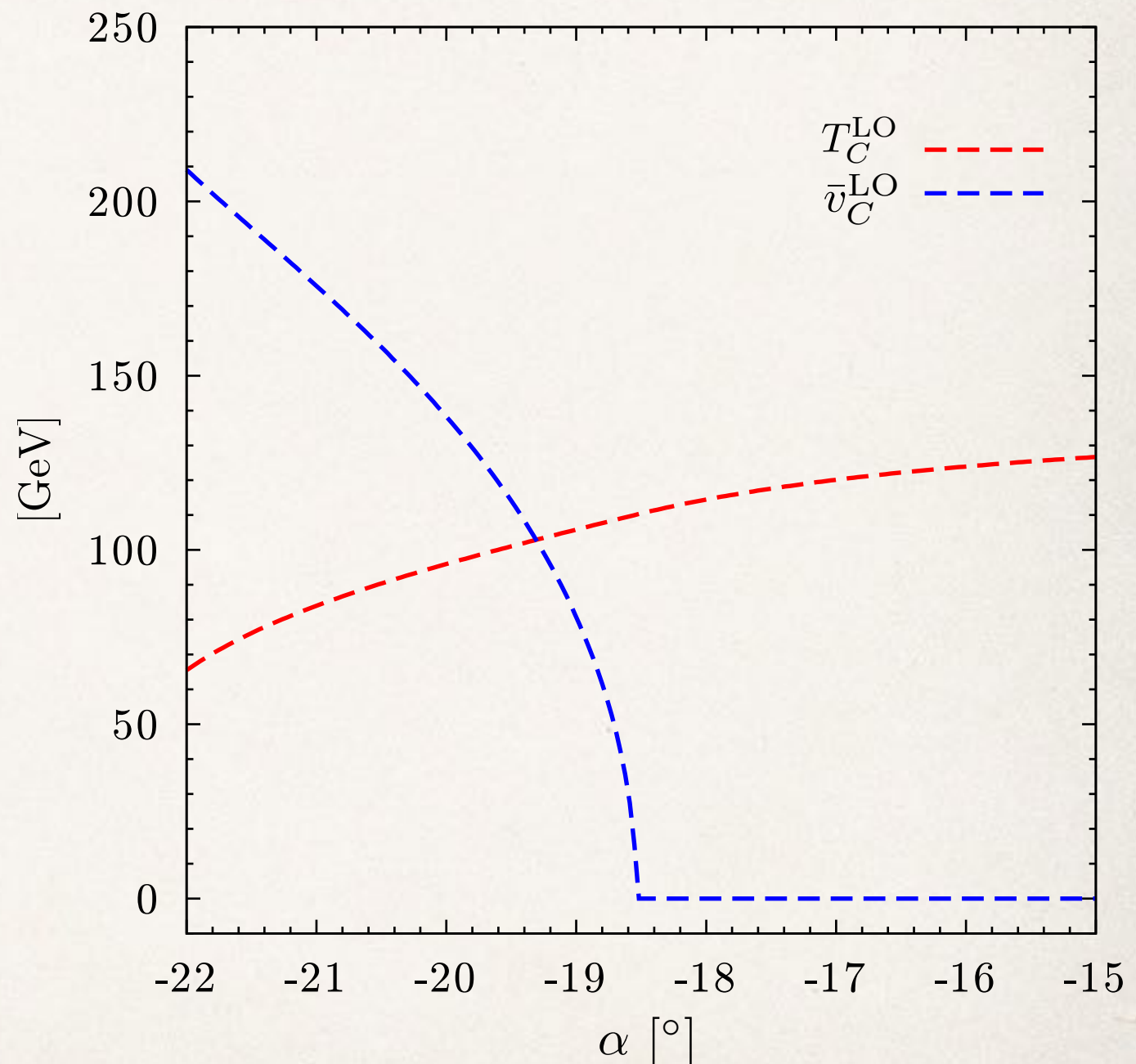
## An example:

$$m_{H_2} = 230 \text{ GeV}, v_{S0} = 40 \text{ GeV},$$
$$a_1 = -(110 \text{ GeV})^3$$

- $v_C$  and  $T_C$  are determined numerically.
- smaller  $\alpha$  (large  $\delta_2$ ) gives larger  $v_C/T_C$ .
- EW vacuum becomes metastable for a small  $\alpha$ .

-> upper bound on  $v_C/T_C$

- Stronger upper bound on  $v_C/T_C$  comes from bubble nucleation (see later.)



# Leading order analysis

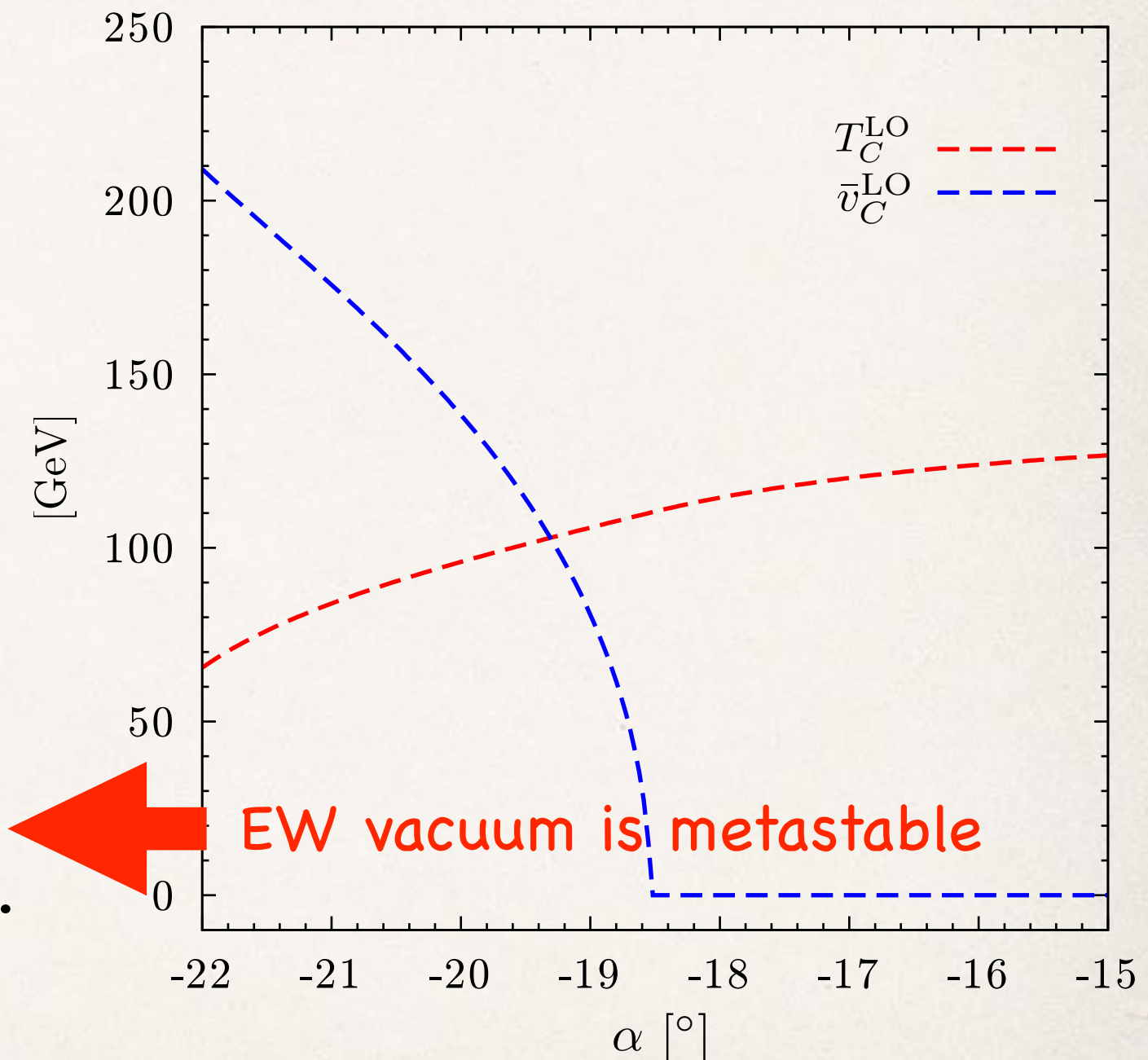
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# NLO analysis

- PRM scheme -  
 $O(\hbar)$

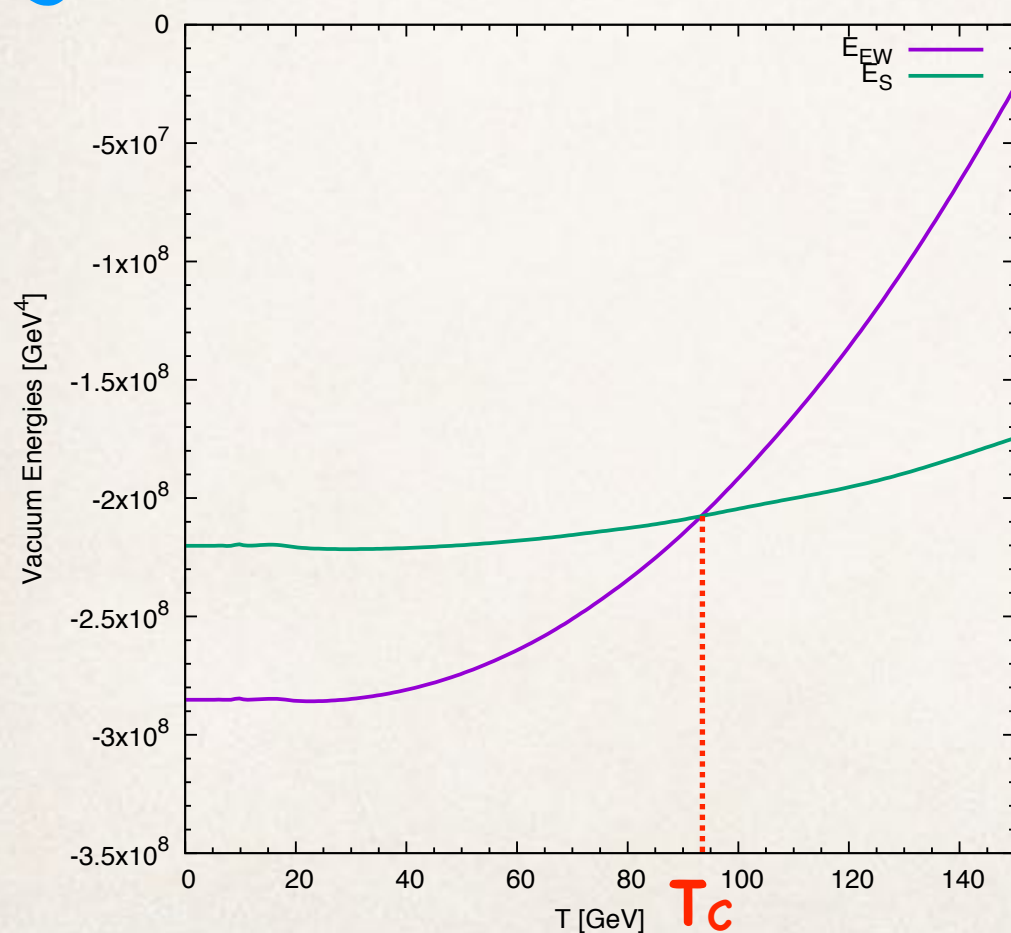
$T_C$

$v_C$

$$V_{\text{eff}}(\mathbf{v}_0^{(1)}; T_C) - V_{\text{eff}}(\mathbf{v}_0^{(2)}; T_C) = 0$$

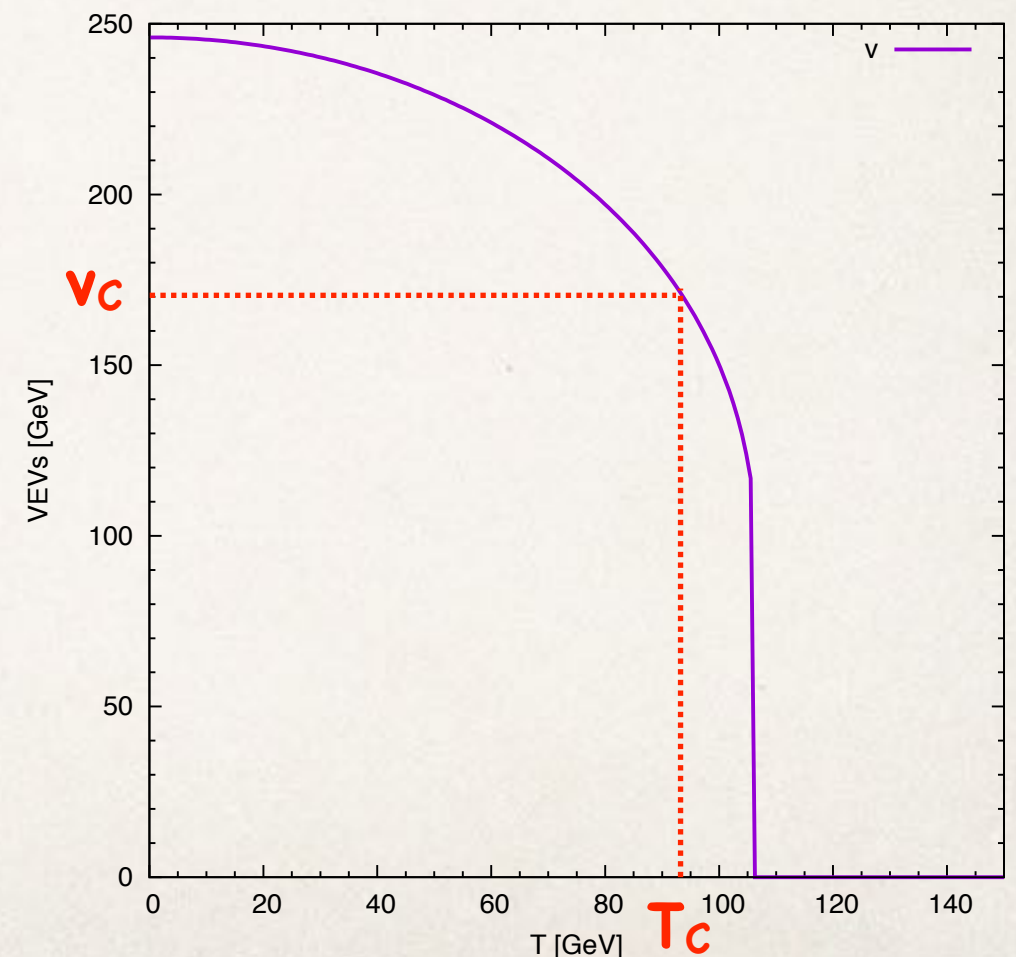
$\mathbf{v}_0^{(i=1,2)}$  are stationary points of “ $V_0$ ”

e.g.



$$V^{\text{high-}T}(\varphi_i; T) = V_0(\varphi_i) + \frac{1}{2} \Sigma_i(T) \varphi_i^2$$

$v_C$  = minimum of high-T potential at  $T_C$





# $\mu$ dependence

PRM scheme is gauge independent but scale dependent.

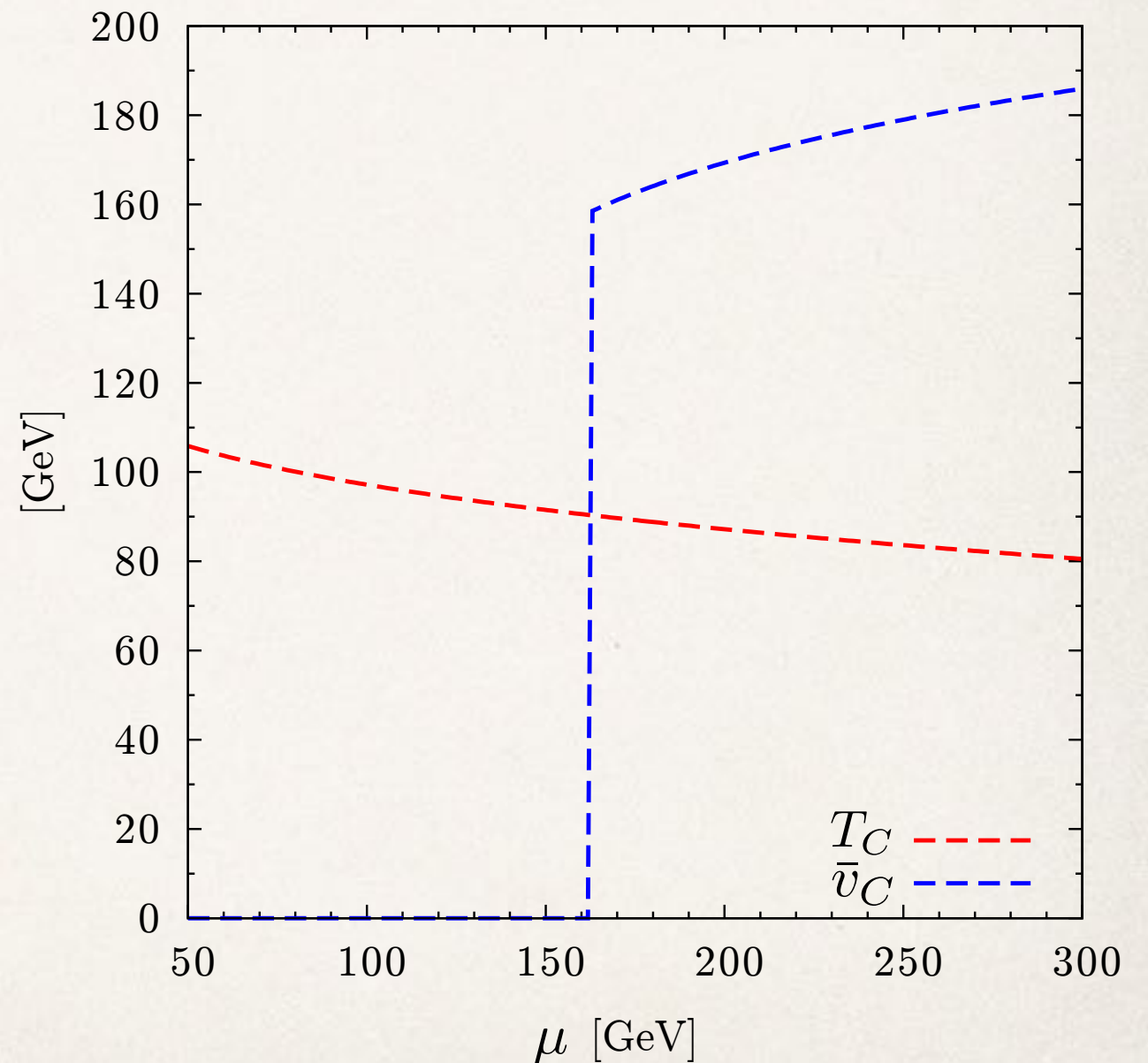
origin:

$$V_{\text{eff}} \ni V_{\text{CW}}(m^2) = \frac{m^4}{64\pi^2} \left( \ln \frac{m^2}{\mu^2} - c \right)$$

Different scales give different orders of phase transition:

