

# AFFLECK-DINE LEPTOGENESIS WITH VARYING PQ SCALE

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# INTRODUCTION

- ***Baryogenesis via Leptogenesis***

- Due to (B-L)-conserving and (B+L)-violating process makes  
Lepton asymmetry  $\longrightarrow$  Baryon asymmetry
- Neutrino physics can show its footprints.

- ***Affleck-Dine mechanism***

- scalar field dynamics in SUSY: *CPV in SUSY breaking parameters*
- Along  $LHu$  direction: lepton number generation  
 $\longrightarrow$  light neutrino mass required  $< 10^{-9}$  eV; neutrinoless double beta decay

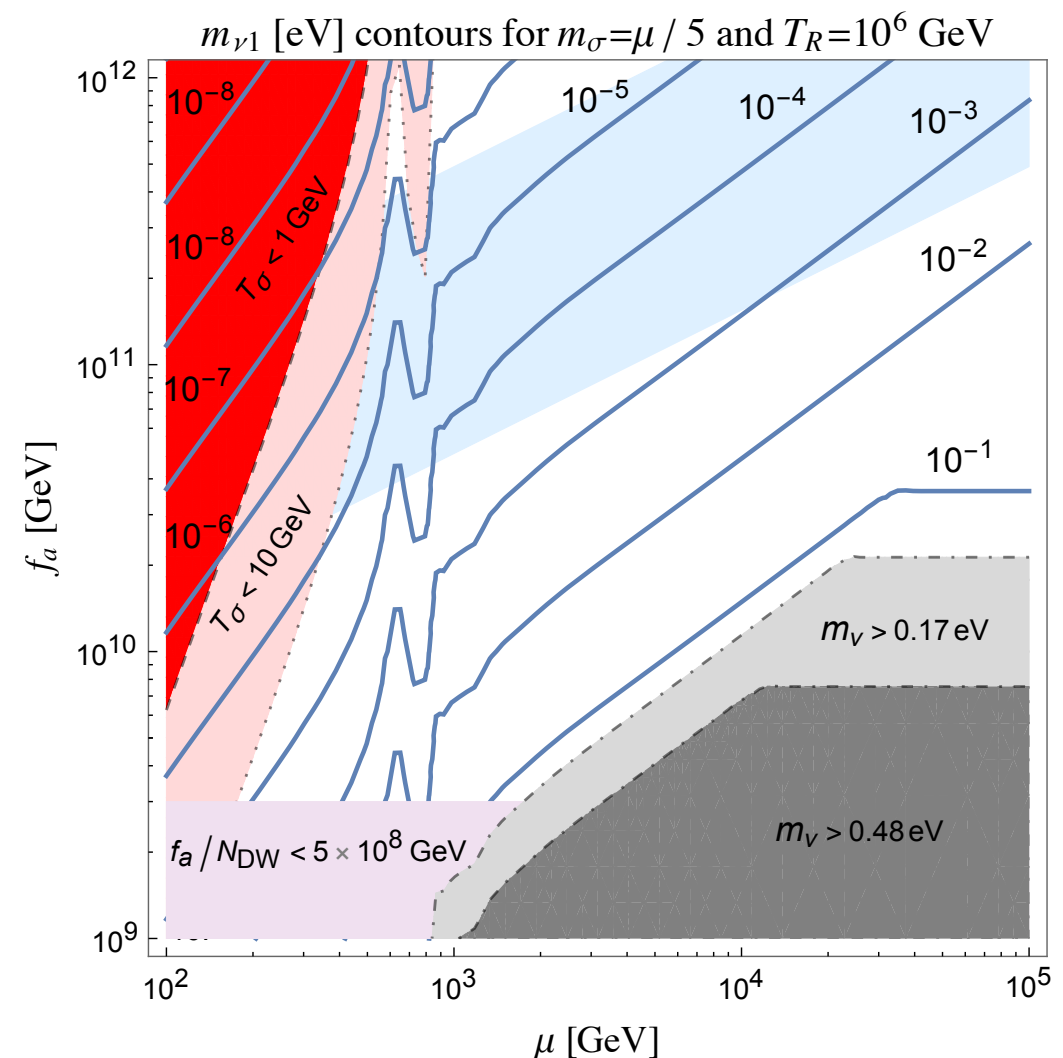
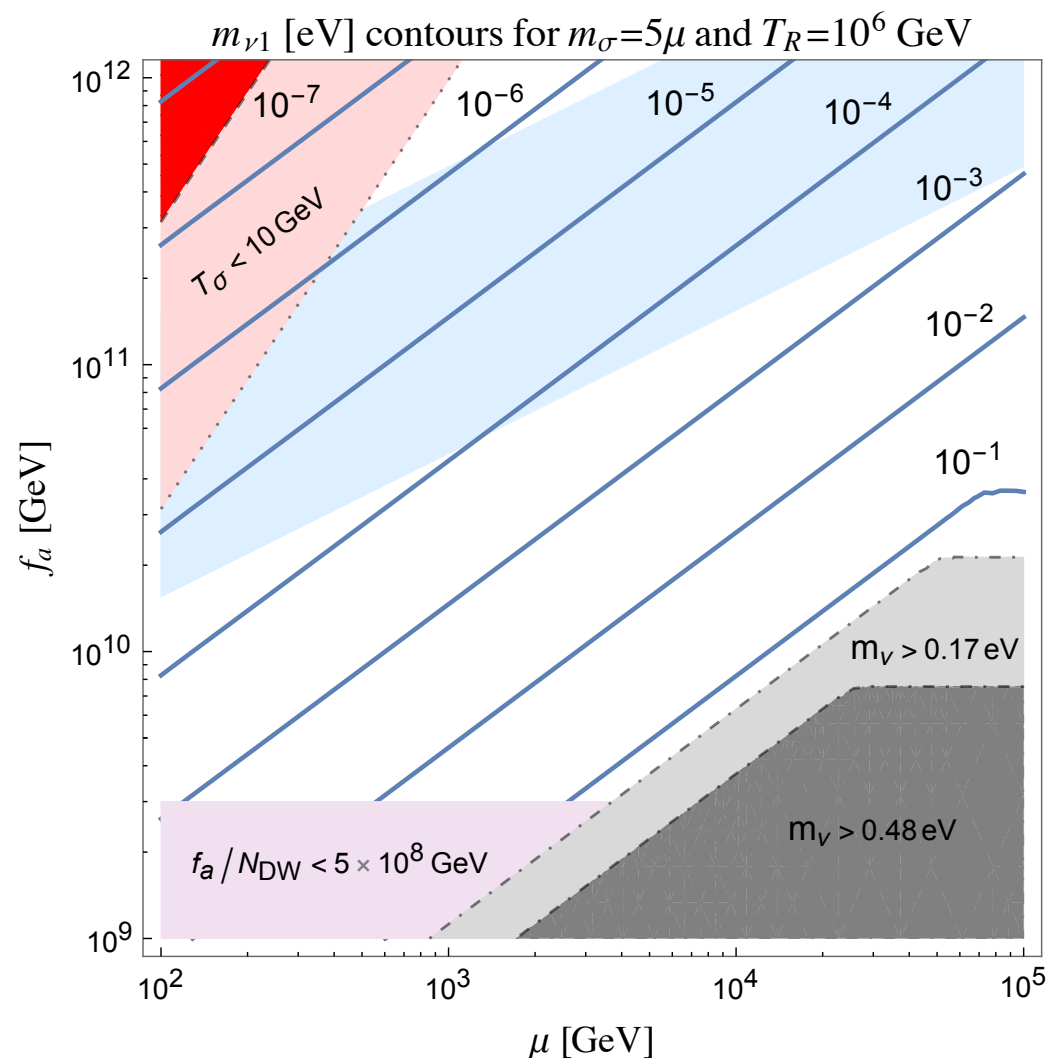
- ***Varying PQ scale***

- PQ scale  $\sim M_p$  during leptogenesis but  $f_a \sim 10^9-12$  GeV afterwards  
 $\longrightarrow$  neutrino mass  $\sim 10^{-4}$  eV; suppress axion isocurvature

# INTRODUCTION

- **Dine-Fischler-Srednicki-Zhitnitsky model**

- SUSY DFSZ model provides strong CP solution, mu-term, also RHN mass
- *Dilution from saxion decay determines final lepton(baryon) asymmetry*
- *suppress unwanted lepton number violation during saxion oscillation*



# OUTLINE

1. Leptogenesis
2. AD mechanism along LHu direction
3. AD leptogenesis in DFSZ model with varying  
PQ scale
4. Summary



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# BARYON ASYMMETRY

## Baryon Asymmetry of the Universe:

observed:  $\frac{n_B}{s} \simeq 10^{-10}$       cf) if universe were symmetric       $\frac{n_B}{n_\gamma} = \frac{n_{\bar{B}}}{n_\gamma} \simeq 10^{-18}$

- Inflation dilutes all pre-existing particles.
- We need a source of  $B$  asym. *after inflation*.