2<sup>nd</sup> order for  $\mu \lesssim 160$  GeV

1<sup>st</sup> order for  $\mu \gtrsim 160$  GeV



# Improved-RPM scheme

**idea:**  $\mu$  dependence is reduced by renormalization group eq.

$$V_{\text{eff}}(\varphi_i; T) = V_0(\varphi_i) + V_1(\varphi; T)$$

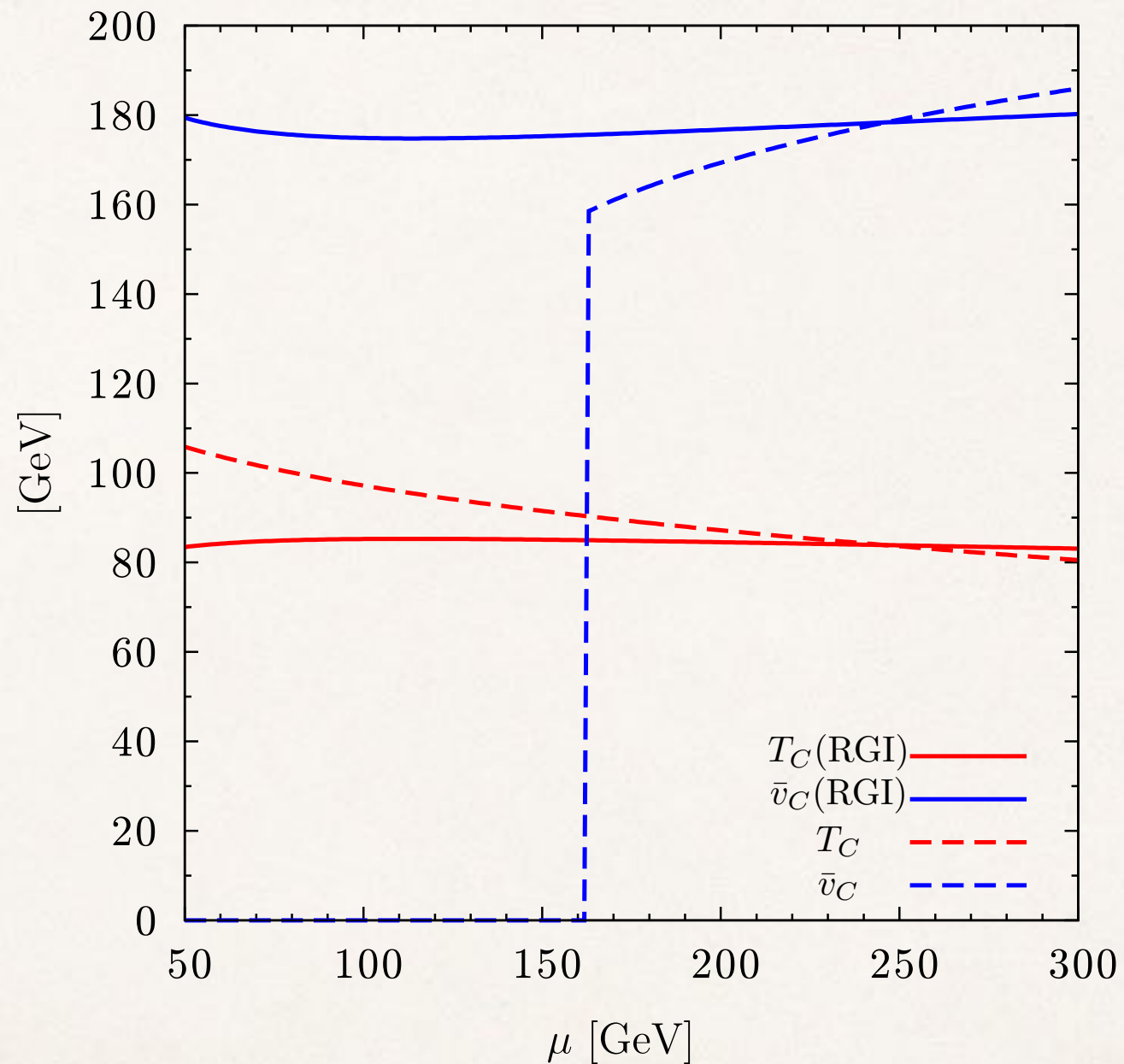
**our scheme:**

All parameters in  $V_0(\varphi_i)$  are replaced by the running parameters.

$$V_0(\varphi_i) \Rightarrow \tilde{V}_0(\varphi_i)$$

$$m_j^2 \text{ and } \lambda_j \Rightarrow m_j^2(\mu) \text{ and } \lambda_j(\mu)$$

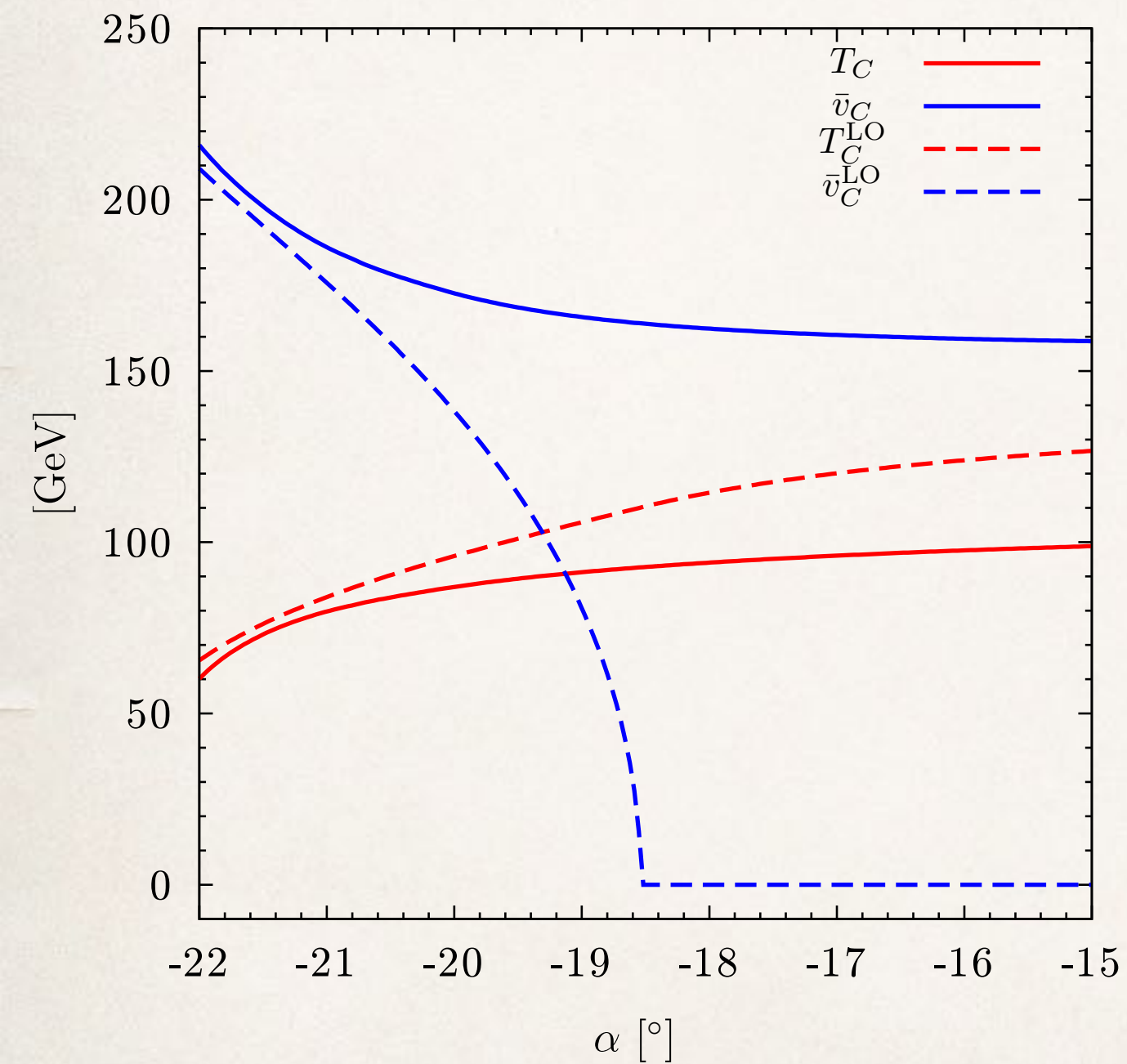
# Improved-RPM scheme



$\mu$  dependence is significantly reduced by the RG improvement.  
In this example, phase transition is 1<sup>st</sup> order.

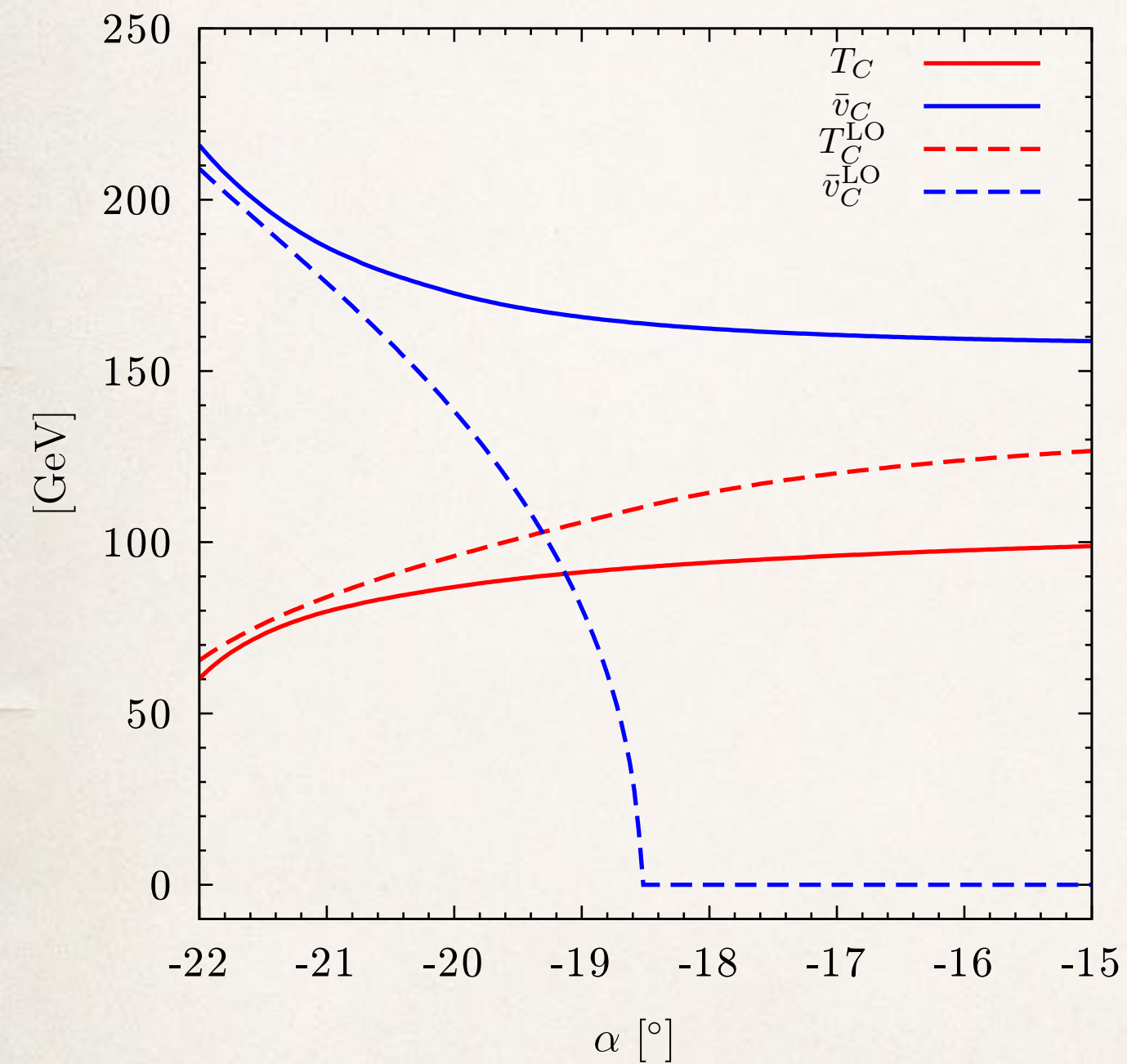


# LO vs. NLO



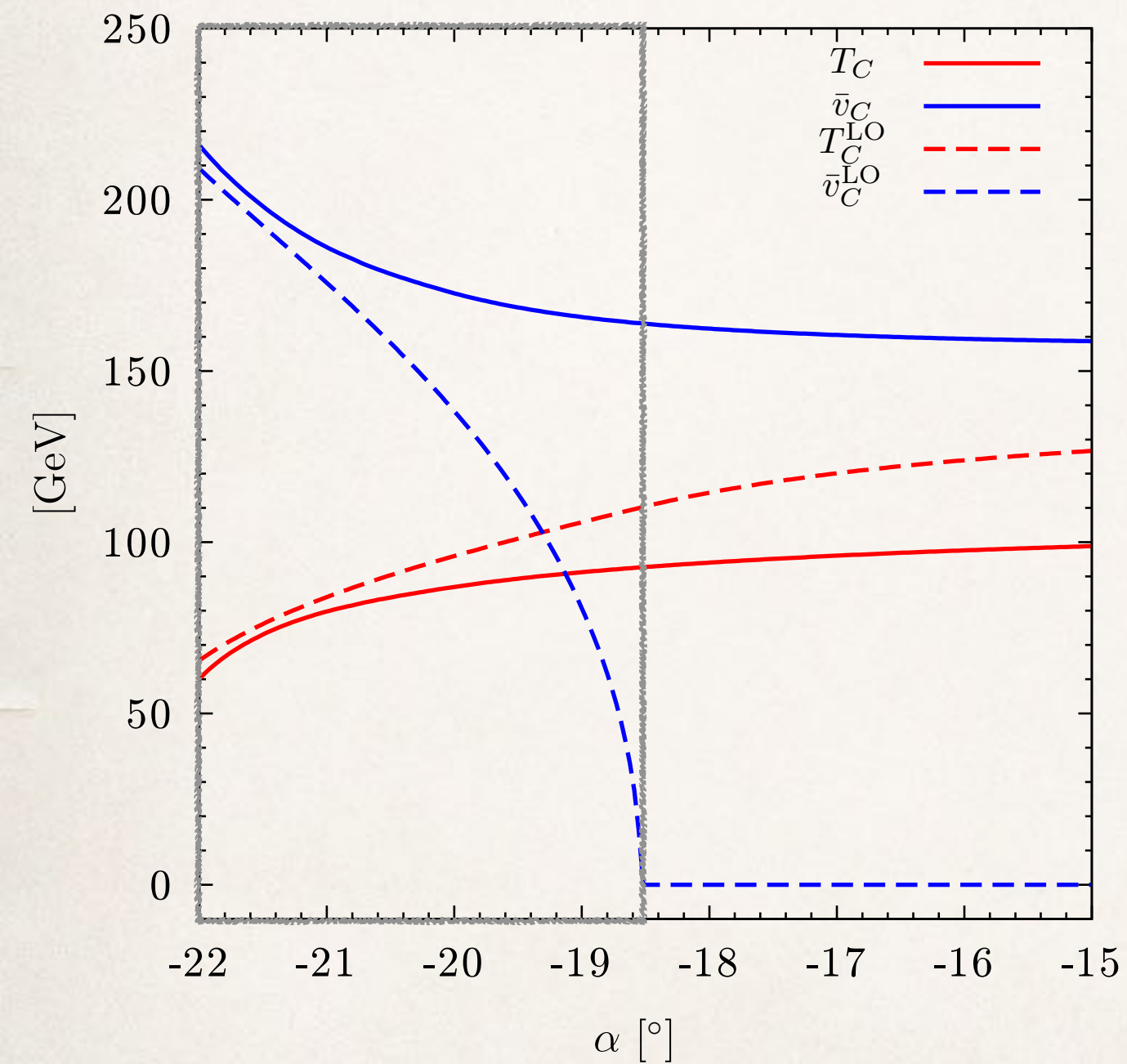
# LO vs. NLO

$$T_C < T_C^{\text{LO}}$$



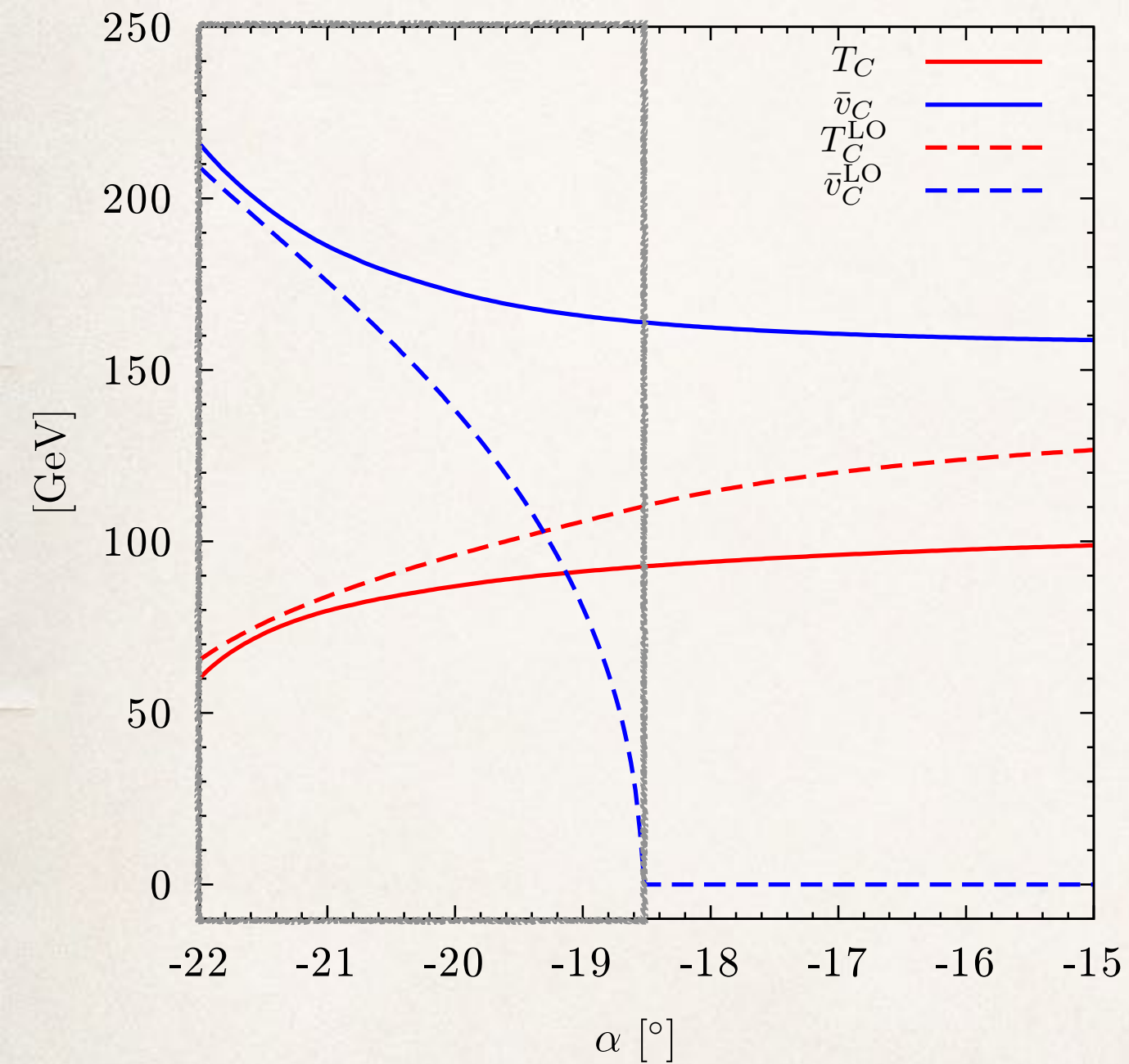
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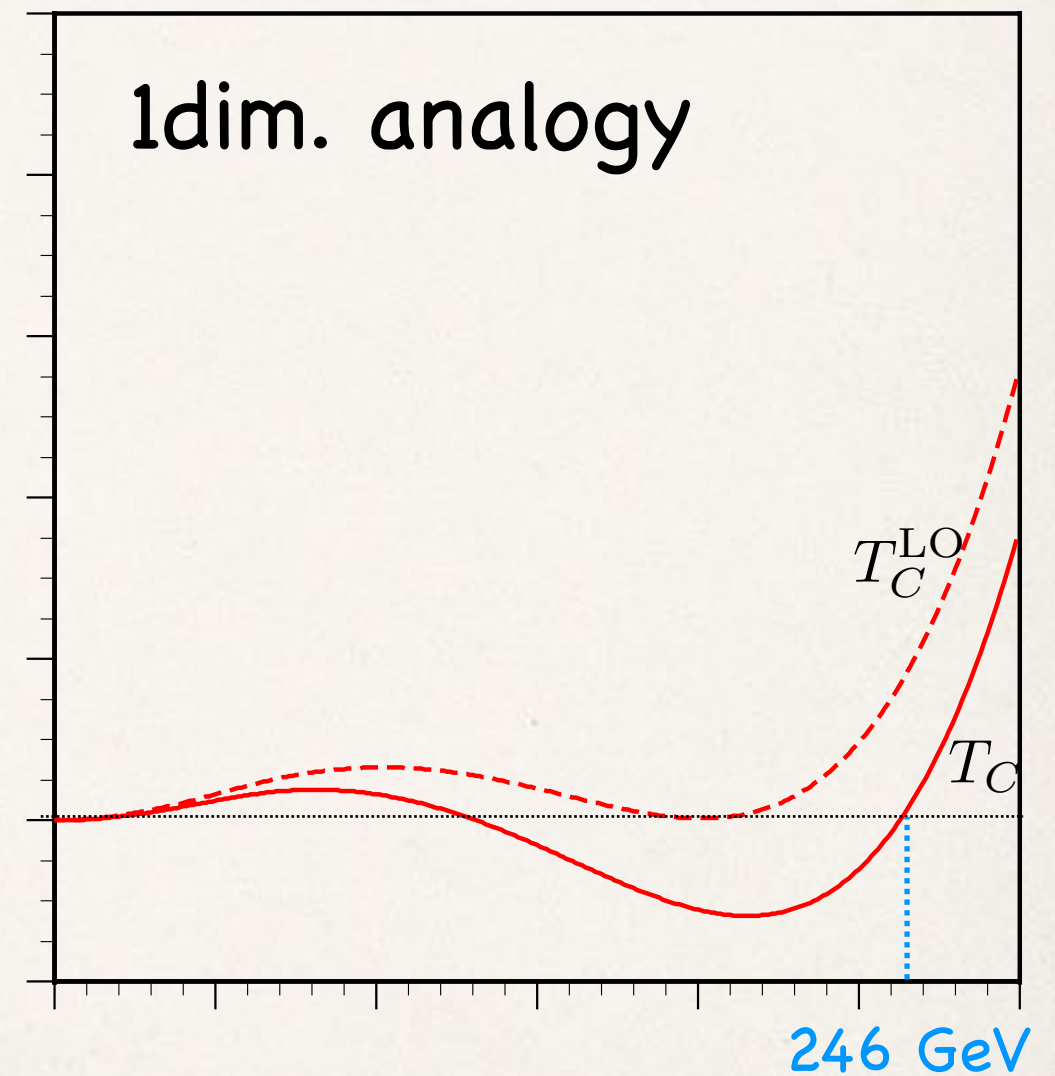




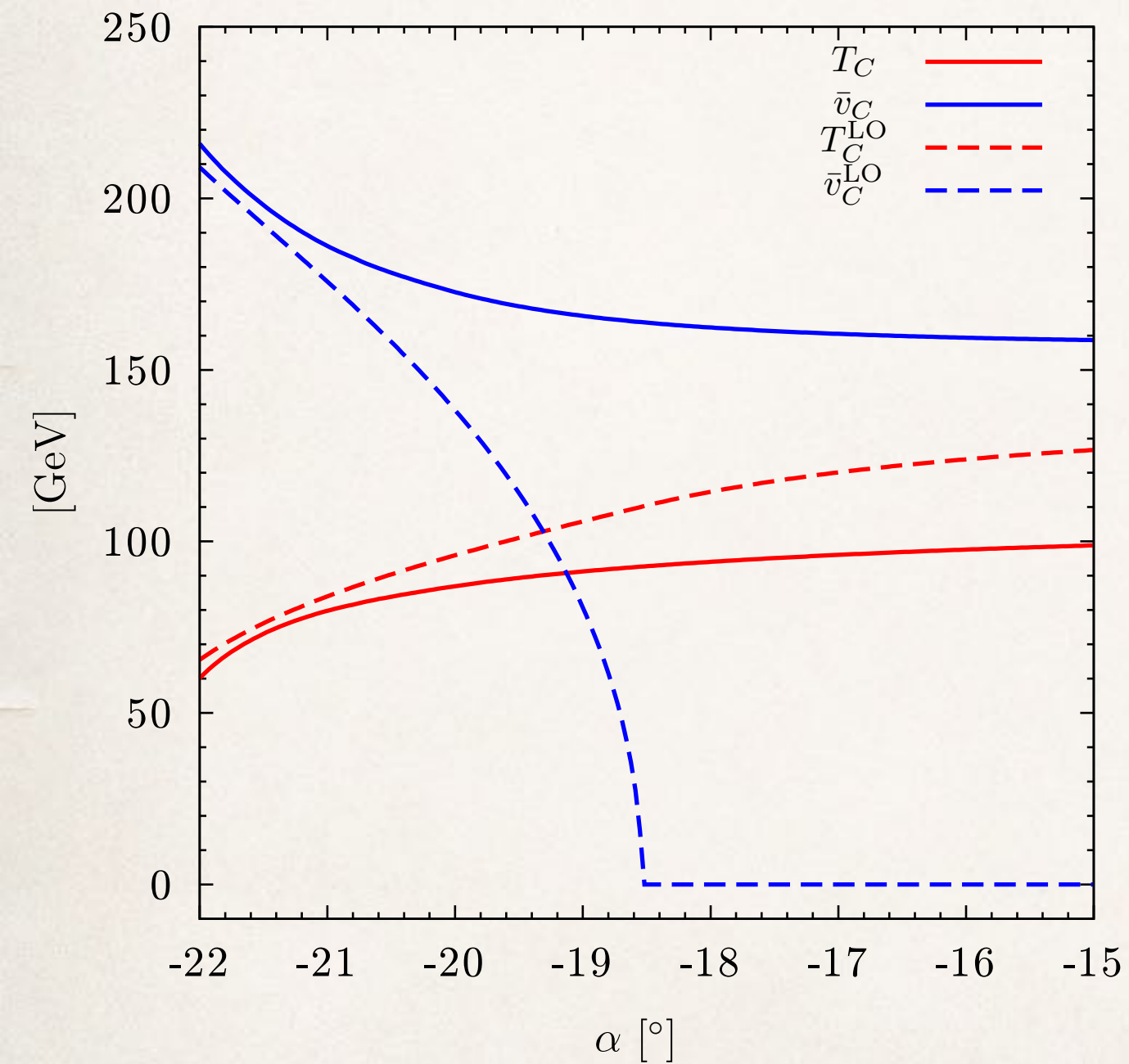
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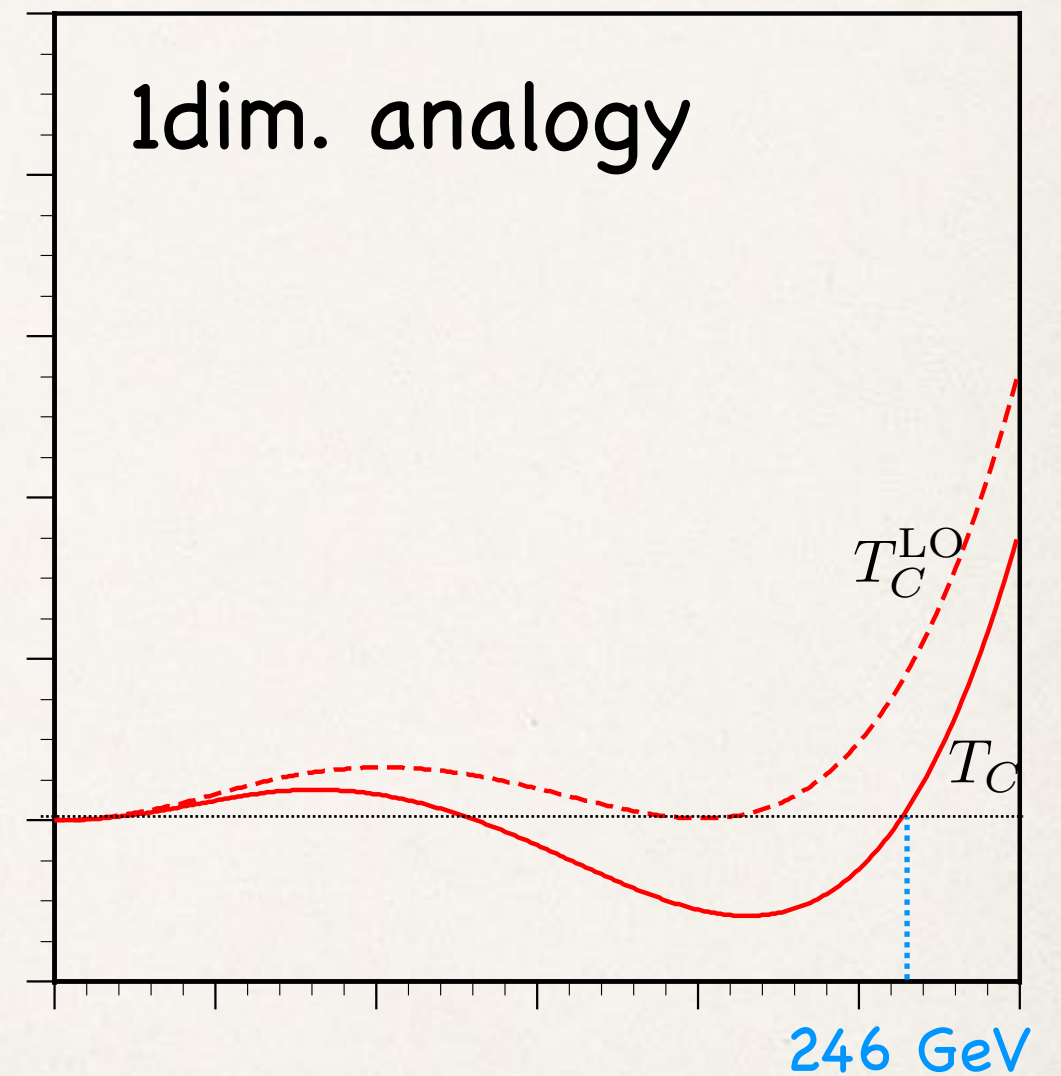
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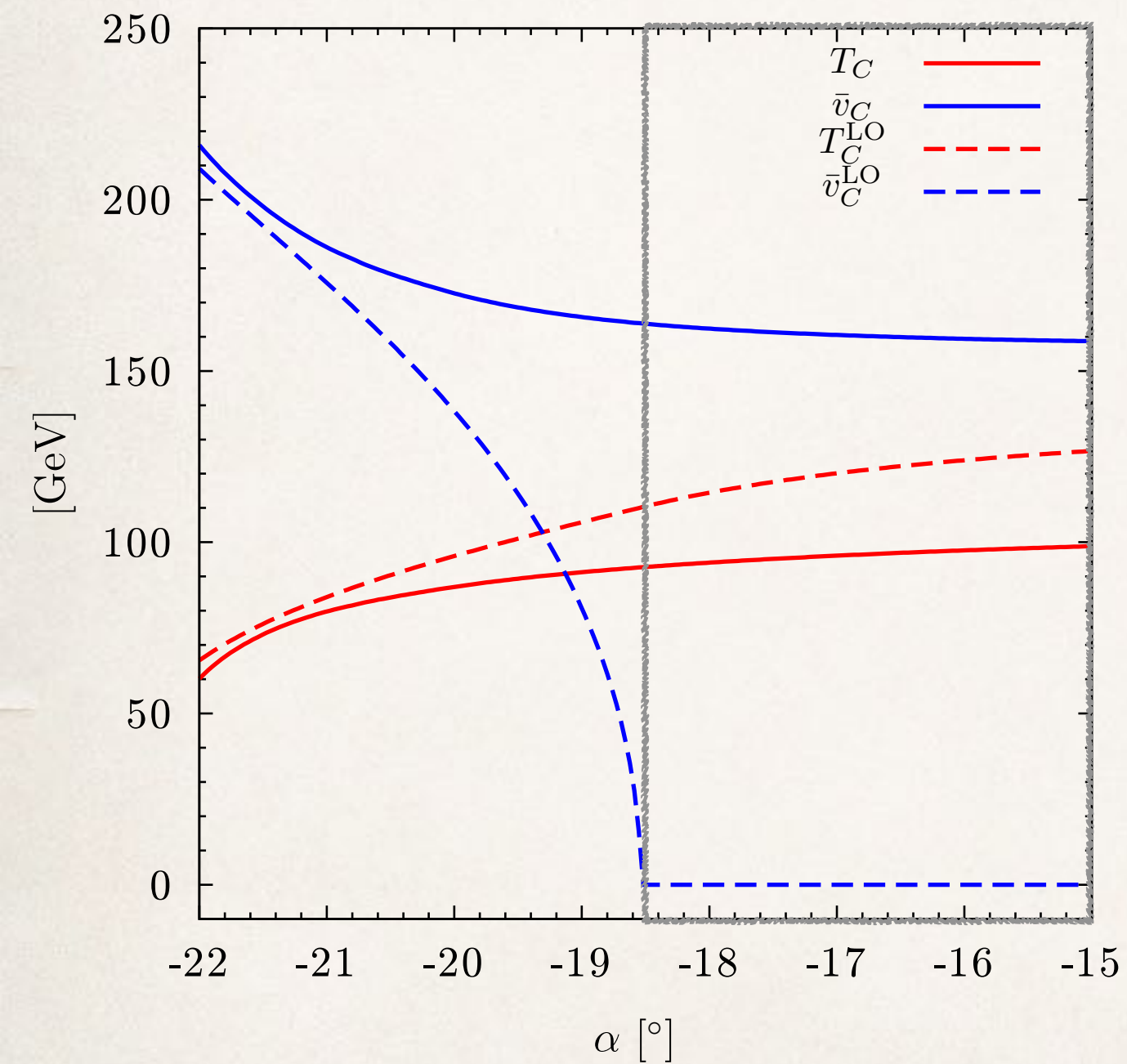
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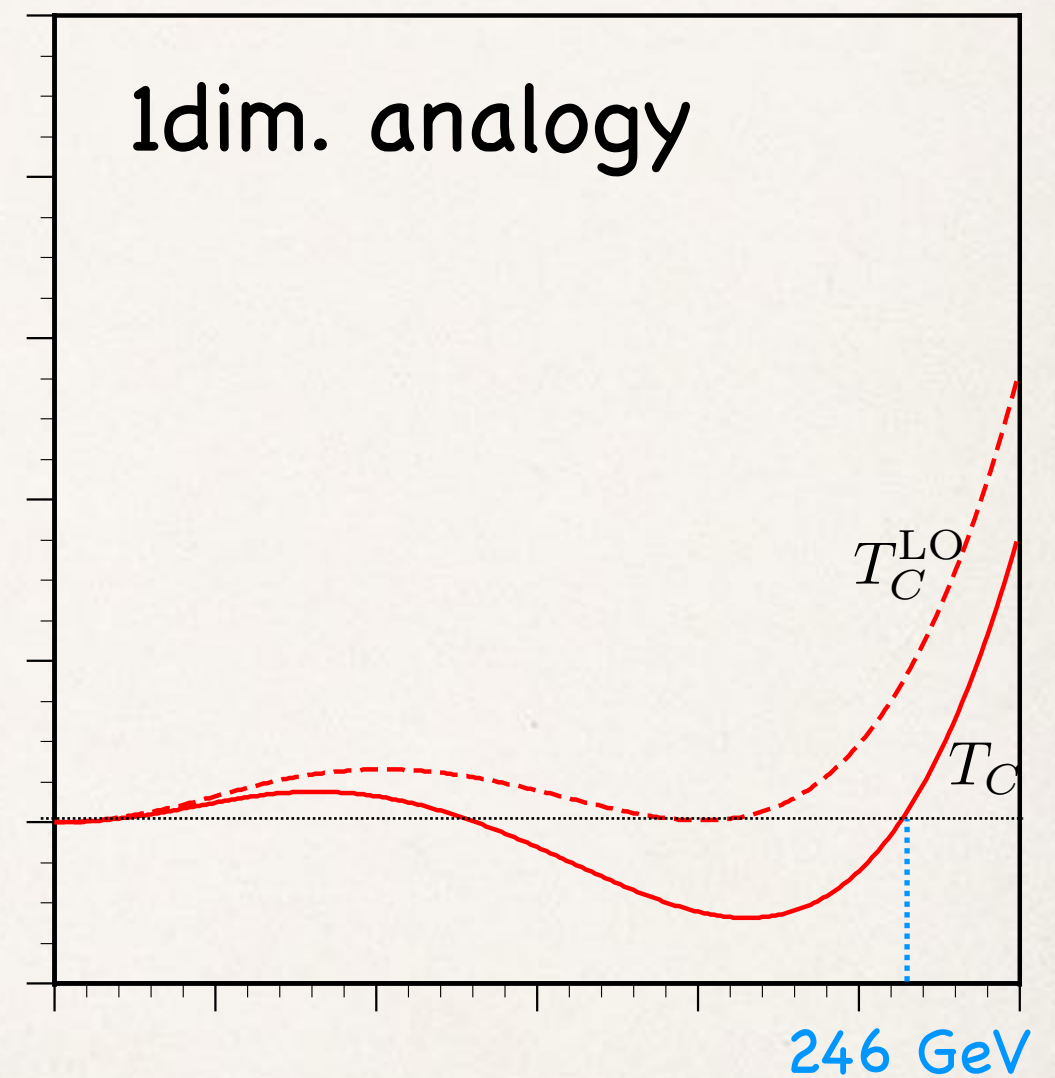
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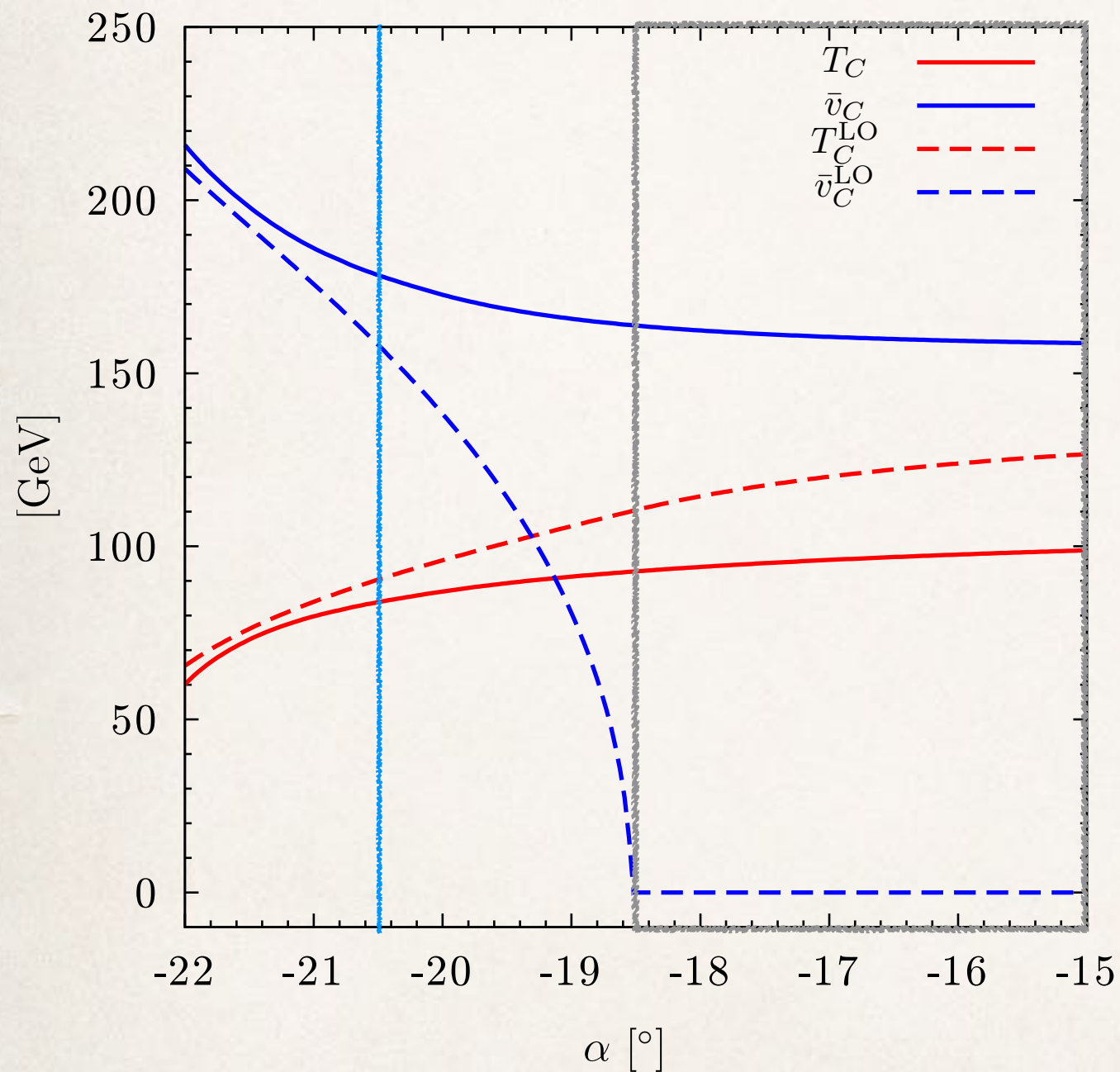
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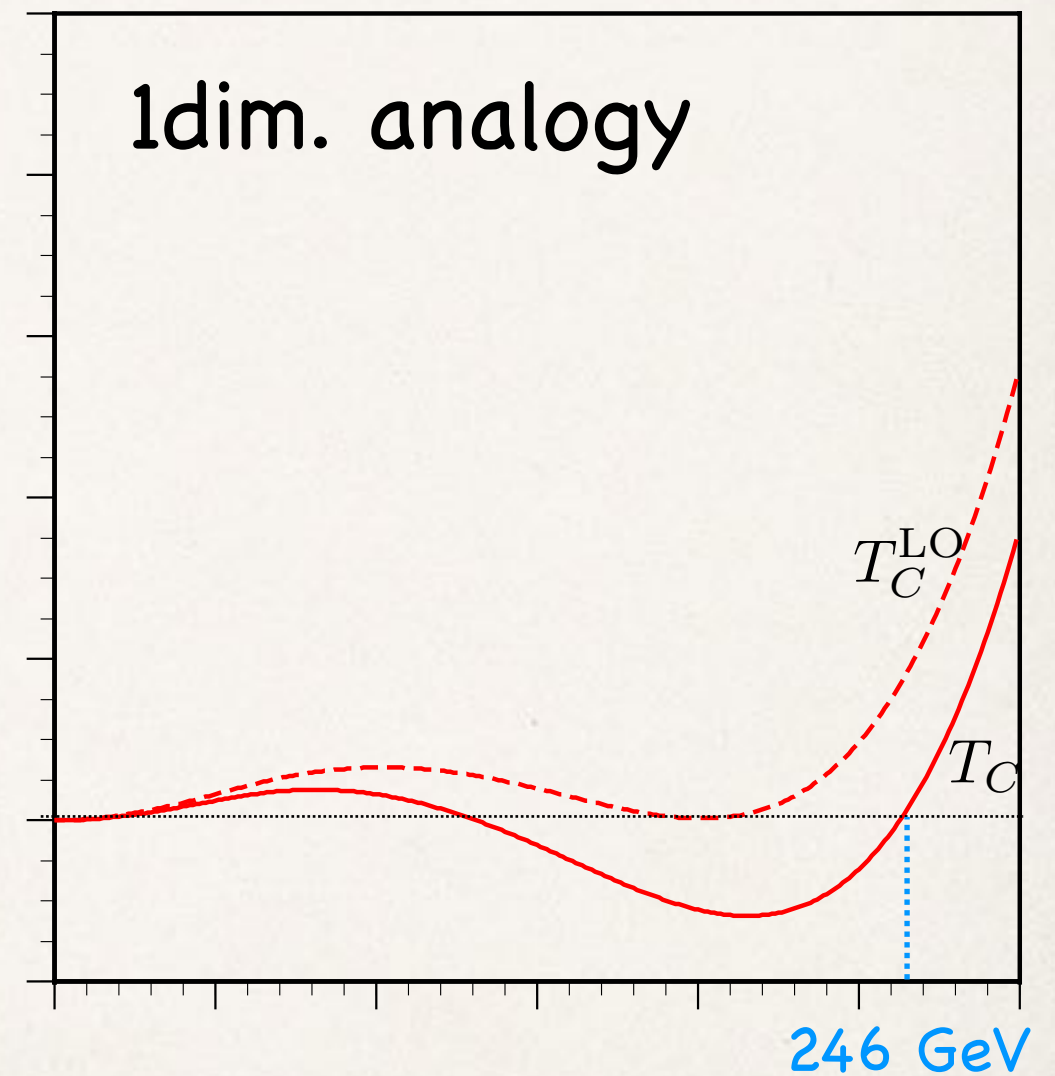


# LO vs. NLO

$\alpha = -20.5^\circ$



$$T_C < T_C^{\text{LO}}$$



In the following,  $\alpha = -20.5^\circ$  is taken.