## Sakharov's conditions:

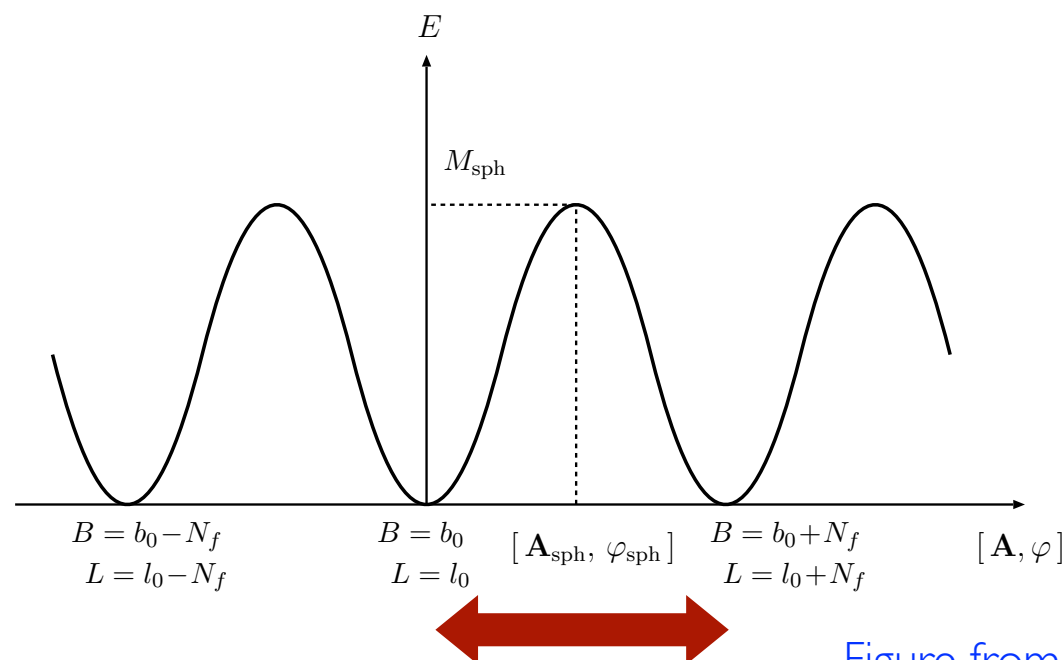
- $B$  violation
- $C$  &  $CP$  violation
- departure from thermal equilibrium

# B & L VIOLATION

- In the SM, baryon & lepton number are (accidental) symmetry at the tree-level.
- Due to **chiral nature of leptons & quarks**, B & L have anomalies

$$\begin{aligned}\partial^\mu J_\mu^B &= \partial^\mu J_\mu^L \\ &= \frac{N_f}{32\pi^2} \left( -g^2 W_{\mu\nu}^I \tilde{W}^{I\mu\nu} + g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu} \right)\end{aligned}$$

- At quantum level, (B-L) is conserved but (B+L) is violated.



$$\begin{aligned}\Gamma &\sim e^{-S_{\text{inst}}} = e^{-\frac{4\pi}{\alpha}} \\ &= \mathcal{O}(10^{-165}) .\end{aligned}$$

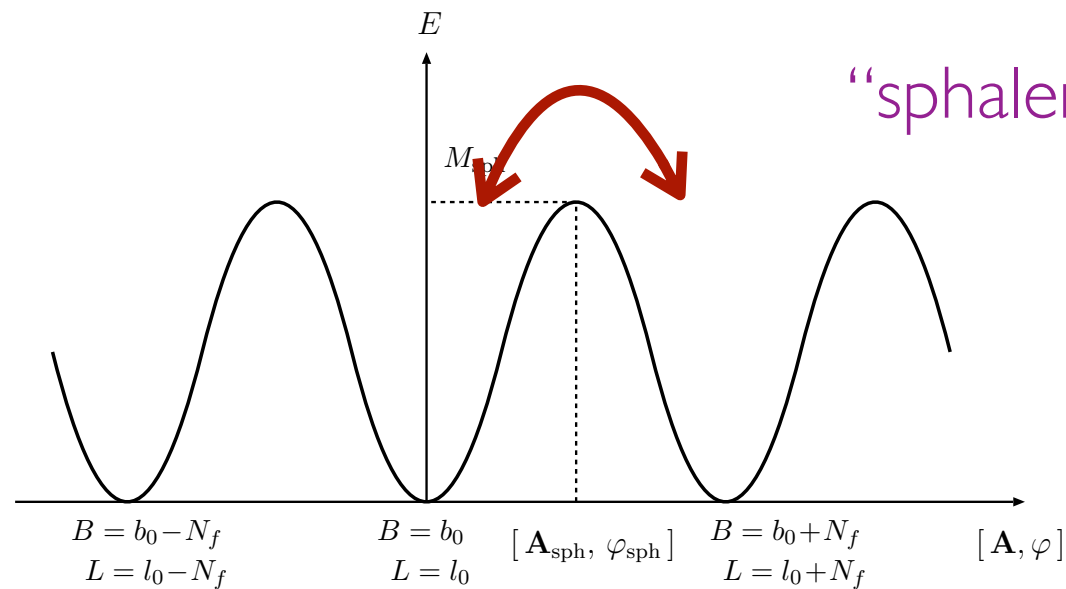
Figure from hep-ph/0212305

**(B+L) violating vacuum transition**

# B & L VIOLATION

- At high temperature,

(B+L) violating transition  
via thermal fluctuation



$$\Gamma_{B+L}/V \sim \alpha^5 \ln \alpha^{-1} T^4.$$

Figure from hep-ph/0212305

- (B+L) violating interaction is in thermal equilibrium for

$$100 \text{ GeV} < T < T_{sph} \sim 10^{12} \text{ GeV}$$

- L number can be transferred into B number and vice versa.

# LEPTOGENESIS

- Number for asymmetry

$$n_i - \bar{n}_i = \frac{gT^3}{6} \begin{cases} \beta\mu_i + \mathcal{O}\left((\beta\mu_i)^3\right) , & \text{fermions} , \\ 2\beta\mu_i + \mathcal{O}\left((\beta\mu_i)^3\right) , & \text{bosons} . \end{cases}$$

- chemical potentials in equilibrium (SM)

$$\mu_{qi} - \mu_H - \mu_{dj} = 0 , \quad \mu_{qi} + \mu_H - \mu_{uj} = 0 , \quad \mu_{li} - \mu_H - \mu_{ej} = 0 \quad (\text{Yukawa})$$

$$\sum_i \left( \mu_{qi} + 2\mu_{ui} - \mu_{di} - \mu_{li} - \mu_{ei} + \frac{2}{N_f} \mu_H \right) = 0 \quad (\Sigma Y=0)$$

$$\sum_i (3\mu_{qi} + \mu_{li}) = 0 \quad (\text{SU}(2) \text{ inst.})$$

$$\sum_i (2\mu_{qi} - \mu_{ui} - \mu_{di}) = 0 \quad (\text{QCD inst.})$$

- equations can be expressed by

$$\begin{aligned} \mu_e &= \frac{2N_f + 3}{6N_f + 3} \mu_l , & \mu_d &= -\frac{6N_f + 1}{6N_f + 3} \mu_l , & \mu_u &= \frac{2N_f - 1}{6N_f + 3} \mu_l , \\ \mu_q &= -\frac{1}{3} \mu_l , & \mu_H &= \frac{4N_f}{6N_f + 3} \mu_l . \end{aligned}$$