# LO vs. NLO

benchmark point:

$$m_{H_2} = 230 \text{ GeV}, v_{S0} = 40 \text{ GeV}, \\ \alpha = -20.5^\circ, a_1 = -(110 \text{ GeV})^3$$

Leading Order:

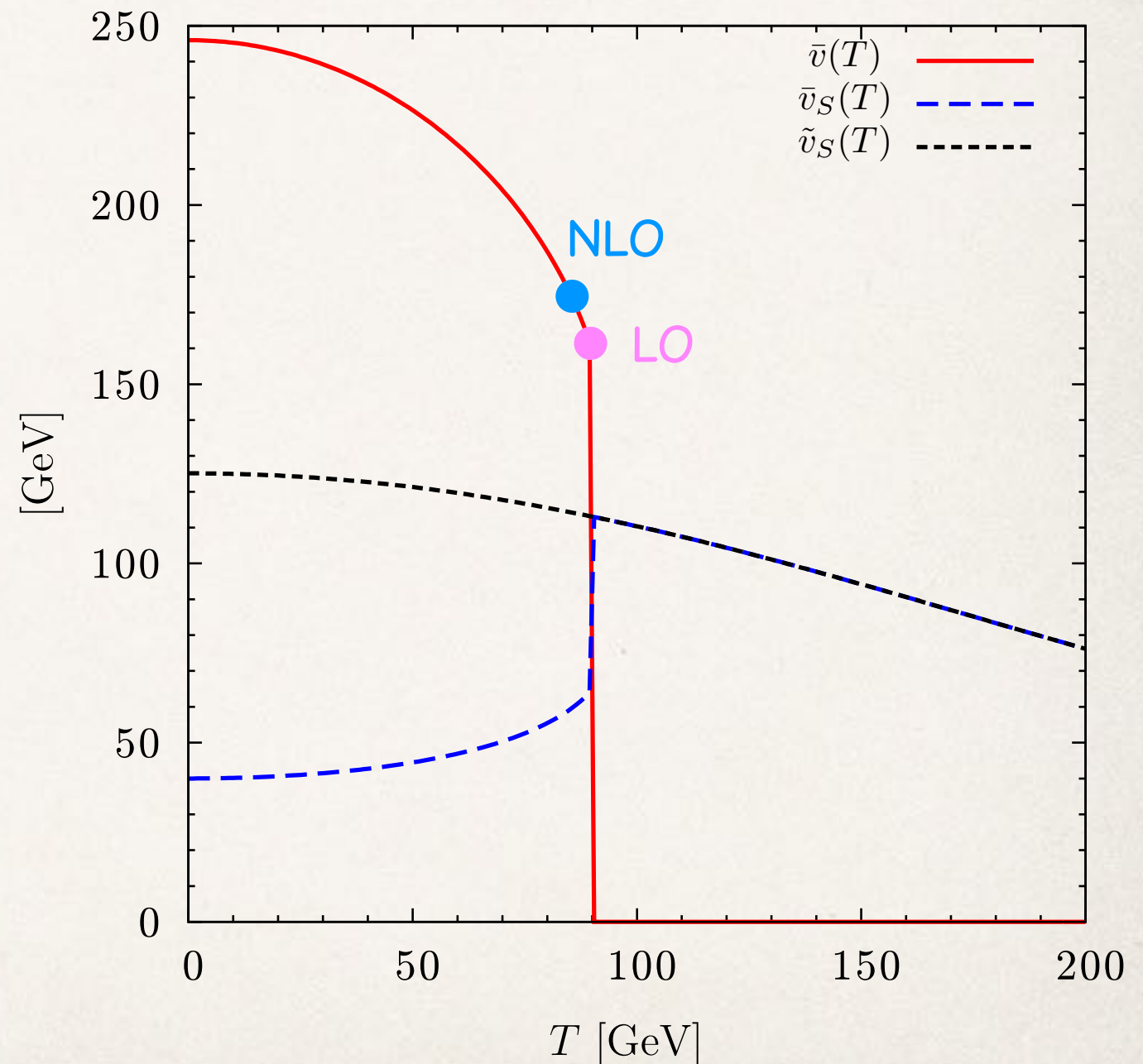
$$T_C^{\text{LO}} = 90.4 \text{ GeV}, \bar{v}_C^{\text{LO}} = 158.2 \text{ GeV}$$

Next-to-Leading Order:

$$T_C = 83.1 \text{ GeV}, \bar{v}_C = 180.2 \text{ GeV}$$

$$\frac{\bar{v}_C}{T_C} > \frac{\bar{v}_C^{\text{LO}}}{T_C^{\text{LO}}}$$

minima of  $V^{\text{high}-T}(\varphi_i; T)$



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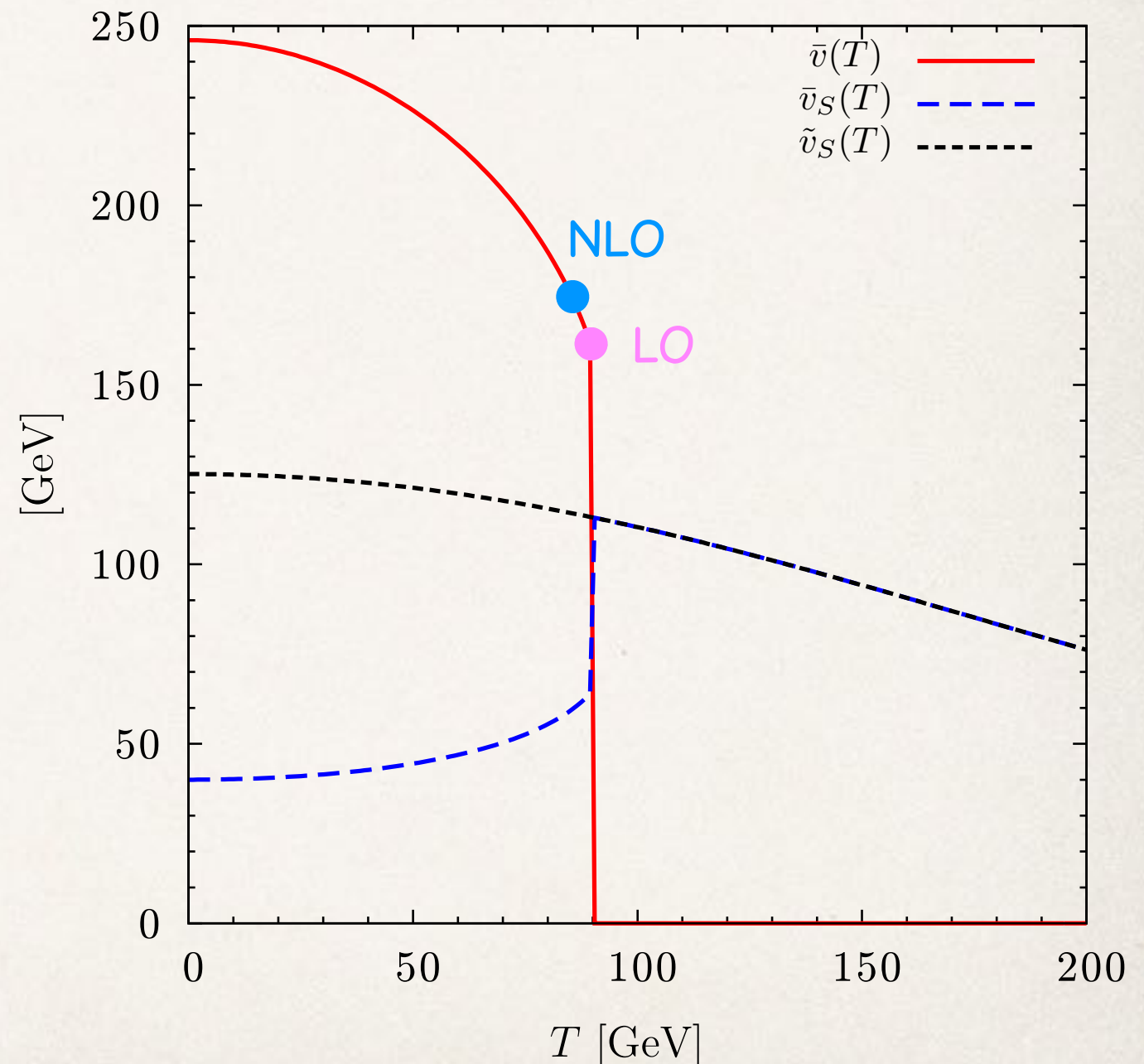
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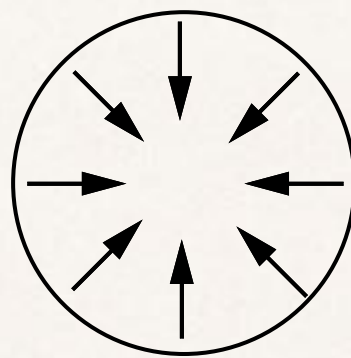
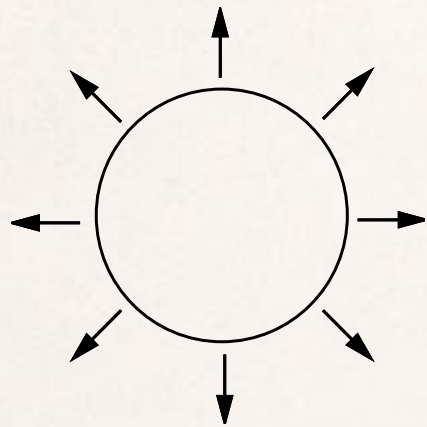
How about nucleation temperature?



# Onset of PT

- $T_c$  is not onset of the PT.
- Nucleation starts somewhat below  $T_c$ .

"Not all bubbles can grow"



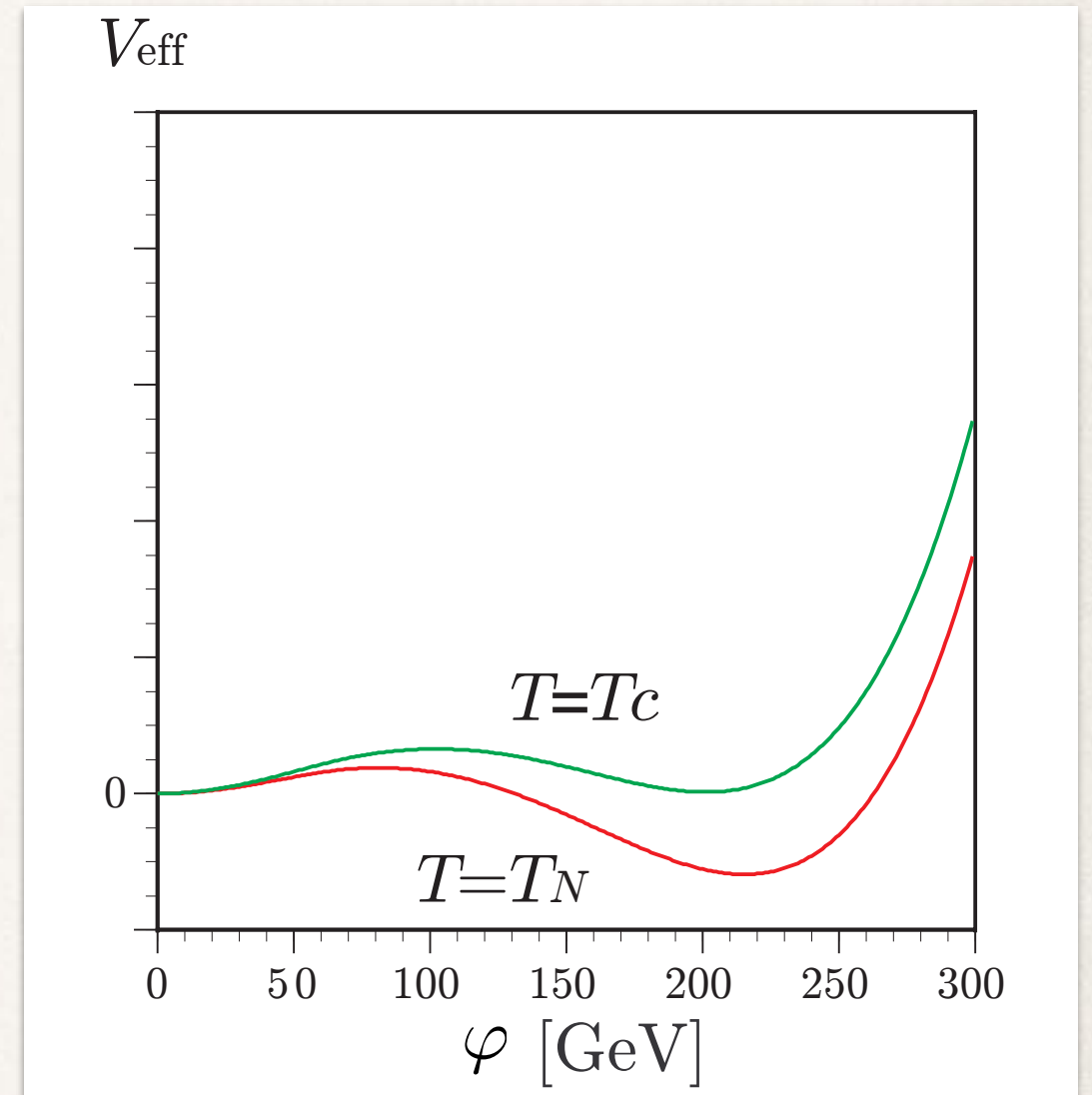
expand? or shrink?

volume energy vs. surface energy

$$\propto (\text{radius})^3$$

$$\propto (\text{radius})^2$$

There is a critical value of radius  $\rightarrow$  critical bubble



# Nucleation temperature

- Nucleation rate per unit time per unit volume

$$\Gamma_N(T) \simeq T^4 \left( \frac{S_3(T)}{2\pi T} \right)^{3/2} e^{-S_3(T)/T}$$

[A.D. Linde, NPB216 ('82) 421]

$S_3(T)$ : energy of the critical bubble at  $T$

- Definition of nucleation temperature ( $T_N$ )

horizon scale  $\simeq H(T)^{-1}$

$$\Gamma_N(T_N) H(T_N)^{-3} = H(T_N)$$

$$\frac{S_3(T_N)}{T_N} - \frac{3}{2} \ln \left( \frac{S_3(T_N)}{T_N} \right) = 152.59 - 2 \ln g_*(T_N) - 4 \ln \left( \frac{T_N}{100 \text{ GeV}} \right)$$

Roughly,  $S_3(T)/T \lesssim 150$  is needed for the development of the EWPT.

$$S_3(T)/T$$

- LO case -

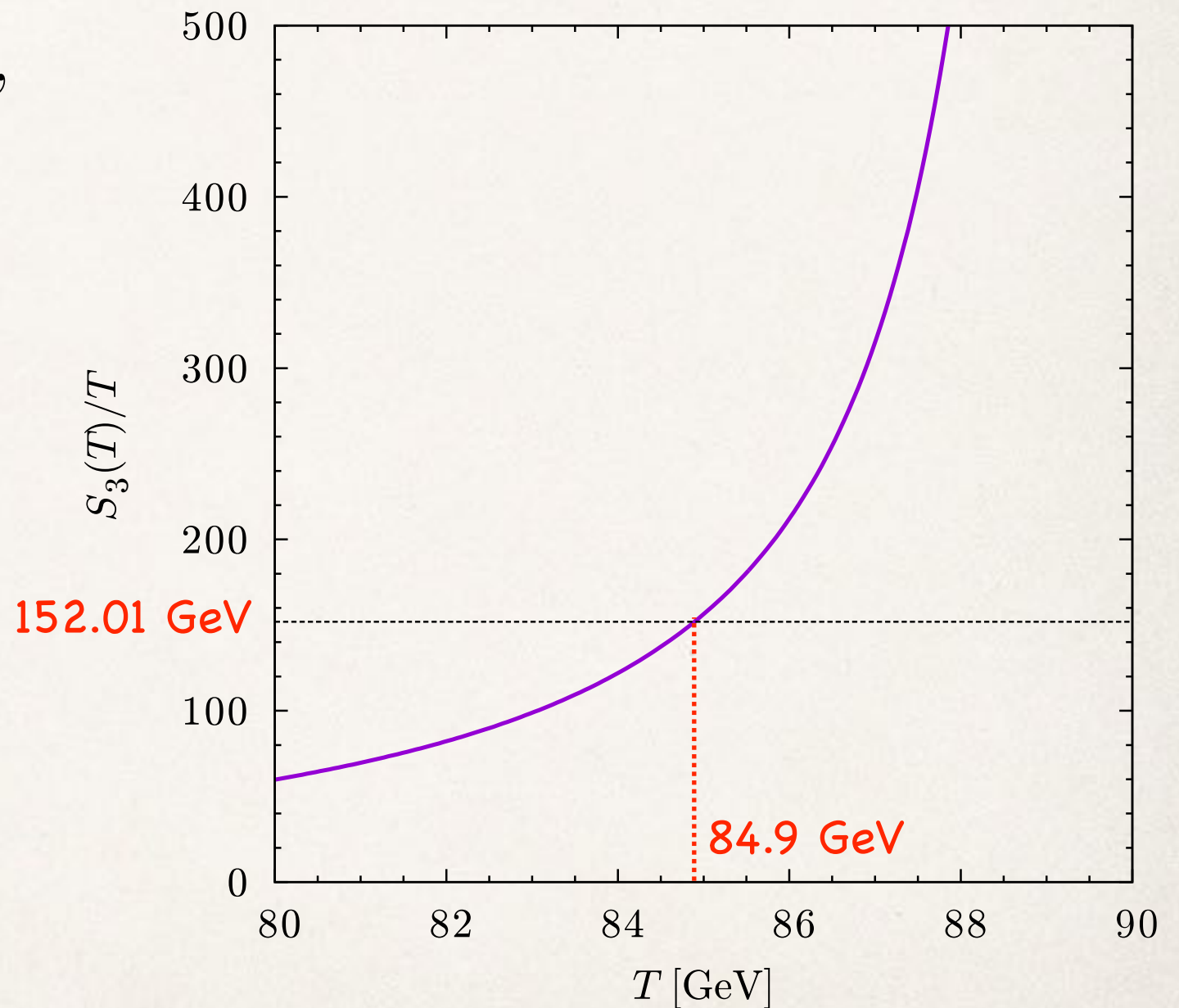
benchmark point:

$$m_{H_2} = 230 \text{ GeV}, v_{S0} = 40 \text{ GeV}, \\ \alpha = -20.5^\circ, a_1 = -(110 \text{ GeV})^3$$

$$T_N = 84.9 \text{ GeV} \\ \frac{S_3(T_N)}{T_N} = 152.01 \text{ GeV}$$

$$\frac{T_C^{\text{LO}} - T_N}{T_C^{\text{LO}}} \simeq 6.1\%$$

cf., MSSM:  $O(0.1)\%$

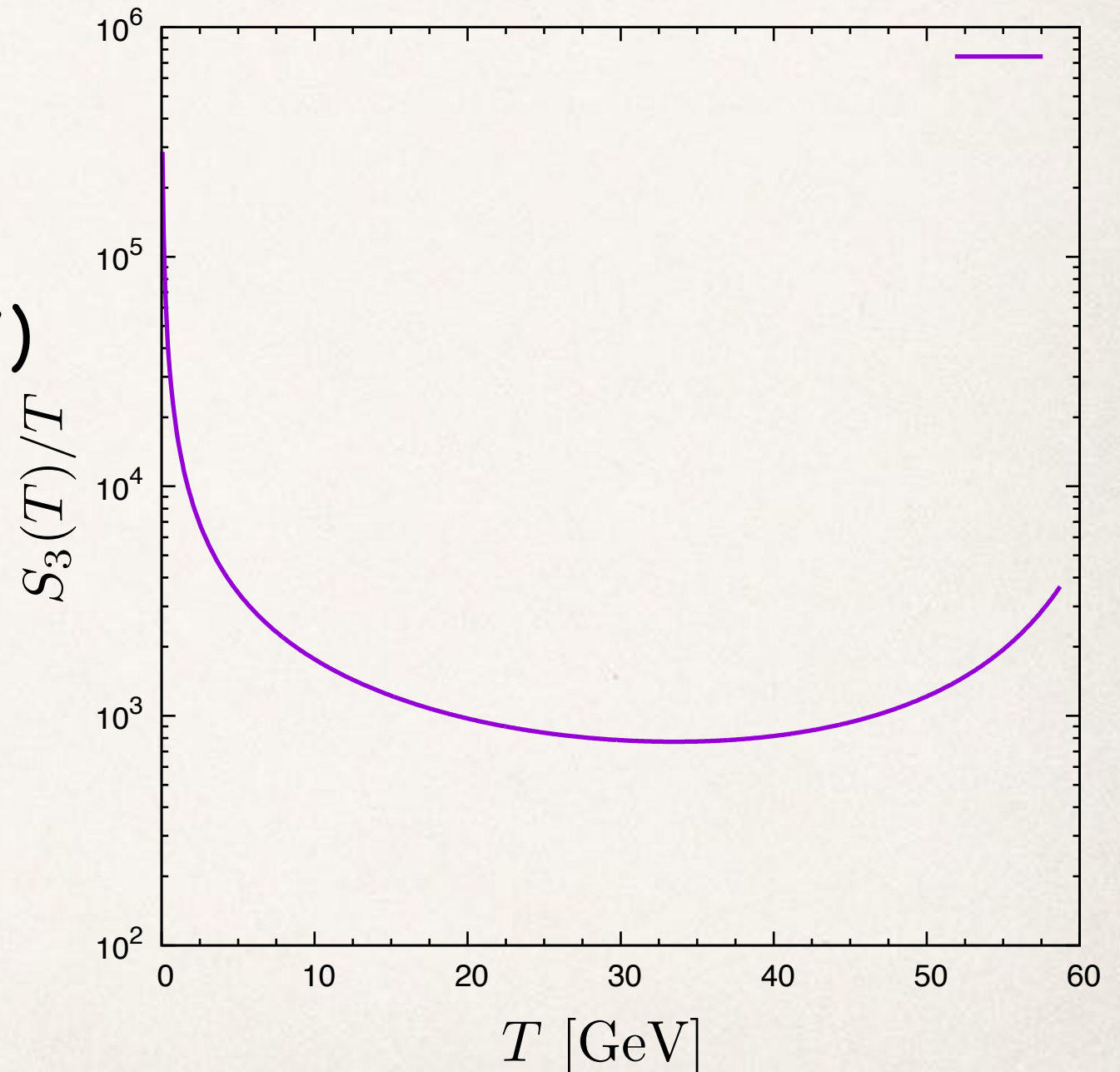


$$T_C = 83.1 \text{ GeV}; T_N (\text{LO}) = 84.9 \text{ GeV}, T_C (\text{LO}) = 90.4 \text{ GeV}$$



# No nucleation case

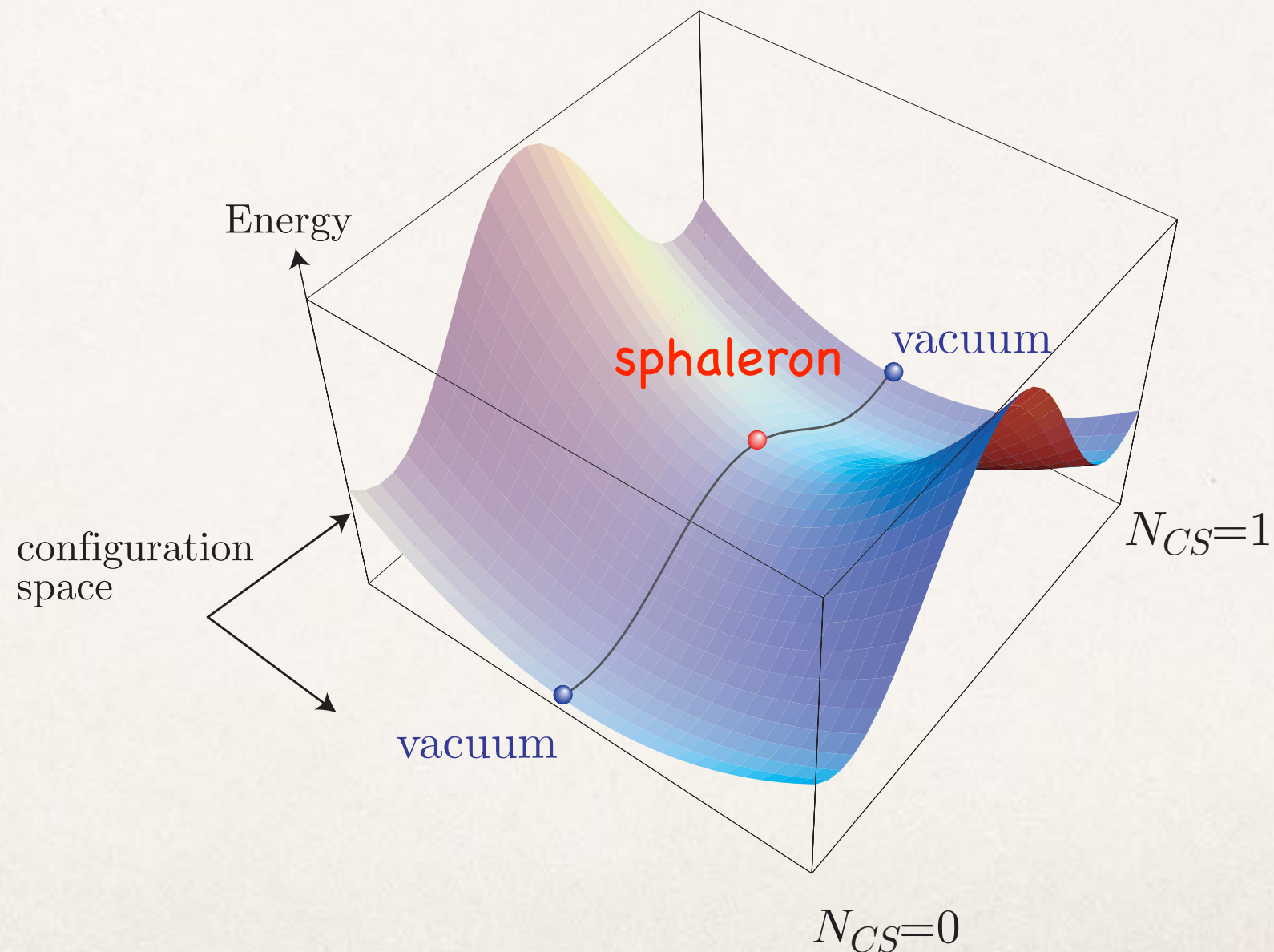
- $\alpha = -22.0^\circ$
- $V_c/T_c = (209.1\text{GeV})/(65.52\text{GeV})$   
 $= 3.2$
- Too strong 1<sup>st</sup>-order EWPT  
may not be consistent!!
- No nucleation for  $\alpha < -21.4^\circ$



# Sphaleron

$\sigma \phi \alpha \lambda \varepsilon \rho \theta s$  (sphaleros) “ready to fall”

[F.R.Klinkhamer and N.S.Manton, PRD30, 2212 (1984)]



# Sphaleron in SU(2) gauge-Higgs system

$$\mathcal{L}_{\text{gauge+Higgs}} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + (D_\mu\Phi)^\dagger D^\mu\Phi - V(\Phi),$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_2\epsilon^{abc}A_\mu^b A_\nu^c,$$

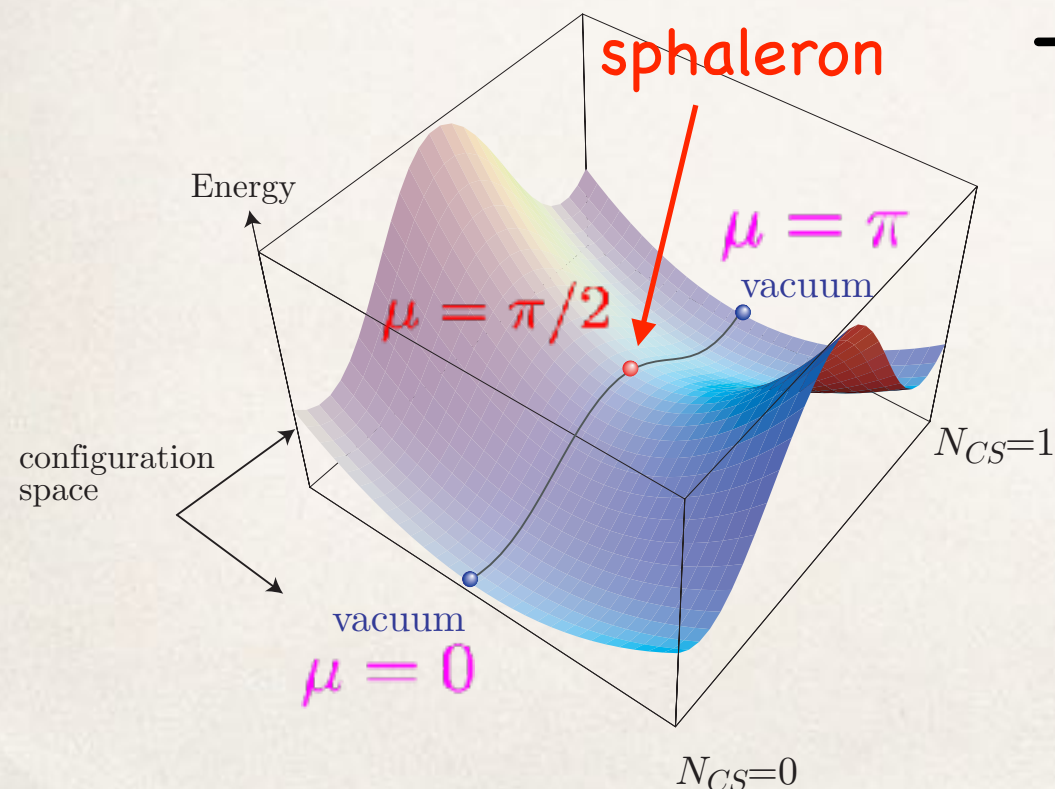
$$D_\mu\Phi = \left(\partial_\mu + ig_2 A_\mu^a \frac{\tau^a}{2}\right)\Phi, \quad V(\Phi) = \lambda \left(\Phi^\dagger\Phi - \frac{v^2}{2}\right)^2.$$

How do we find a saddle point configuration?

-> use of a noncontractible loop.

$$\mu \in [0, \pi]$$

In the limit of  $r = |\mathbf{x}| = \infty$ ,



$$A_i^\infty(\mu, \mathbf{x}) = \frac{i}{g_2} \partial_i U(\mu, \theta, \phi) U^{-1}(\mu, \theta, \phi),$$

$$\Phi^\infty(\mu, \mathbf{x}) = U(\mu, \theta, \phi) \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$



# Manton's ansatz

[N.S. Manton, PRD28 ('83) 2019]

$$A_i(\mu, r, \theta, \phi) = \frac{i}{g_2} f(r) \partial_i U(\mu, \theta, \phi) U^{-1}(\mu, \theta, \phi),$$
$$\Phi(\mu, r, \theta, \phi) = \frac{v}{\sqrt{2}} \left[ (1 - h(r)) \begin{pmatrix} 0 \\ e^{-i\mu} \cos \mu \end{pmatrix} + h(r) U(\mu, \theta, \phi) \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right].$$

$\mu = \pi/2 \Rightarrow$  saddle point configuration (sphaleron)

$\mu = 0, \pi \Rightarrow$  vacuum configuration

Changing the variable  $r = \sqrt{x^2}$  to  $\xi$ , one gets

Energy functional  $\left(\mu = \frac{\pi}{2}\right)$

$$E_{\text{sph}} = \frac{4\pi v}{g_2} \int_0^\infty d\xi \left[ 4 \left( \frac{df}{d\xi} \right)^2 + \frac{8}{\xi^2} (f - f^2)^2 + \frac{\xi^2}{2} \left( \frac{dh}{d\xi} \right)^2 + h^2 (1 - f)^2 + \frac{\lambda}{4g_2^2} \xi^2 (h^2 - 1)^2 \right]$$
$$= \frac{4\pi v}{g_2} \mathcal{E}_{\text{sph}}, \quad \text{where } \xi = g_2 v r.$$

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Energy functional  $\left(\mu = \frac{\pi}{2}\right)$

$$E_{\text{sph}} = \frac{4\pi v}{g_2} \int_0^\infty d\xi \left[ 4 \left( \frac{df}{d\xi} \right)^2 + \frac{8}{\xi^2} (f - f^2)^2 + \frac{\xi^2}{2} \left( \frac{dh}{d\xi} \right)^2 + h^2 (1 - f)^2 + \frac{\lambda}{4g_2^2} \xi^2 (h^2 - 1)^2 \right]$$
$$= \frac{4\pi v}{g_2} \mathcal{E}_{\text{sph}}, \quad \text{where } \xi = g_2 v r.$$



# Manton's ansatz

[N.S. Manton, PRD28 ('83) 2019]

$$A_i(\mu, r, \theta, \phi) = \frac{i}{g_2} f(r) \partial_i U(\mu, \theta, \phi) U^{-1}(\mu, \theta, \phi),$$

$$\Phi(\mu, r, \theta, \phi) = \frac{v}{\sqrt{2}} \left[ (1 - h(r)) \begin{pmatrix} 0 \\ e^{-i\mu} \cos \mu \end{pmatrix} + h(r) U(\mu, \theta, \phi) \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right].$$

$\mu = \pi/2 \Rightarrow$  saddle point configuration (sphaleron)

$\mu = 0, \pi \Rightarrow$  vacuum configuration

Changing the variable  $r = \sqrt{x^2}$  to  $\xi$ , one gets

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input:  $\frac{\lambda}{g_2^2} \simeq 0.3$  (SM)



# Sphaleron energy

## Equations of motion for the sphaleron

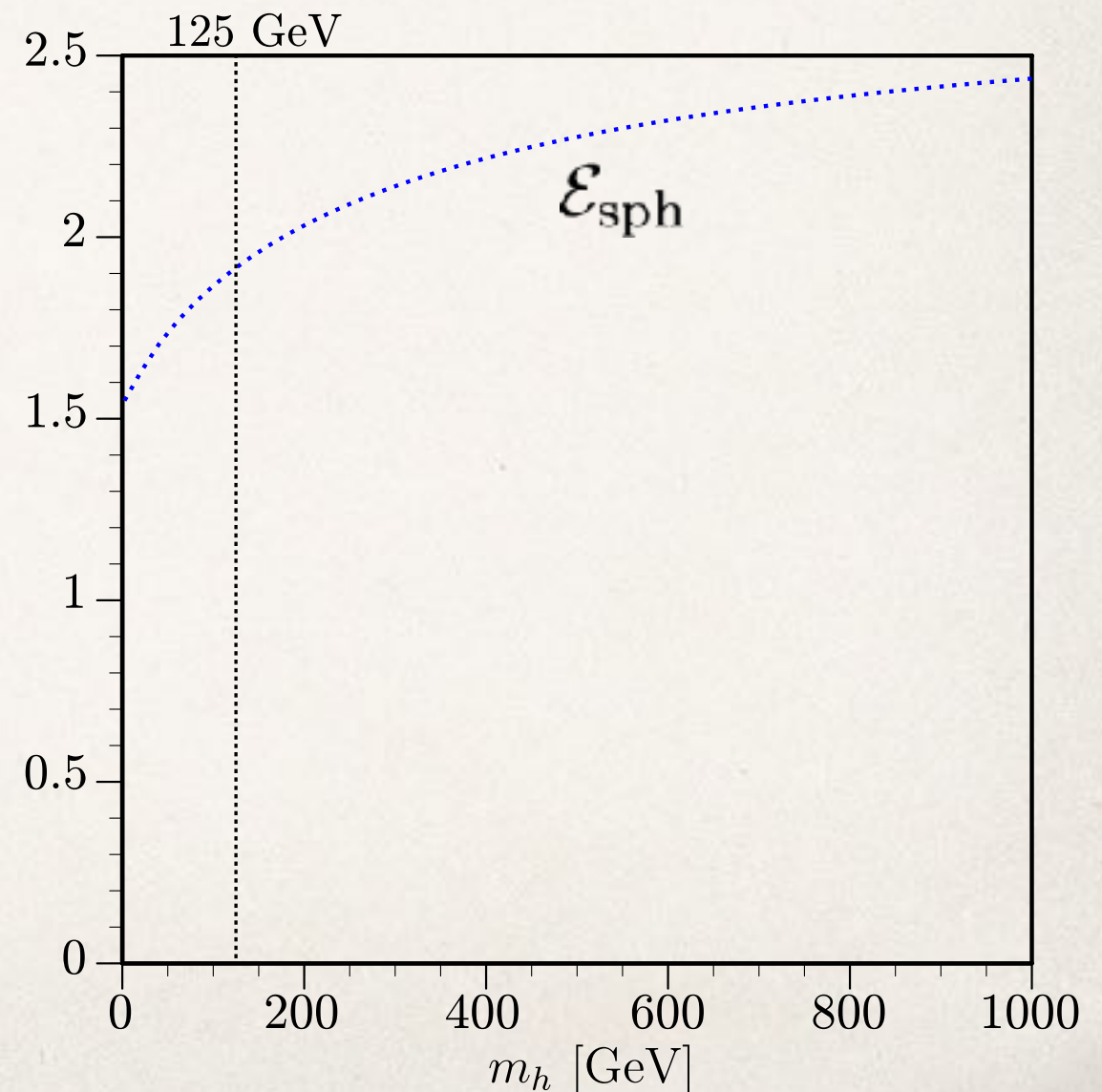
$$\frac{d^2}{d\xi^2} f(\xi) = \frac{2}{\xi^2} f(\xi)(1 - f(\xi))(1 - 2f(\xi)) - \frac{1}{4} h^2(\xi)(1 - f(\xi)),$$
$$\frac{d}{d\xi} \left( \xi^2 \frac{dh(\xi)}{d\xi} \right) = 2h(\xi)(1 - f(\xi))^2 + \frac{\lambda}{g_2^2} (h^2(\xi) - 1)h(\xi)$$

with the boundary conditions:

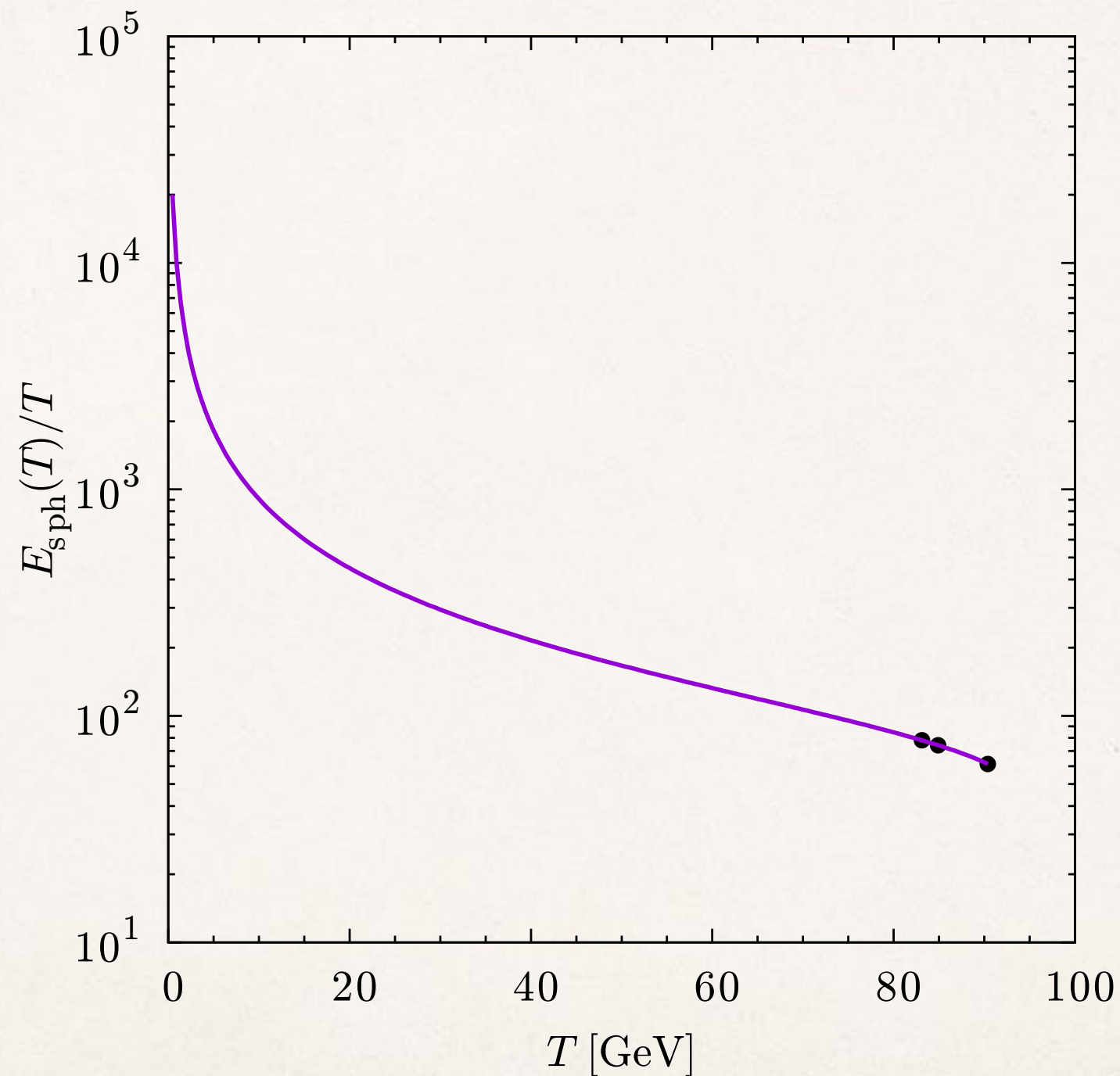
$$\lim_{\xi \rightarrow 0} f(\xi) = 0, \quad \lim_{\xi \rightarrow 0} h(\xi) = 0,$$
$$\lim_{\xi \rightarrow \infty} f(\xi) = 1, \quad \lim_{\xi \rightarrow \infty} h(\xi) = 1.$$

For  $m_h = 125$  GeV,

$$\mathcal{E}_{\text{sph}} = 1.92,$$
$$(E_{\text{sph}} = 9.08 \text{ TeV})$$



# $E_{\text{sph}}(T)/T$ in cxSM



$$\frac{E_{\text{sph}}(T_C)}{T_C} = 78.00, \quad \frac{E_{\text{sph}}(T_N)}{T_N} = 74.23, \quad \frac{E_{\text{sph}}(T_C^{\text{LO}})}{T_C^{\text{LO}}} = 61.31,$$

# T-dependence of $E_{\text{sph}}(T)$

$$E_{\text{sph}}(T) = \frac{4\pi\bar{v}(T)}{g_2} \mathcal{E}(T)$$

If T-dependence comes from  $v(T)$  only, one has

$$E_{\text{sph}}(T) = E_{\text{sph}}(0) \frac{\bar{v}(T)}{v_0}$$

Is this scaling law valid?



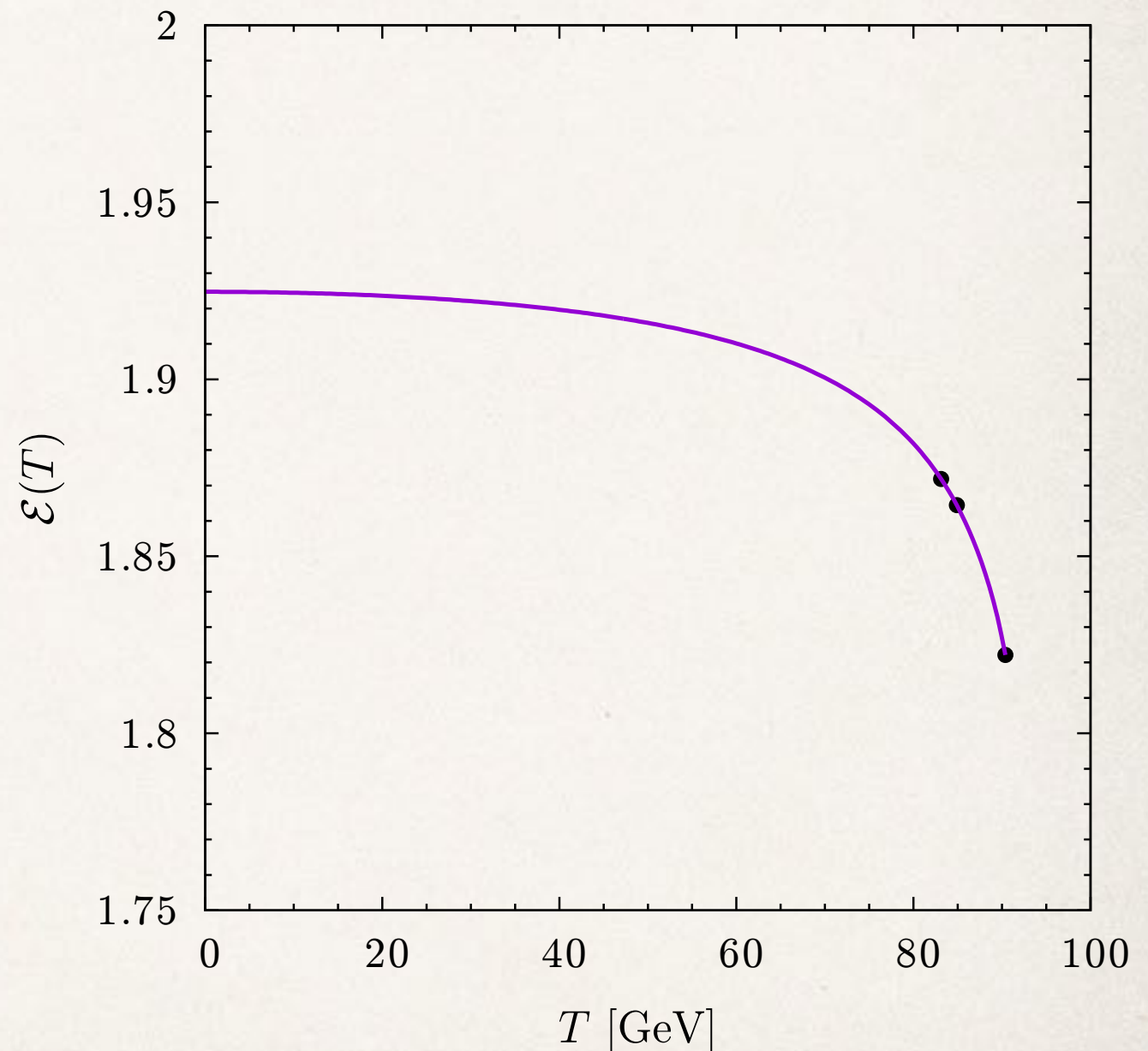
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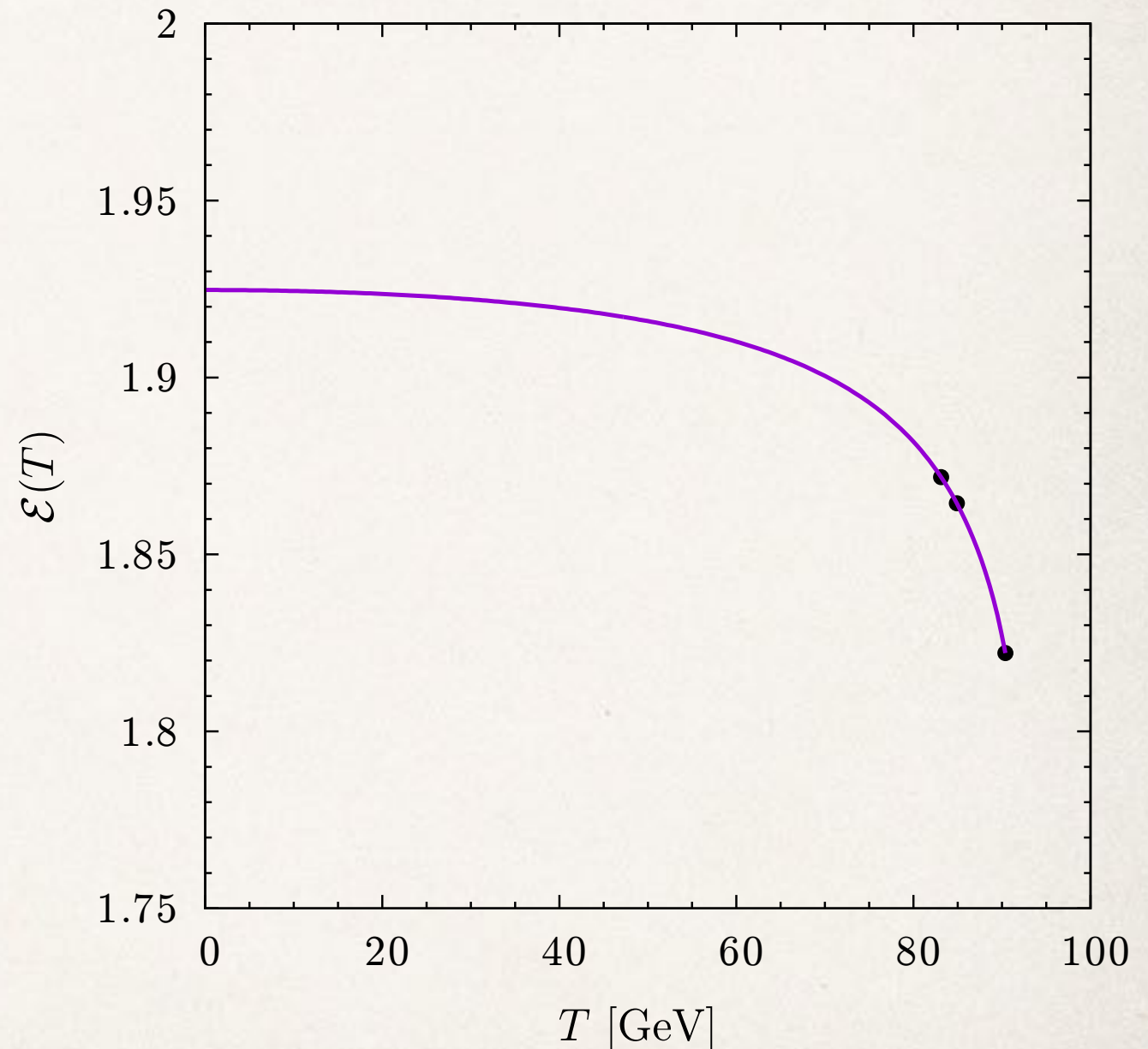
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No, it breaks down especially when T approaches  $T_c$ .

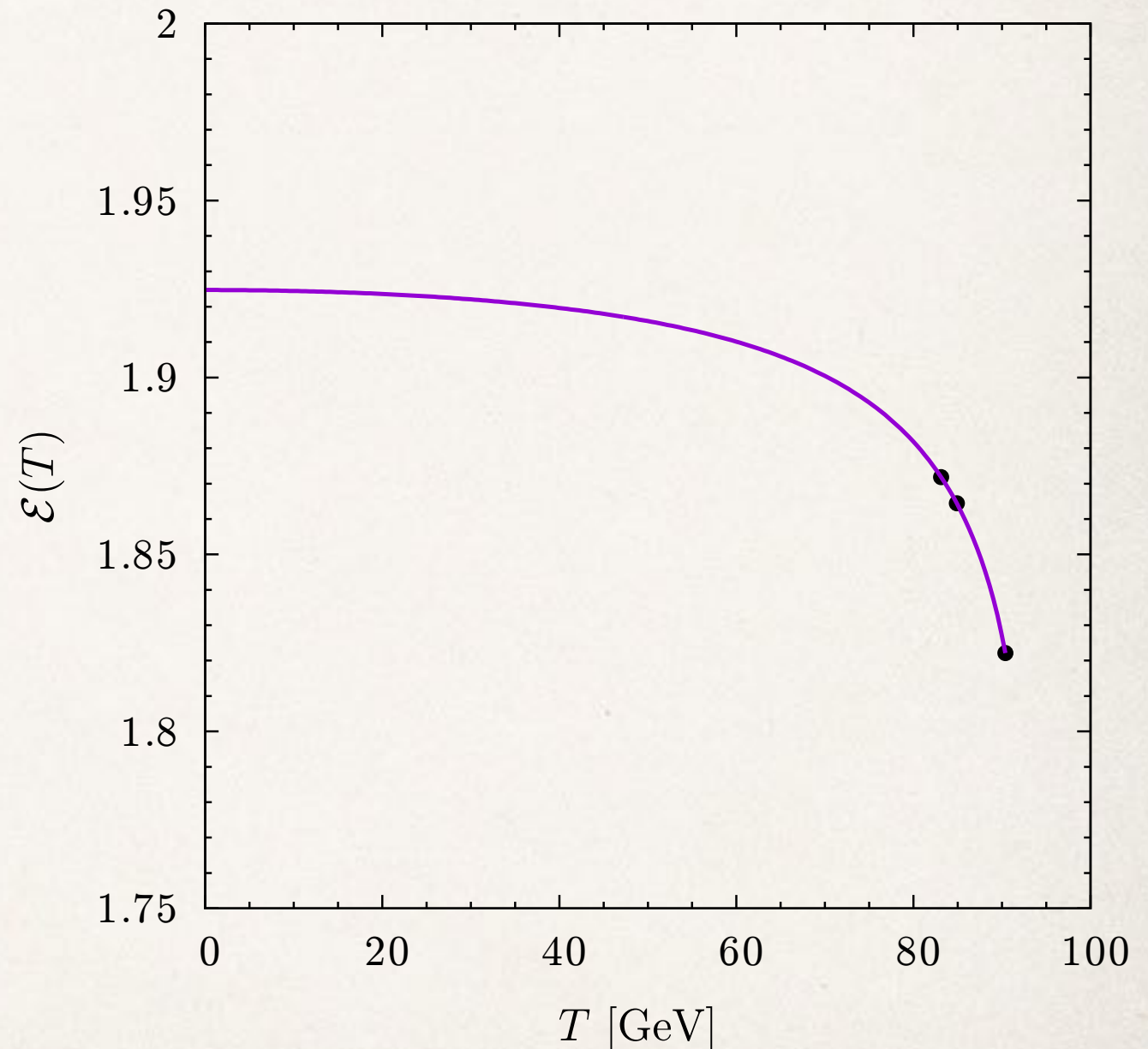
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No, it breaks down especially when  $T$  approaches  $T_c$ .

$\therefore$  presence of  $v_s(T)$ .



# Summary of the 1<sup>st</sup> part

- We have evaluated  $v_c$  and  $T_c$  using GI methods in the cxSM.
- $\mu$  dependence can be alleviated by the RG improvement.
- $v_c/T_c$  is greater than the LO result.

$$\longrightarrow \frac{E_{\text{sph}}(T_C)}{T_C} > \frac{E_{\text{sph}}(T_C^{\text{LO}})}{T_C^{\text{LO}}}$$

- Around phase transition point,  $T_c$  is subject to the large theoretical errors.  $\rightarrow$  higher-order corrections are needed.

# Band structure effect on B preservation criteria

based on the collaborators with

Koichi Funakubo (Saga U), Kaori Fuyuto (UMass-Amherst)

Ref. arXiv:1612.05431

# B preservation criteria

$$\Gamma_B^{(b)}(T_c) < H(T_c)$$



modified by band effect?



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modified by band effect?

If yes,  $\frac{v_C}{T_C} \gtrsim 1$  modified!

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↑  
modified by band effect?

If yes,  $\frac{v_C}{T_C} \gtrsim 1$  modified!

→ EWBG-viable region must be re-analyzed!!

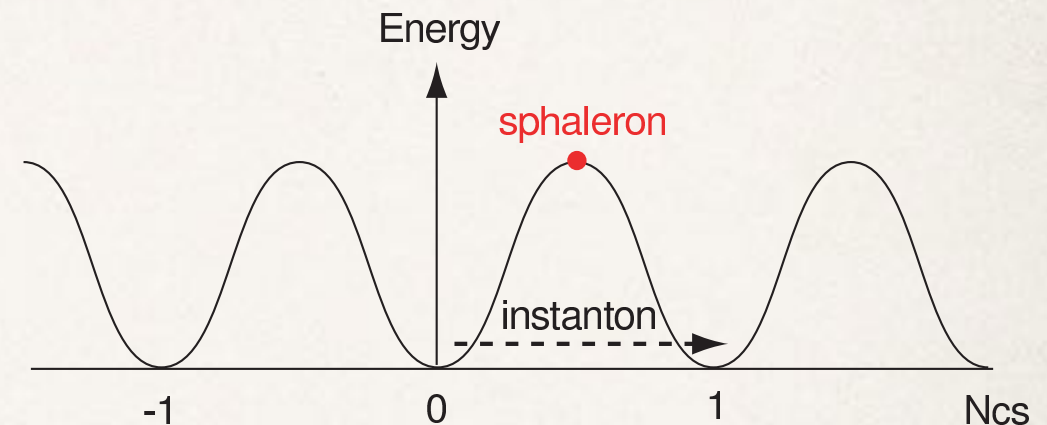
# B+L violation

- (B+L) is violated by a chiral anomaly in EW theories.

## Vacuum transition (instanton)

[t Hooft, PRL37,8 (1976), PRD14,3432 (1976)]

$$\sigma_{\text{instanton}} \simeq e^{-2S_{\text{instanton}}} = e^{-4\pi/\alpha_W} \simeq 10^{-162}$$



## Transition rate at finite-E

instanton-based [Ringwald, NPB330,(1990)1, Espinosa, NPB343 (1990)310]

$$\sigma(E) \sim \exp\left(\frac{4\pi}{\alpha_W} F(E)\right) \quad E \nearrow \Rightarrow \sigma(E) \nearrow$$

- But, instanton-based calculation is not valid at  $E > E_{\text{sph}}$

Bounce is more appropriate (transition between the finite-E states)

→ Reduced model.