# LEPTOGENESIS

- B & L relations

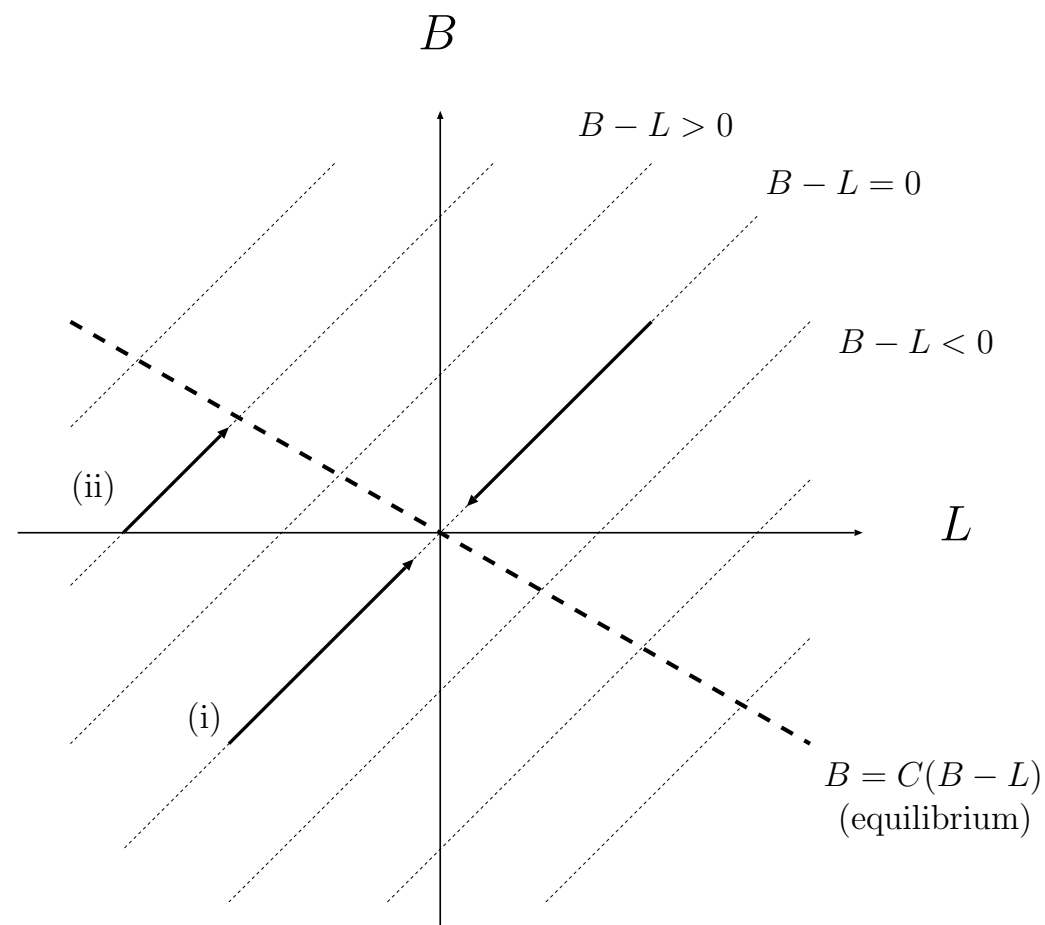
$$B = \sum_i (2\mu_{qi} + \mu_{ui} + \mu_{di}) ,$$

$$L_i = 2\mu_{li} + \mu_{ei} , \quad L = \sum_i L_i$$

$$B = c_s(B - L); \quad L = (c_s - 1)(B - L)$$

$$c_s = (8N_f + 4)/(22N_f + 13)$$

- Non-zero B & L are generated if  $(B-L) \neq 0$  in equilibrium



modified Sakharov's condition

- B violation



- $(B-L)$  violation

Q: How do we generate  $(B-L) \neq 0$  in the early universe?

# THERMAL LEPTO.

Fukugita, Yanagida; Luty; Campbell, Davidson, Olive; Buchmuller, Di Bari, Plumacher (02, 02)

## Decay of thermally produced RHN:

If  $T > m_N$ ,  $N$  is abundantly produced.

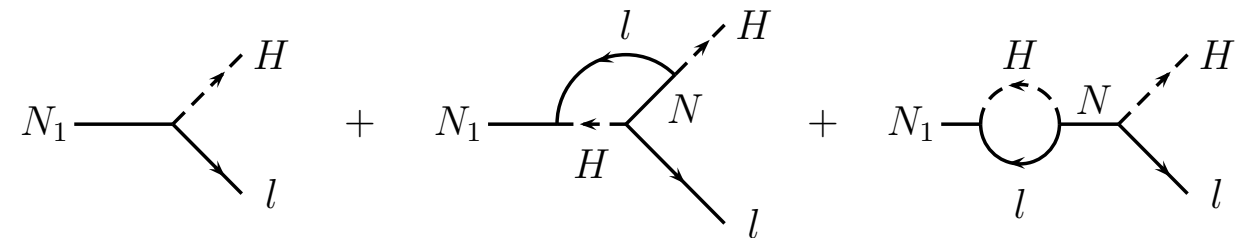
When  $T < m_N$  (out-of-equilibrium decay),

it decays through neutrino coupling.

$$W \ni \frac{1}{2} M_i N_i N_i + h_{i\alpha} N_i L_\alpha H_u$$

CPV in coupling produces asymmetry

$$\epsilon_1 \equiv \frac{\Gamma(N_1 \rightarrow L H_u) - \Gamma(N_1 \rightarrow \bar{L} \bar{H}_u)}{\Gamma_{N_1}} \\ \sim 2 \times 10^{-10} \left( \frac{M_1}{10^6 \text{ GeV}} \right) \left( \frac{m_{\nu_3}}{0.05 \text{ eV}} \right) \delta_{\text{eff}}$$



$N$  in equilibrium;  $n_N/s \sim 1/g_* \sim 1/200$   $n_L = \kappa \epsilon_1 n_N \frac{m_{N_1}}{m_{N_1}}$

$$\frac{n_B}{s} \simeq 0.35 \frac{n_L}{s} \simeq 0.3 \times 10^{-10} \left( \frac{\kappa}{0.1} \right) \left( \frac{M_1}{10^9 \text{ GeV}} \right) \left( \frac{m_{\nu_3}}{0.05 \text{ eV}} \right) \delta_{\text{eff}}$$

Buchmuller, Di Bari, Plumacher (05)

requires (naively)  $T_R \gtrsim 1.5 \times 10^9 \text{ GeV}$  for enough  $N$  production