[Aoyama, Goldberg, Ryzak, PRL60, 1902 ('88)]

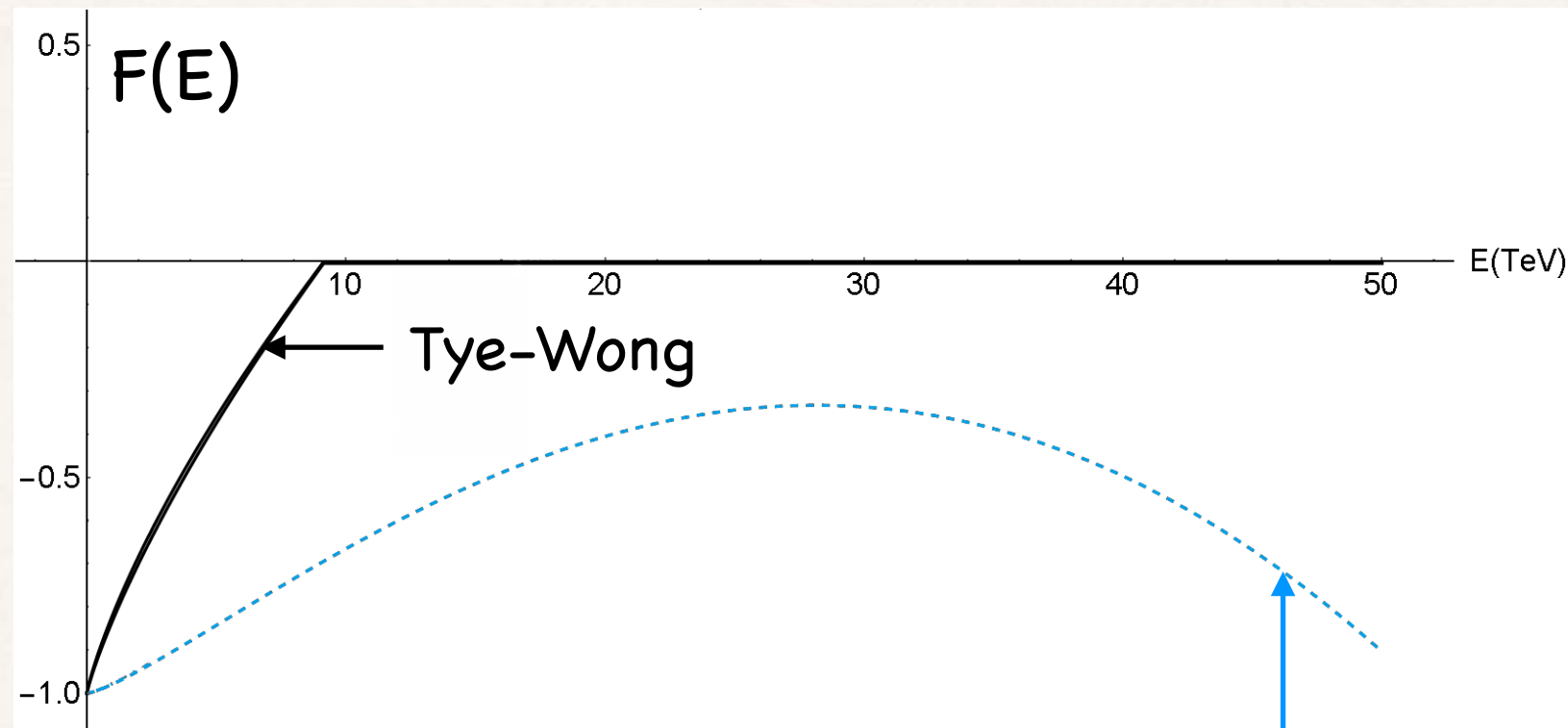
[Funakubo, Otsuki, Takenaga, Toyoda, PTP87,663('92), PTP89,881('93)]

[H. Tye, S. Wong, PRD92,045005 ('15)]



# Tye-Wong's work

[H. Tye, S. Wong, PRD92,045005 (2015)]



$$F(E) = -1 + \frac{9}{8} \left( \frac{E}{E_0} \right)^{4/3} - \frac{9}{16} \left( \frac{E}{E_0} \right)^2 + \dots \quad (\text{instanton calculus}) \quad E_0 \approx 15 \text{ TeV}$$

$F(E) = 0$  for  $E > E_{\text{sph}}$  (Tye-Wong)  $\therefore$  a band structure

**Q: Does the band affect sphaleron process at finite-T?**

# Reduced model

[Aoyama, Goldberg, Ryzak, PRL60, 1902 (1988)]

[Funakubo, Otsuki, Takenaga, Toyoda, PTP87, 663 (1992), PTP89, 881 (1993)]

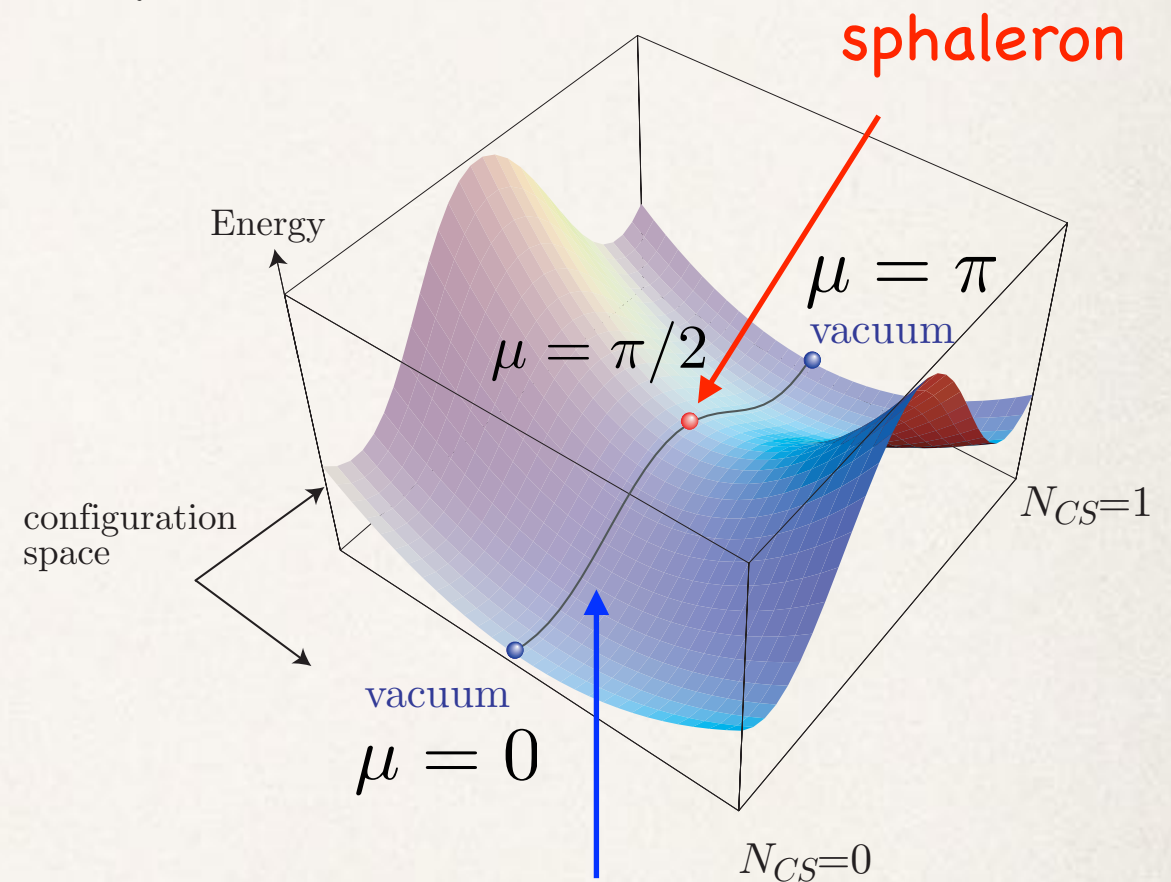
[H. Tye, S. Wong, PRD92,045005 (2015)]

Let us promote  $\mu$  to a dynamical variable:

$$\mu \Rightarrow \mu(t)$$

$\mu(-\infty)=0$ ,  $\mu(+\infty)=\pi$ : vacuum,  
 $\mu(t_{\text{sph}})=\pi/2$ : sphaleron

– We construct a reduced model by adopting a Manton's ansatz.



Non-contractible loop  
(least energy path)

# Comparison with Tye-Wong's work

Some differences between our work and Tye-Wong's (TW's).

|           | $A_0$        | Sphaleron mass     | Method for band structure    |
|-----------|--------------|--------------------|------------------------------|
| this work | $A_0 \neq 0$ | $\mu$ -dependent   | WKB w/ 3 connection formulas |
| Tye-Wong  | $A_0 = 0$    | $\mu$ -independent | Schroedinger eq. numerically |

We use the Manton's ansatz with  $A_0 = \frac{i}{g_2} f(r) \partial_0 U U^{-1}$ .

Unlike the previous studies, our method is fully gauge invariant.

N.B.

If  $A_0=0$  is naively adopted with the Manton's ansatz, an unwanted divergence would appear in  $D\Phi$  at the region  $r=\infty$ .  $\rightarrow$  some prescription is needed!!

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# Classical action

$$S[\mu] = g_2 v \int dt \left[ \frac{M(\mu)}{2} \left( \frac{d}{dt} \frac{\mu(t)}{g_2 v} \right)^2 - V(\mu) \right],$$

$$M(\mu) = \frac{4\pi}{g_2^2} \int_0^\infty d\xi \xi^2 \left[ 4 \left\{ f'^2 \frac{4 + 2c_\mu^2}{3} + \frac{4}{\xi^2} (f - f^2)^2 \frac{8 + 2c_\mu^2}{3} s_\mu^2 \right\} \right. \\ \left. + (1 - h)^2 + 2h(1 - h)(1 - f) + 2(1 - h)^2 f c_\mu^2 \right. \\ \left. + \frac{4 + 2c_\mu^2}{3} \left\{ h^2(1 - f)^2 + \left( (1 - h)^2(f^2 - 2f) - 2h(1 - h)f(1 - f) \right) c_\mu^2 \right\} \right]$$

$$V(\mu) = \frac{4\pi}{g_2^2} \int_0^\infty d\xi \xi^2 \left[ \frac{4}{\xi^2} \left\{ f'^2 + \frac{2}{\xi^2} (f - f^2)^2 s_\mu^2 \right\} s_\mu^2 \right. \\ \left. + \frac{s_\mu^2}{2} \left\{ h'^2 + \frac{2}{\xi^2} \left( h^2(1 - f)^2 - 2h(1 - h)f(1 - f)c_\mu^2 + f^2(1 - h)^2 c_\mu^2 \right) \right\} \right. \\ \left. + \frac{\lambda}{4g_2^2} (1 - h^2)^2 s_\mu^4 \right].$$

$f, h$  are determined by the EOM for the sphaleron.

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where  $M(\mu) = \frac{4\pi}{g_2^2} (\alpha_0 + \alpha_1 \cos^2 \mu + \alpha_2 \cos^4 \mu)$ ,  $V(\mu) = \frac{4\pi}{g_2^2} \sin^2 \mu (\beta_1 + \beta_2 \sin^2 \mu)$ .

$$\alpha_0 = 19.42, \quad \alpha_1 = -1.937, \quad \alpha_2 = -2.656,$$
$$\beta_1 = 1.313, \quad \beta_2 = 0.603,$$

$$M_{\text{sph}} = g_2 v M \left( \frac{\pi}{2} \right) \simeq 92.01 \text{ TeV}, \quad E_{\text{sph}} = g_2 v V \left( \frac{\pi}{2} \right) \simeq 9.08 \text{ TeV}.$$

c.f., TW's:  $M_{\text{sph}} = 17.1 \text{ TeV}$ . With same normalization,  $M_{\text{sph}}(\text{ours}) \rightarrow 23.0 \text{ TeV}$ .

Number of band edges are affected by the size of  $M_{\text{sph}}$  (see later).

# Band structure

$$E_{\text{sph}}=9.08 \text{ TeV}$$

$$E_{\text{sph}}=9.11 \text{ TeV}$$

| this work     |                         | Units: TeV | Tye-Wong      |                       |
|---------------|-------------------------|------------|---------------|-----------------------|
| Band Centre E | Band Width              |            | Band Centre E | Band Width            |
| 14.054        | 0.0744                  |            | ?             | ?                     |
| 13.980        | 0.0741                  |            | ?             | ?                     |
| ⋮             | ⋮                       |            | ⋮             | ⋮                     |
| 9.072         | 0.0104                  |            | 9.113         | 0.0156                |
| 9.044         | $4.85 \times 10^{-3}$   |            | 9.081         | $7.19 \times 10^{-3}$ |
| 9.012         | $1.61 \times 10^{-3}$   |            | 9.047         | $2.62 \times 10^{-3}$ |
| ⋮             | ⋮                       |            | ⋮             | ⋮                     |
| 0.1015        | $1.88 \times 10^{-199}$ |            | 0.1027        | $\sim 10^{-177}$      |
| 0.03383       | $1.31 \times 10^{-202}$ |            | 0.03421       | $\sim 10^{-180}$      |

Band gaps still exist  $E > E_{\text{sph}}$  due to nonzero reflection rate.



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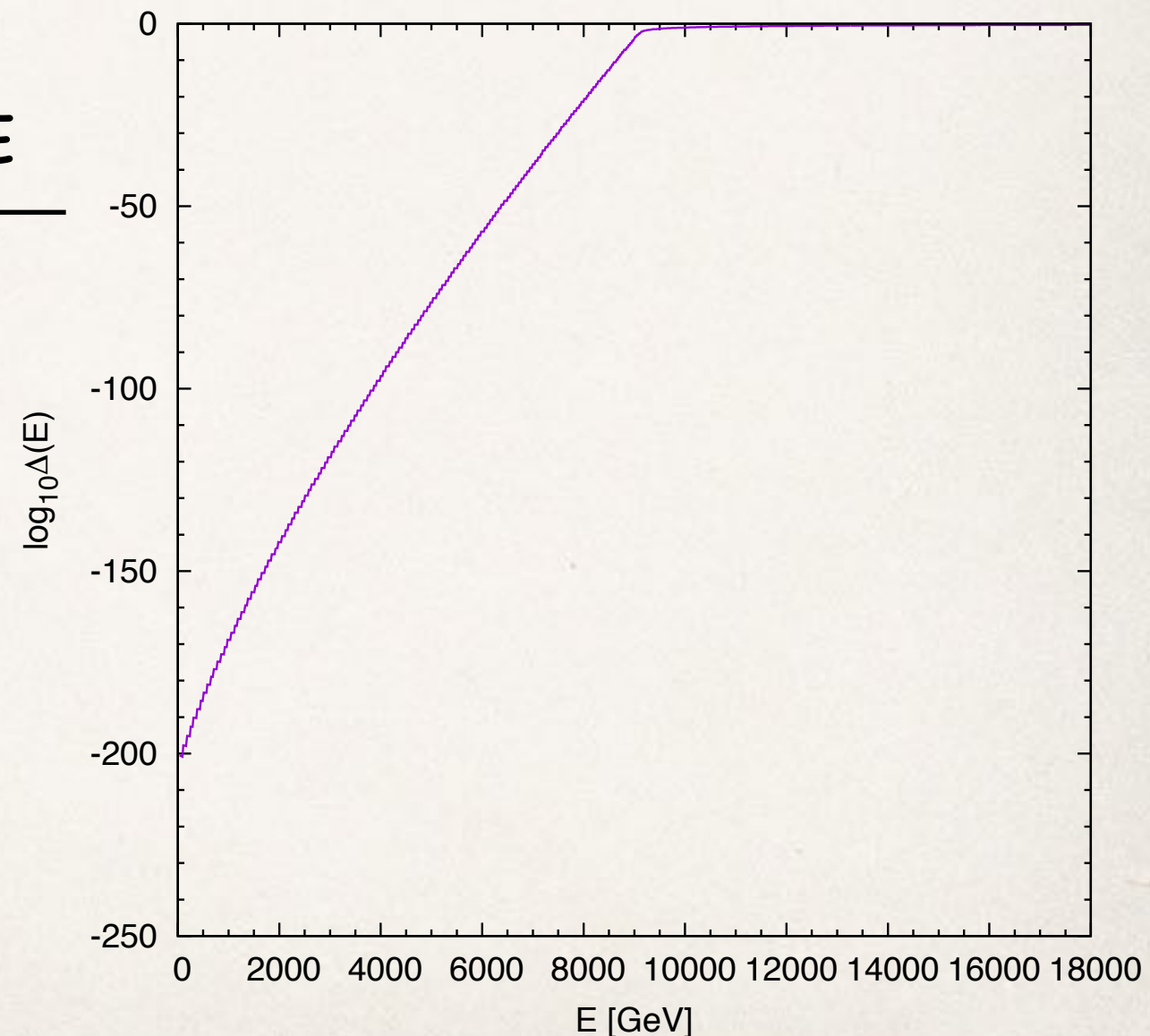
# Transition factor

$$\sigma_{\Delta(B+L)=\pm 1} \propto \begin{cases} \overset{\text{tunneling factor}}{1 \times \exp\left(\frac{4\pi}{\alpha_W} F(E)\right)} & \text{instanton calculus} \\ \Delta(E) \times 1 & \text{band picture} \end{cases}$$

$$\Delta(E) \simeq \frac{\text{sum of band widths up to } E}{\text{energy } (E)}$$

## Band picture:

- State of density is restricted.
- Exponential suppression at  $E \ll E_{\text{sph}}$  is due to the tiny band width.





# Vacuum decay rate at finite-T

Ordinary case: [Affleck, PRL46,388 (1981)]

$$\Gamma_A(T) = \frac{1}{Z_0(T)} \int_0^\infty dE J(E) e^{-E/T}$$
$$\simeq \frac{1}{Z_0} \frac{\omega_-}{4\pi \sin\left(\frac{\omega_-}{2T}\right)} e^{-E_{\text{sph}}/T} \quad \text{for } T > \frac{\omega_-}{2\pi}, \quad \approx 14 \text{ GeV}$$

$$J(E) = \frac{T(E)}{2\pi}, \quad Z_0(T) = \left[2 \sinh\left(\frac{\omega_0}{2T}\right)\right]^{-1}, \quad \frac{\omega_0}{g_2 v} = \sqrt{\frac{V''(0)}{M(0)}} \approx 0.42, \quad \frac{\omega_-}{g_2 v} = \sqrt{\frac{V''(\pi/2)}{M(\pi/2)}} \approx 0.51$$

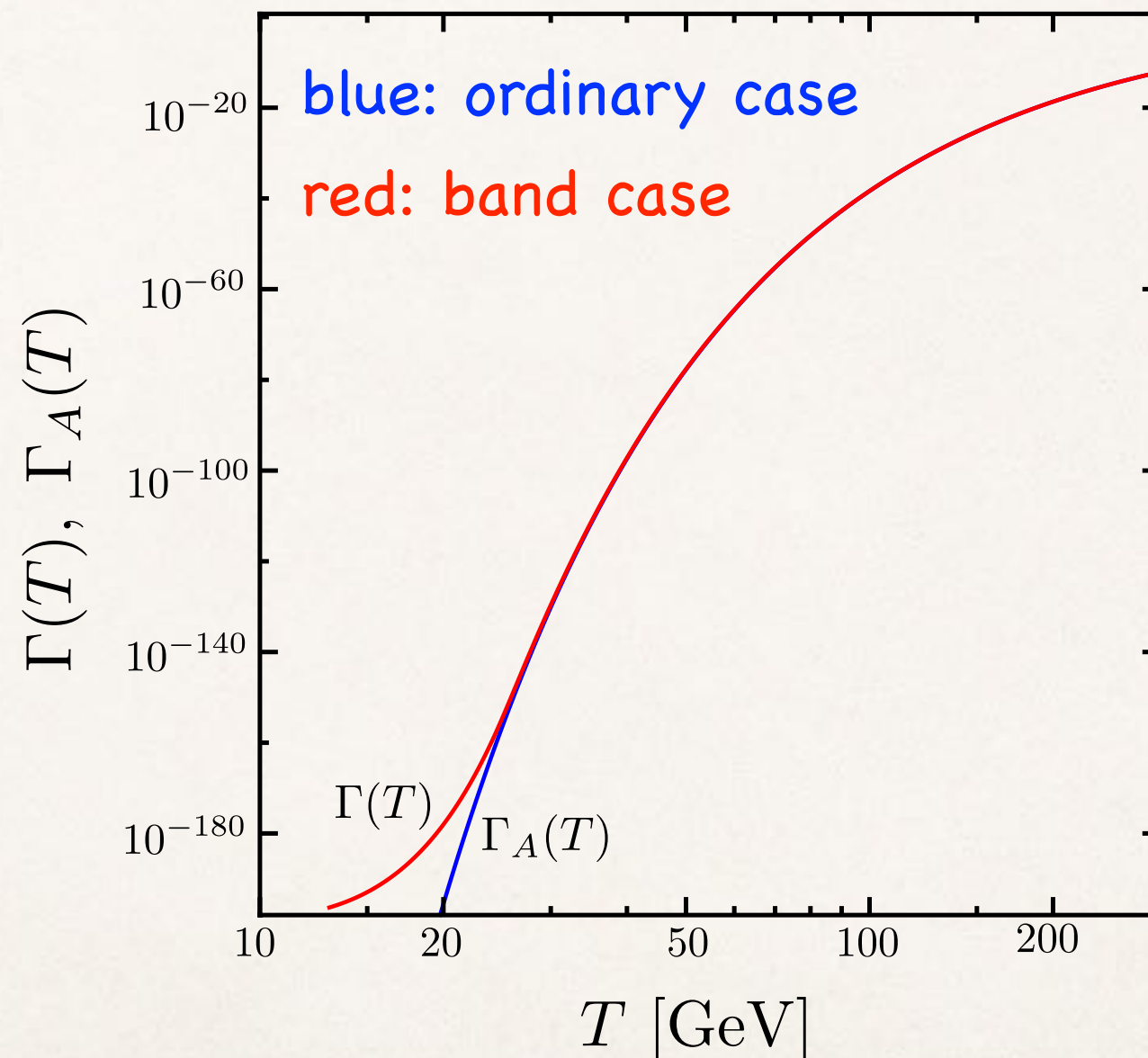
Band case:  $J(E) \rightarrow \eta(E)/2\pi$

$$\Gamma(T) = \frac{1}{Z_0(T)} \int_0^\infty dE \frac{\eta(E)}{2\pi} e^{-E/T}$$

$\eta(E) = 1$  for the conducting band,  $\eta(E) = 0$  for the band gap

# Impact of band

For simplicity, we use the band structure obtained before.