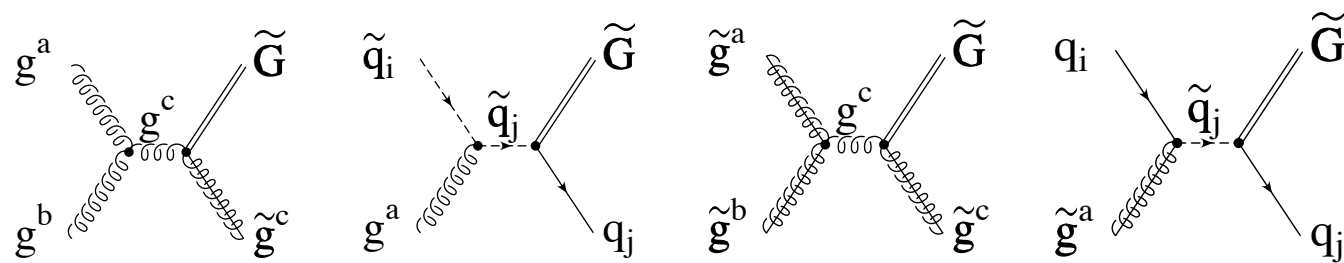


# GRAVITINO PROBLEM

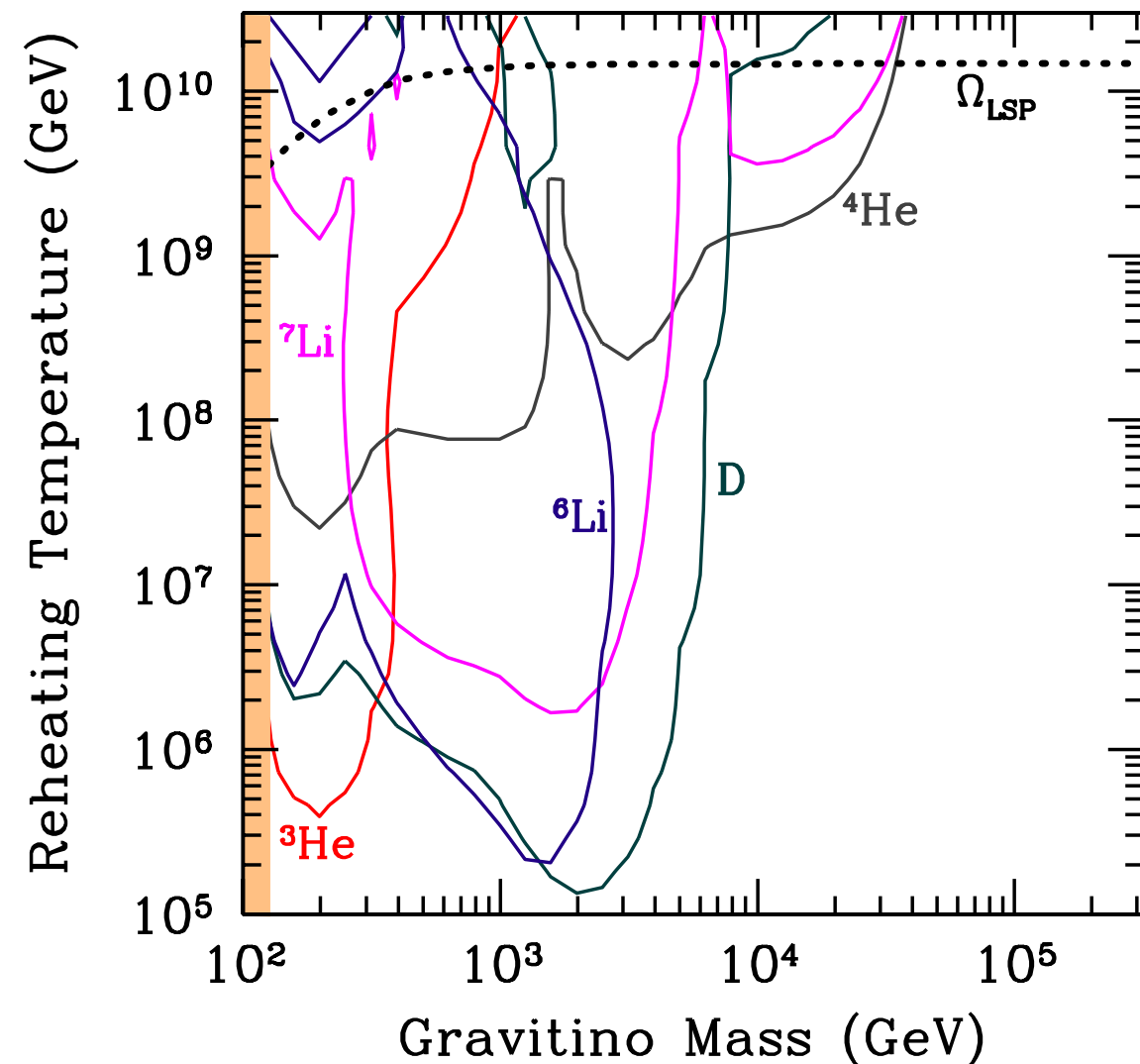
## Gravitino problem:

gravitinos are thermally produced  $\Omega_{\tilde{G}}^{\text{TP}} h^2 = 0.21 \left( \frac{m_{\tilde{g}}}{1 \text{ TeV}} \right)^2 \left( \frac{1 \text{ GeV}}{m_{3/2}} \right) \left( \frac{T_R}{10^8 \text{ GeV}} \right)$

Bolz, Brandenburg, Buchmüller; Strumia



decays into LSP with long life-time; either  
producing too much DM or spoiling BBN;  
upper bound for  $T_R$



Kawasaki, Kohri, Moroi, Yotsuyanagi

# OUTLINE

1. Leptogenesis

**2. AD mechanism along LHu direction**

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# AFFLECK-DINE

For a review, Dine, Kusenko (03)

- Scalar field with B (or L) number,

$$\mathcal{L} = |\partial_\mu \phi|^2 - m^2 |\phi|^2 \quad j_B^\mu = i(\phi^* \partial^\mu \phi - \phi \partial^\mu \phi^*)$$

- small quartic couplings

$$\mathcal{L}_I = \lambda |\phi|^4 + \epsilon \phi^3 \phi^* + \delta \phi^4 + c.c. \quad \cancel{B} \quad \cancel{CP} \text{ (for complex couplings)}$$

- Eq. of motion

$$\ddot{\phi} + 3H\dot{\phi} + \frac{\partial V}{\partial \phi} = 0 \quad \xrightarrow{H \ll m} \quad \begin{aligned} \phi &= \frac{\phi_o}{(mt)^{3/4}} \sin(mt) \quad (\text{radiation}) \\ &\quad \frac{\phi_o}{(mt)} \sin(mt) \quad (\text{matter}) \end{aligned}$$

If  $\phi = \phi_o$  is real

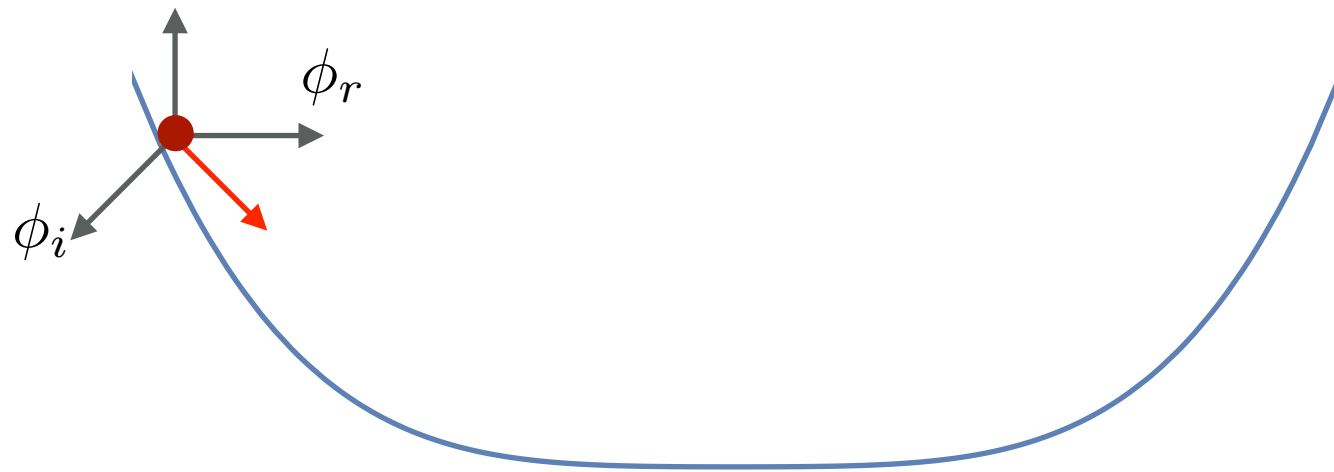
$$\ddot{\phi}_i + 3H\dot{\phi}_i + m^2 \phi_i \approx \text{Im}(\epsilon + \delta) \phi_o^3 \quad \longrightarrow \quad \begin{aligned} \phi_i &= a_r \frac{\text{Im}(\epsilon + \delta) \phi_o^3}{m^2 (mt)^{3/4}} \sin(mt + \delta_r) \quad (\text{radiation}) \\ &\quad a_m \frac{\text{Im}(\epsilon + \delta) \phi_o^3}{m^2 (mt)} \sin(mt + \delta_m) \quad (\text{matter}) \end{aligned}$$

- Baryon number

$$\begin{aligned} n_B &= 2a_r \frac{\text{Im}(\epsilon + \delta) \phi_o^4}{m (mt)^{3/2}} \sin(\delta_r + \pi/8) \quad (\text{radiation}) \\ &\quad 2a_m \frac{\text{Im}(\epsilon + \delta) \phi_o^4}{m (mt)^2} \sin(\delta_m) \quad (\text{matter}) \end{aligned}$$

# POTENTIAL

Complex quartic kicks scalar field to  
phase direction



Q: How do we get large initial value?