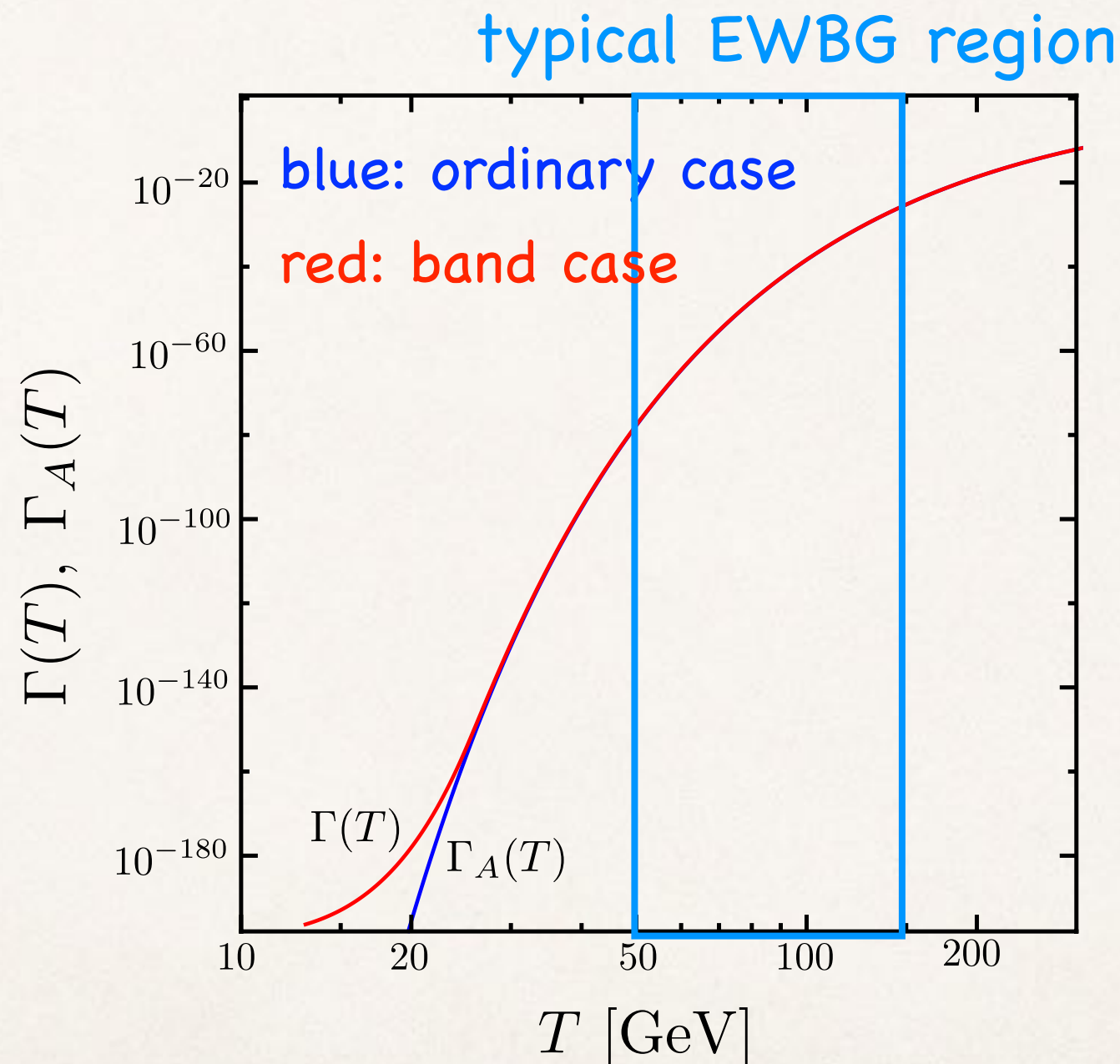


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How about B-number preservation criteria?

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# Baryon number preservation criteria

$$\Gamma(T) < H(T)$$

Including the band effect,  $\Gamma(T) = R(T)\Gamma_A(A)$

$$\frac{v(T)}{T} > \frac{g_2}{4\pi\mathcal{E}_{\text{sph}}} \left[ 42.97 + \log \mathcal{N} + \log R(T) + \cdots \right]$$

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Band effect has little effect on the B preservation criteria.

# Summary of the 2<sup>nd</sup> part

- We have discussed the band effect on the sphaleron processes at  $T \neq 0$ .
- At  $T \simeq 100$  GeV, sphaleron process is virtually unaffected.  
→ no impact on EWBG.

Backup



# Eigenvalue problem

Hamiltonian:

$$\hat{H}(\mu, p) = g_2 v \left[ \hat{p} \frac{1}{2M(\hat{\mu})} \hat{p} + V(\hat{\mu}) \right], \quad [\hat{\mu}, \hat{p}] = i$$

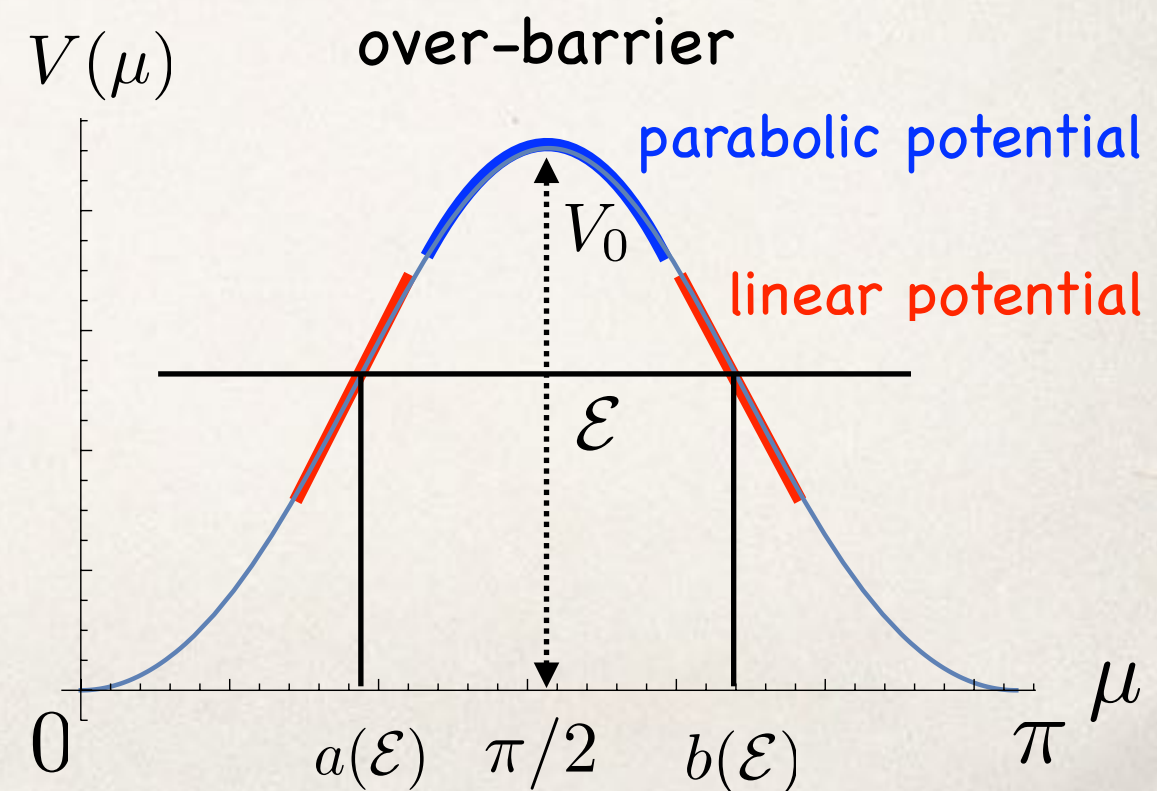
Band energy is determined by solving [N.L.Balazs, Ann.Phys.53,421 (1969)]

$$\cos(\Phi(\mathcal{E})) = \pm \sqrt{T(\mathcal{E})}$$

$$\Phi(\mathcal{E}) = \begin{cases} \frac{1}{\hbar} \int_{b(\mathcal{E})}^{a(\mathcal{E})} d\mu p(\mu) & \text{for } \mathcal{E} < V_0, \\ \frac{1}{\hbar} \int_{-\pi/2}^{\pi/2} d\mu p(\mu) & \text{for } \mathcal{E} \geq V_0, \end{cases}$$

$$p(\mu) = \sqrt{M(\mu)(\mathcal{E} - V(\mu))}$$

with 3 connection formulas depending on energy.



# $\Delta(B+L) \neq 0$ process

[Funakubo, Otsuki, Takenaga, Toyoda, PTP87, 663 (1992), PTP89, 881 (1993)]

transition amplitude:

$$S_{fi} = \langle f | \hat{S} | i \rangle \sim \int \int \langle f | \phi(y), \pi(y) \rangle \langle \phi(y), \pi(y) | \hat{S} | \phi(x), \pi(x) \rangle \langle \phi(x), \pi(x) | i \rangle$$

path integral using coherent state  $|\phi, \pi\rangle$

$\therefore$  appropriate for describing classical configuration

- tunneling suppression appears in the intermediate process.
- **overlap issue**: suppressions from  $\langle f | \phi, \pi \rangle$  and  $\langle \phi, \pi | i \rangle$ .

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# overlap factor

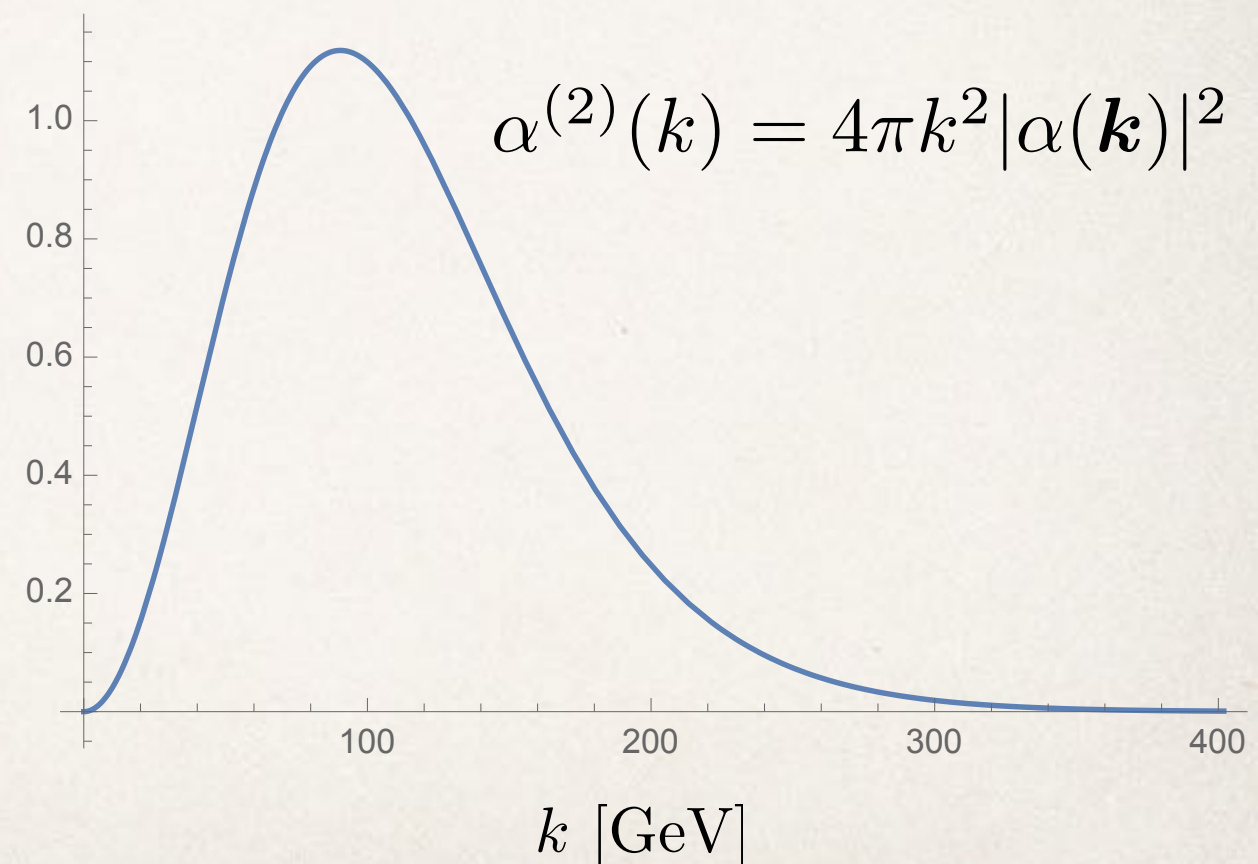
inner product between n particle state and coherent state:

$$\langle 0 | \hat{a}(\mathbf{k}_1) \hat{a}(\mathbf{k}_2) \cdots \hat{a}(\mathbf{k}_n) | \phi(x), \pi(x) \rangle = \exp \left[ -\frac{1}{2} \int d\mathbf{k} |\alpha(\mathbf{k})|^2 \right] \alpha(\mathbf{k}_1) \alpha(\mathbf{k}_2) \cdots \alpha(\mathbf{k}_n)$$

$$\alpha(k) = \int \frac{d^{d-1}\mathbf{x}}{(2\pi)^{d-1}} \frac{1}{\sqrt{2\omega_{\mathbf{k}}}} \left[ \omega_{\mathbf{k}} \phi(x) + i\pi(x) \right] e^{-i\mathbf{k} \cdot \mathbf{x}}$$

- cross section  $\propto |\alpha_1|^2 \dots |\alpha_n|^2$

-  $|\alpha|^2$  has a peak at  $k=m_W$ .

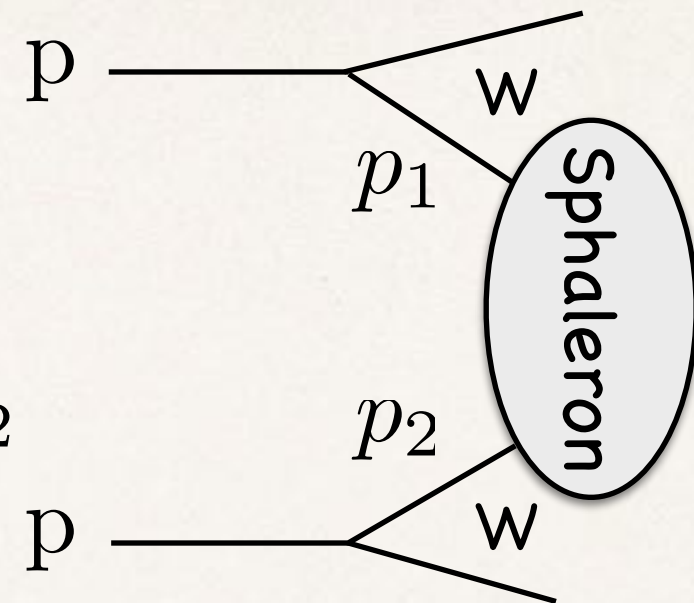


# Sphaleron at colliders

Case1: 2  $\rightarrow$  sphaleron

For  $|p_1|=|p_2|\simeq E_{\text{sph}}/2$

$$|\langle \phi(x), \pi(x) | \mathbf{p}_1 \mathbf{p}_2 \rangle|^2 \ni |\alpha(\mathbf{p}_1)|^2 |\alpha(\mathbf{p}_2)|^2$$
$$\sim e^{-\pi E_{\text{sph}}/m_W} \sim \boxed{10^{-155}}$$



Creation of sphaleron from the 2 energetic particles is difficult.

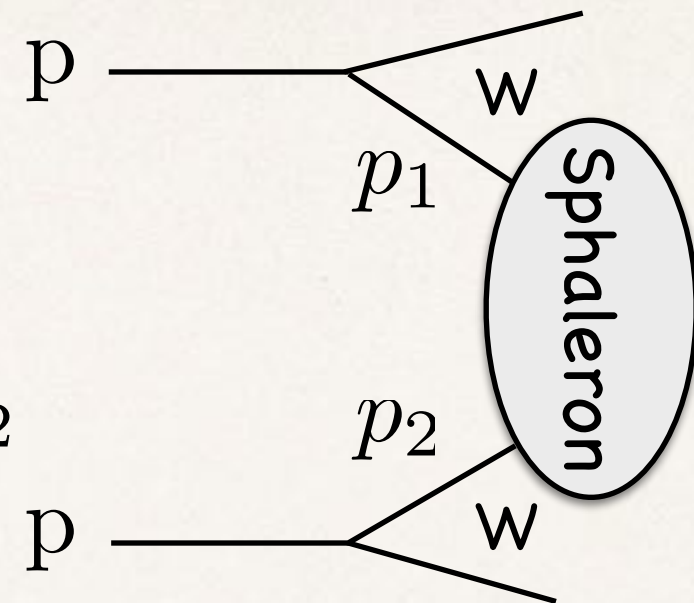
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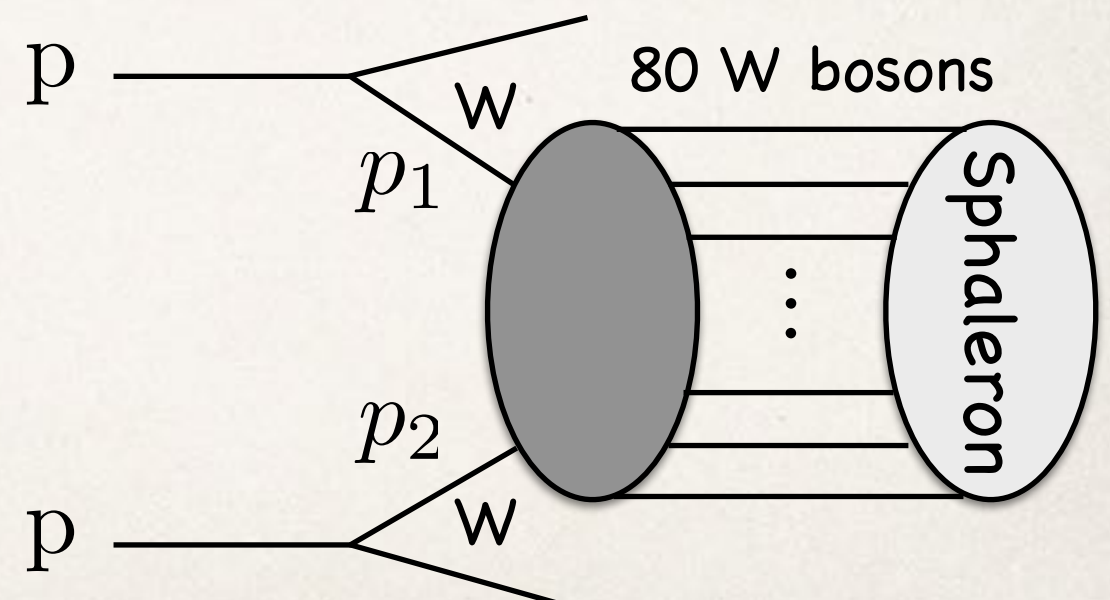
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Case2:  $2 \rightarrow n W \rightarrow \text{sphaleron}$

$n \simeq 80$  since  $E_{\text{sph}}/\sqrt{2}m_W$

phase space factor:

$$\sim \left( \frac{1}{(4\pi)^2} \right)^{80} \sim \boxed{10^{-176}}$$



difficult to produce about 80 W bosons.