# SUSY BREAKING BY INFLATION

Dine, Randall, Thomas (95, 96)

- Large vacuum energy during inflation breaks SUSY
  - SUSY breaking potential arises and  $\sim H \gg m$

$$V_H \supset c_H H^2 |\phi|^2$$

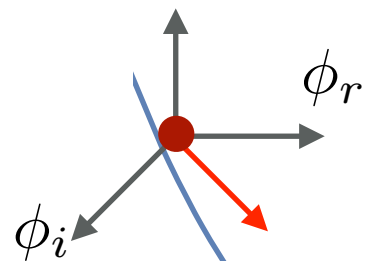
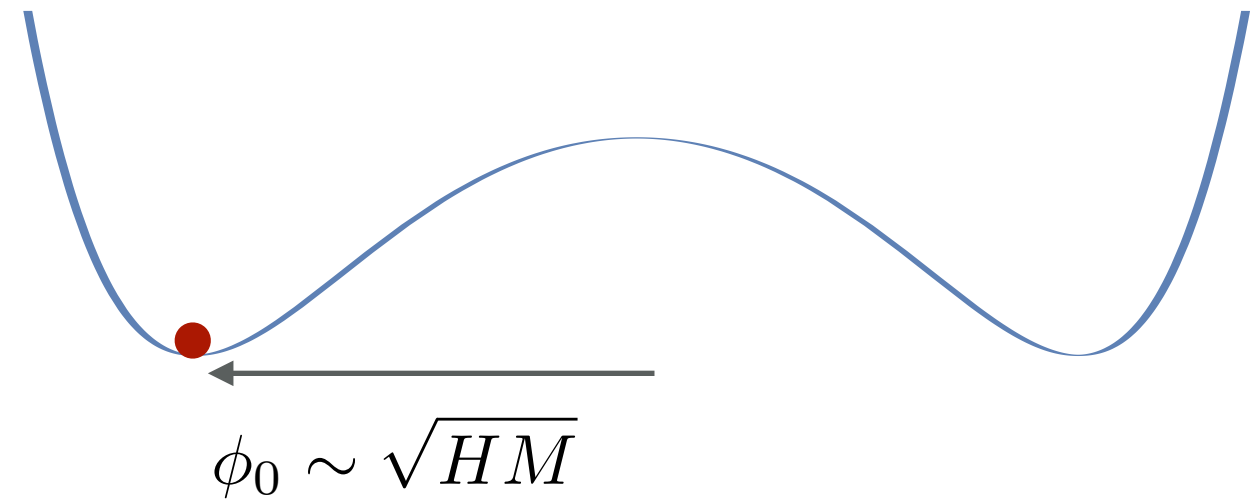
negative  $c_H$  is possible in non-minimal Kähler potential

- Together with  $\phi^4$  or  $\phi^6$  terms in F-term potential,

$$V = -H^2 |\phi|^2 + \frac{1}{M^2} |\phi|^6 \quad \longrightarrow \quad \phi_0 \sim \sqrt{HM}$$

# POTENTIAL

When  $H \gg m$ , scalar field stays at the potential minimum



When  $H \sim m$ , scalar field starts oscillation

# AD LEPTOGENESIS

Affleck, Dine; Dine, Randall, Thomas (95, 96)

Murayama, Yanagida; Gherghetta, Kolda, Martin

To realize AD mechanism, we need

- light scalar (flat direction) carrying B or L number
- small B (or L) and CP violating quartic potential

In SUSY model,

- LH<sub>u</sub> direction is flat (in SUSY limit)  $H_u = \begin{pmatrix} 0 \\ v \end{pmatrix}$   $L_1 = \begin{pmatrix} v \\ 0 \end{pmatrix}$
- quartic can be generated

$$W \ni \frac{1}{2M_i} (L_i H_u)(L_i H_u) \longrightarrow \frac{m_{\text{SUSY}}}{8M} (a_m \phi^4 + h.c.) \quad \cancel{CP}$$

linked to (*lightest*) neutrino mass

$$m_{\nu 1} \sim \frac{v^2}{M}$$

# AD LEPTOGENESIS

Affleck, Dine; Dine, Randall, Thomas (95, 96)

Murayama, Yanagida; Gherghetta, Kolda, Martin

## AD mechanism via $LH_u$ :

$$W \ni \frac{1}{2M_i} (L_i H_u)(L_i H_u) \longrightarrow W = \frac{1}{8M} \phi^4$$

$$V_F = \frac{1}{4M^2} |\phi|^6 \quad (\text{F-term potential})$$

$$V_{SB} = m_\phi^2 |\phi|^2 + \frac{m_{\text{SUSY}}}{8M} (a_m \phi^4 + h.c.) \quad (\text{soft SUSY breaking})$$

$$V_H = \underbrace{-c_H H^2 |\phi|^2}_{\text{negative mass}^2} + \frac{H}{8M} (a_H \phi^4 + h.c.) \quad (\text{Hubble-induced SUSY breaking})$$

$$\longrightarrow \phi \simeq \sqrt{MH} \quad \text{Large VEV for } H \gg m_\phi$$

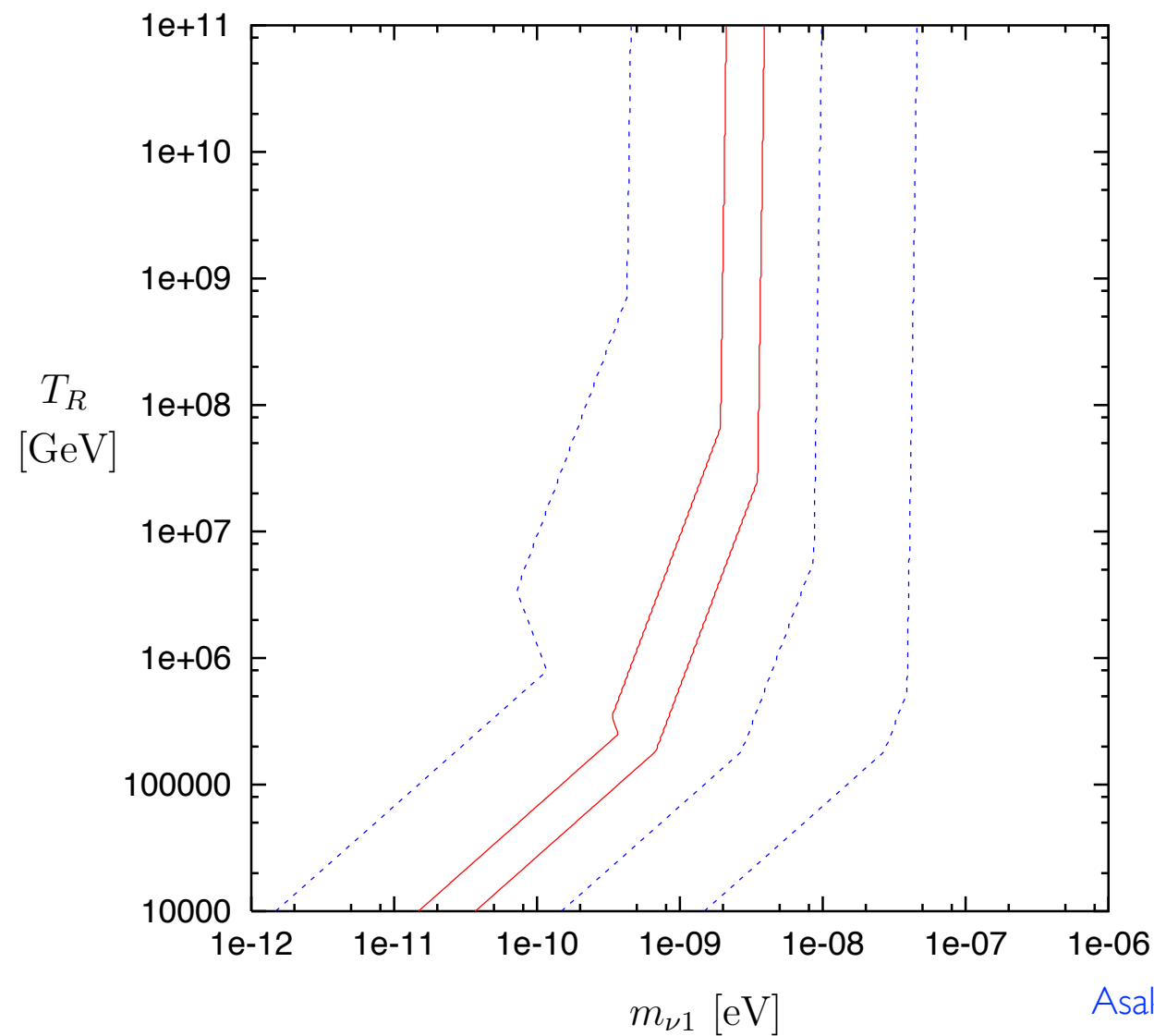
**initial amplitude**

$$n_L = \frac{i}{2} (\dot{\phi}^* \phi - \phi^* \dot{\phi}) \quad \text{Eq. of motion: } \dot{n}_L + 3H n_L = \frac{m_{\text{SUSY}}}{2M} \text{Im}(a_m \phi^4)$$

$$\longrightarrow \frac{n_L}{s} = \frac{MT_R}{12M_P^2} \left( \frac{m_{\text{SUSY}} |a_m|}{H_{\text{osc}}} \right) \delta_{\text{ph}} \quad \delta_{\text{ph}} = \sin(4 \arg \phi + \arg a_m)$$



# AD LEPTOGENESIS



- Successful leptogenesis requires  $m_{\nu 1} \sim 10^{-9}$  eV

$$\Delta m_{21}^2 \cong 7.4 \times 10^{-5} \text{ eV}^2, \quad |\Delta m_{31}^2| \cong 2.5 \times 10^{-3} \text{ eV}^2$$

# OUTLINE

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4. Summary

# AD LEPTOGENESIS WITH PQ

- Scale of  $M$  (RHN mass) can be generated by PQ breaking

$$W_{\text{AD}} = \frac{1}{2} \lambda X N N + y_\nu N L H_u, \quad W_{\text{PQ}} = \eta Z (X Y - f^2) + \frac{g_\mu Y^2}{M_P} H_u H_d,$$

	$X$	$Y$	$Z$	$N$	$L$	$H_u$	$H_d$
PQ	-2	2	0	1	1	-2	-2
L	0	0	0	-1	1	0	0

once  $X$  has large vev ( $\sim f$ )

$$\longrightarrow W_{\text{AD,eff}} = -\frac{1}{2} \frac{y_\nu^2 (L H_u)^2}{\lambda X}.$$

- AD leptogenesis works with  $M \sim \langle X \rangle$

PQ breaking determines RHN mass; lepton number & light neutrino mass

$$\frac{n_B}{s} \simeq 0.029 \frac{M_* T_R}{M_P^2} \left( \frac{m_{\text{soft}} |a_m|}{H_{\text{osc}}} \right) \delta_{\text{ph}} \quad m_{\nu 1} = \frac{y_\nu^2 \langle H_u \rangle^2}{\lambda f} \simeq \frac{v^2}{M_{\text{eff}}}$$

$$\frac{y_\nu^2}{\lambda X_0} = \frac{1}{M_{\text{eff}}} \left( \frac{f}{X_0} \right) \equiv \frac{1}{M_*}$$

# AD LEPTOGENESIS WITH PQ

- What if PQ scale is dynamical?

$$\langle X \rangle_{\text{AD}} \gg \langle X \rangle_{\text{now}} \sim f$$

- LHu flat direction is “flatter”, AD works more efficiently with large initial  $\phi_0 \sim \sqrt{H M_*}$

$$M_* = 7.2 \times 10^{23} \text{ GeV} \left( \frac{10^{-4} \text{ eV}}{m_{\nu 1}} \right) \left( \frac{10^{12} \text{ GeV}}{f} \right) \left( \frac{X_0}{M_P} \right) \quad (\text{scale for AD mechanism})$$

$$\begin{aligned} \frac{n_B}{s} &\simeq 0.029 \frac{M_* T_R}{M_P^2} \left( \frac{m_{\text{soft}} |a_m|}{H_{\text{osc}}} \right) \delta_{\text{ph}} \\ &\simeq 3.6 \times 10^{-8} \delta_{\text{ph}} \left( \frac{T_R}{10^7 \text{ GeV}} \right) \left( \frac{10^{-4} \text{ eV}}{m_{\nu 1}} \right) \left( \frac{10^{12} \text{ GeV}}{f} \right) \left( \frac{X_0}{M_P} \right) \end{aligned}$$

- If PQ scale during AD  $\sim M_P$  and becomes  $f$  afterwards,

AD leptogenesis is possible for **sizable neutrino mass**  
 **$\sim 10^{-4} \text{ eV}$**

# POTENTIAL

- Hubble induced potential realizes such a scenario.

$$K = |X|^2 + |Y|^2 + |Z|^2 + |I|^2 + \frac{b}{M_P^2} |I|^2 |X|^2,$$

$$W = \eta Z(XY - f^2),$$

$$V = e^{K/M_P^2} \left( D_i W K^{i\bar{j}} D_{\bar{j}} W^* - \frac{3}{M_P^2} |W|^2 \right) \quad \text{/: inflaton}$$

$$V = e^{(|X|^2 + |Y|^2)/M_P^2} \left( \eta^2 |XY - f^2|^2 + \frac{|F_I|^2}{1 + b|X|^2/M_P^2} \right)$$

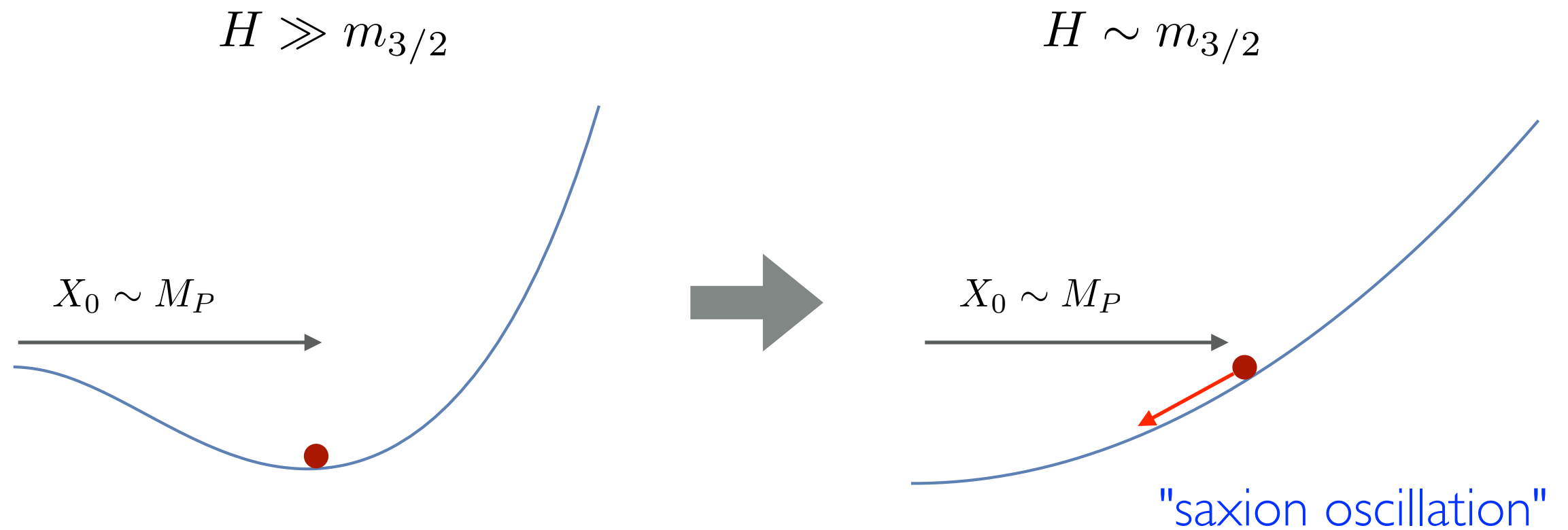
$$\langle X \rangle = (1 - 1/b)^{1/2} M_P \quad \text{for} \quad \begin{array}{l} |F_I|^2/M_P^2 \gg m_{3/2}^2 \quad (H^2 \gg m_{3/2}^2) \\ b > 1 \end{array}$$

- When  $H \sim m_{3/2}$ , PQ field (saxion) starts oscillation with amplitude  $M_P$ .

➡ saxion-dominated universe after reheating

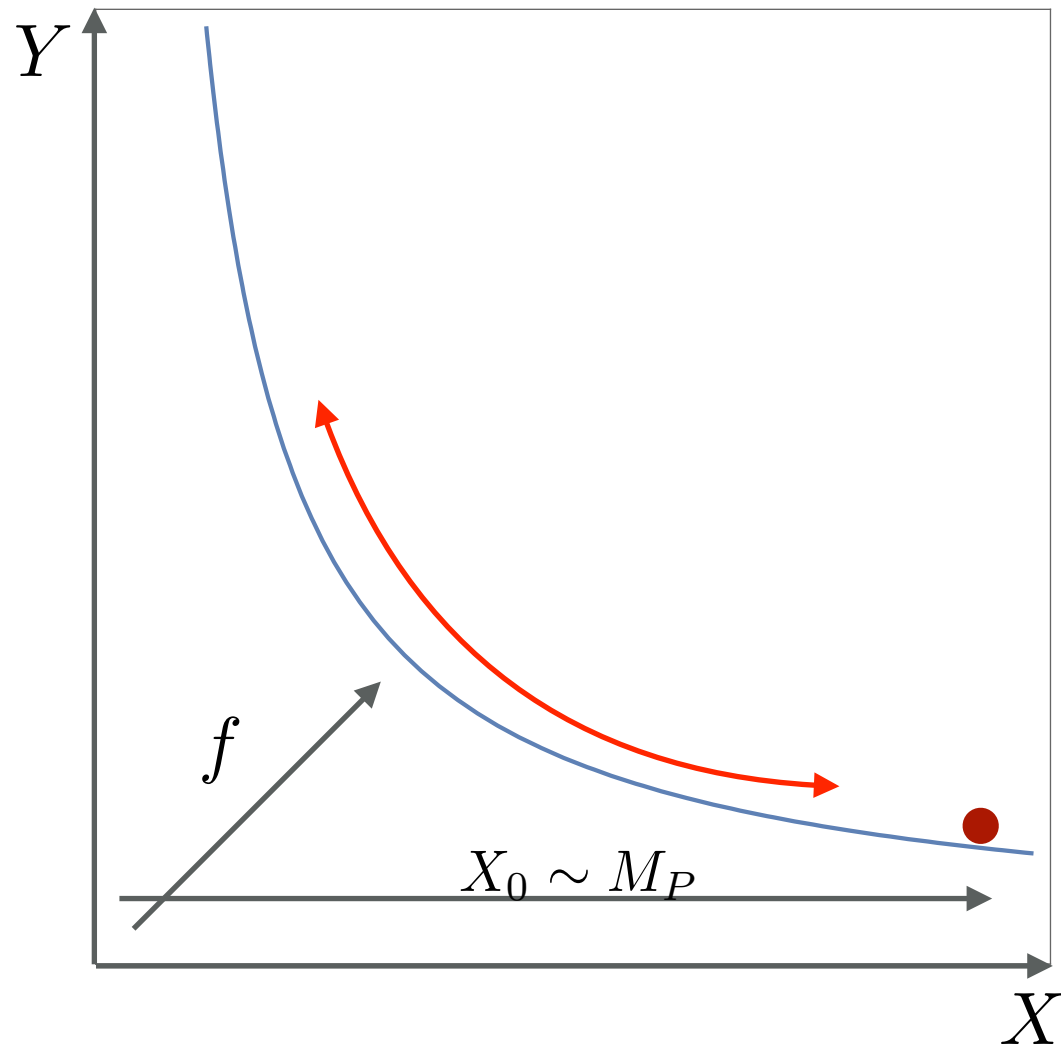
# POTENTIAL

- Along flat direction  $XY = f^2$



# SAXION OSCILLATION

- Saxion oscillates along  $XY = f^2$



When  $X$  is very small,

$$W_{\text{AD,eff}} = -\frac{1}{2} \frac{y_\nu^2 (LH_u)^2}{\lambda X}. \quad \text{valid?}$$

# SAXION OSCILLATION

- DFSZ plays a role

$$W_\mu = \frac{g_\mu Y^2}{M_P} H_u H_d \longrightarrow V_{eff} \supset \frac{g_u^2 f^8}{M_P^2} \left| \frac{\phi}{X^2} \right|^2$$

$$\longrightarrow X_{\min} \sim \frac{f^2}{M_P} \left( \frac{g_\mu |\phi|}{m_X} \right)^{1/2}$$

During saxion oscillation, AD field  $\phi_{H=m_X}^2 \sim m_\phi M_* (m_X/m_\phi)^2 \gg m_X$

RHN mass is much larger than soft mass scale

$$W_{\text{AD,eff}} = -\frac{1}{2} \frac{y_\nu^2 (L H_u)^2}{\lambda X}. \quad \text{is valid}$$



# SAXION OSCILLATION

- Lepton number violation during saxion oscillation (after AD works)

$$\dot{n}_L + 3Hn_L = \frac{y_\nu^2 m_{\text{soft}}}{\lambda X} \text{Im}(a_m \phi^4).$$

When  $X$  is small, it could make large Lepton number change

DFSZ prevents  $X$  from being too small  $X_{\min} \sim \frac{f^2}{M_P} \left( \frac{g_\mu |\phi|}{m_X} \right)^{1/2}$

- Total Lepton number change is

$$\left( \frac{\Delta n_L}{n_L} \right)_{H \sim m_X} \sim \frac{y_\nu^2}{\lambda} \frac{\phi_{H=m_X}^2}{m_X M_P} \sim \frac{m_X}{m_\phi} \ll 1$$

for  $m_X \ll m_\phi$   $\longleftarrow$  necessary for AD before saxion oscillation

# SAXION DECAY

- Saxion osc. with  $\sim M_P$  dominates the Universe.
- Saxion decay is determined by

$$W_\mu = \frac{g_\mu Y^2}{M_P} H_u H_d$$

$$X \sim Y \sim f \quad \longrightarrow \quad \mu \sim \frac{g_\mu f^2}{M_P}$$

$$\longrightarrow \quad \Gamma(\sigma \rightarrow 2\tilde{H}) \simeq \frac{1}{4\pi} \left( \frac{\mu}{f_a} \right)^2 m_\sigma$$

- Saxion decay dilutes generated lepton number

$$\Delta = \max \left[ \frac{1}{8} T_R \left( \frac{X_0}{M_P} \right)^2 \frac{4}{3T_\sigma}, 1 \right] \quad (\text{dilution factor})$$

$$\longrightarrow \quad \frac{n_B}{s} = 1.1 \times 10^{-12} \delta_{\text{ph}} \left( \frac{10^{-4} \text{ eV}}{m_{\nu 1}} \right) \left( \frac{10^{12} \text{ GeV}}{f_a} \right)^2 \left( \frac{X_0}{M_P} \right)^{-1} \left( \frac{90}{g_*} \right)^{1/4} \left( \frac{\mu}{\text{TeV}} \right) \left( \frac{m_\sigma}{10 \text{ TeV}} \right)^{1/2}$$

*$\mu(m_{\text{sax}})$ -dependent!*

# AXION ISOCURVATURE

PQ is broken during inflation and never restored

- Isocurvature pert.

$$\mathcal{P}_{S_{\text{CDM}}} \simeq r^2 \left( \frac{H_{\text{inf}}}{\pi X_{\text{inf}} \theta_a} \right)^2$$

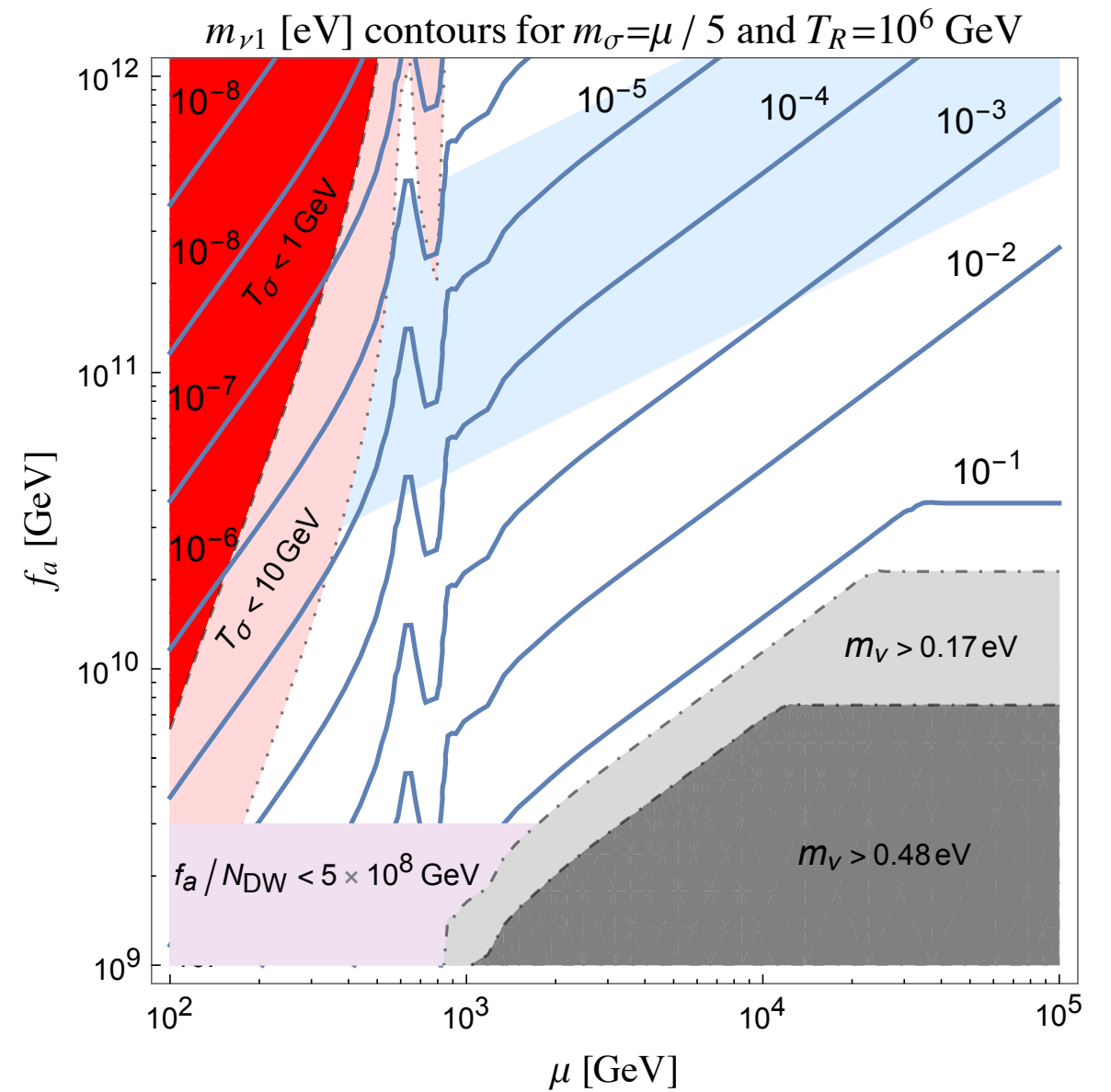
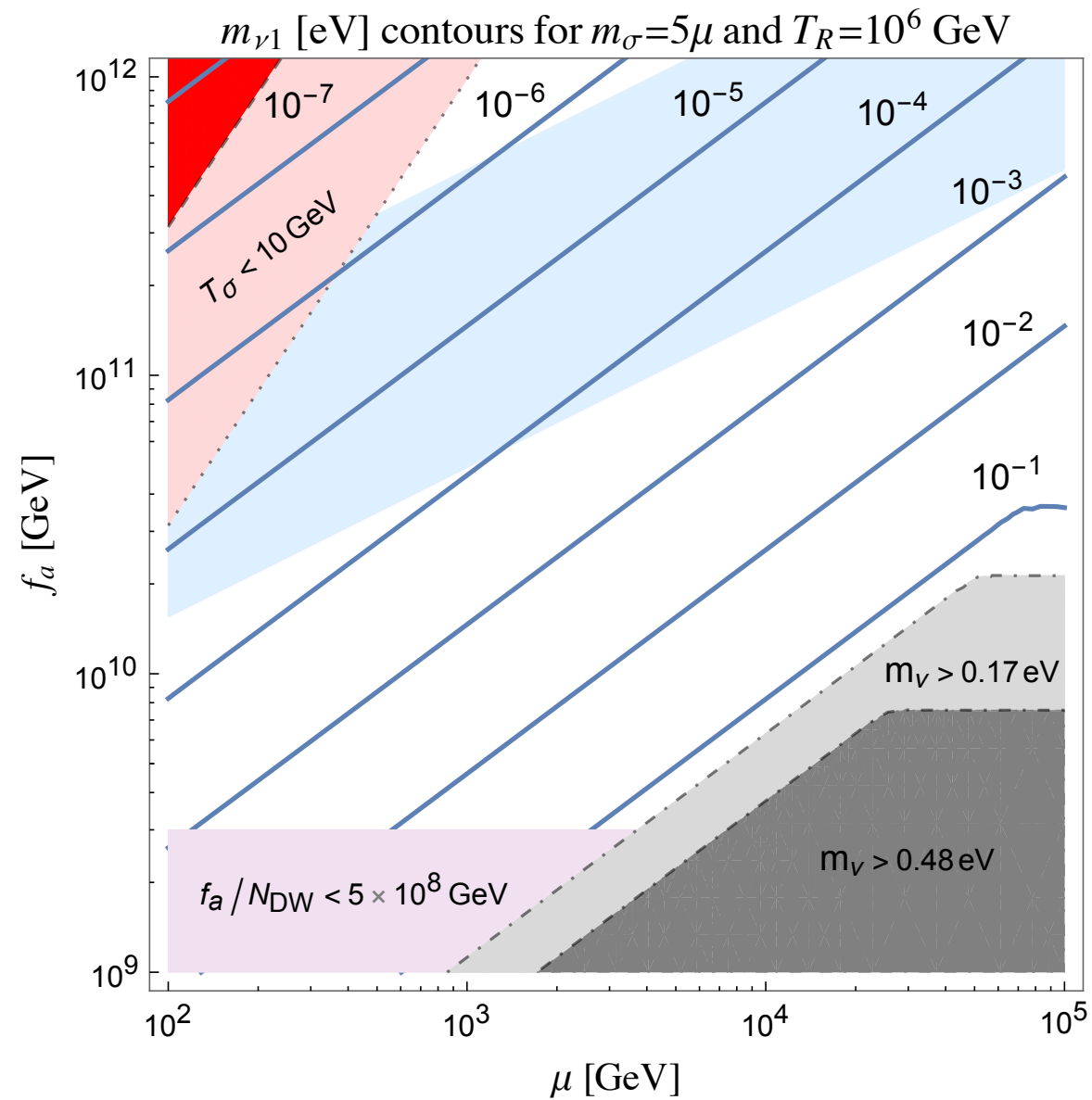
$$r \equiv (\Omega_a h^2)/(\Omega_m h^2) \qquad \Omega_a h^2 \simeq 0.18 \theta_a^2 \left( \frac{f_a/N_{\text{DW}}}{10^{12} \text{ GeV}} \right)^{1.19}$$

- Planck constraint

$$H_{\text{inf}} \lesssim 7 \times 10^{13} \text{ GeV } \theta_a^{-1} \left( \frac{10^{12} \text{ GeV}}{f_a/N_{\text{DW}}} \right)^{1.19} \left( \frac{X_{\text{inf}}}{M_P} \right)$$

$$X_{\text{inf}} \sim M_P \gg f_a \quad \longrightarrow \quad \text{accommodates most of inflation models}$$

# RESULTS



- Contours for  $n_B/s = 10^{-10}$ .

# SUMMARY

- Simple AD leptogenesis along  $LH_u$  requires very light neutrino.
- If AD leptogenesis works with varying PQ scale, successful leptogenesis is possible with (relatively) large neutrino mass.
- Non-minimal Kähler for a PQ field realizes varying PQ scale.
- DFSZ is good to suppress unwanted  $L$  violation during saxion oscillation; Saxion decay determines the final BAU.
- Axion isocurvature is suppressed.

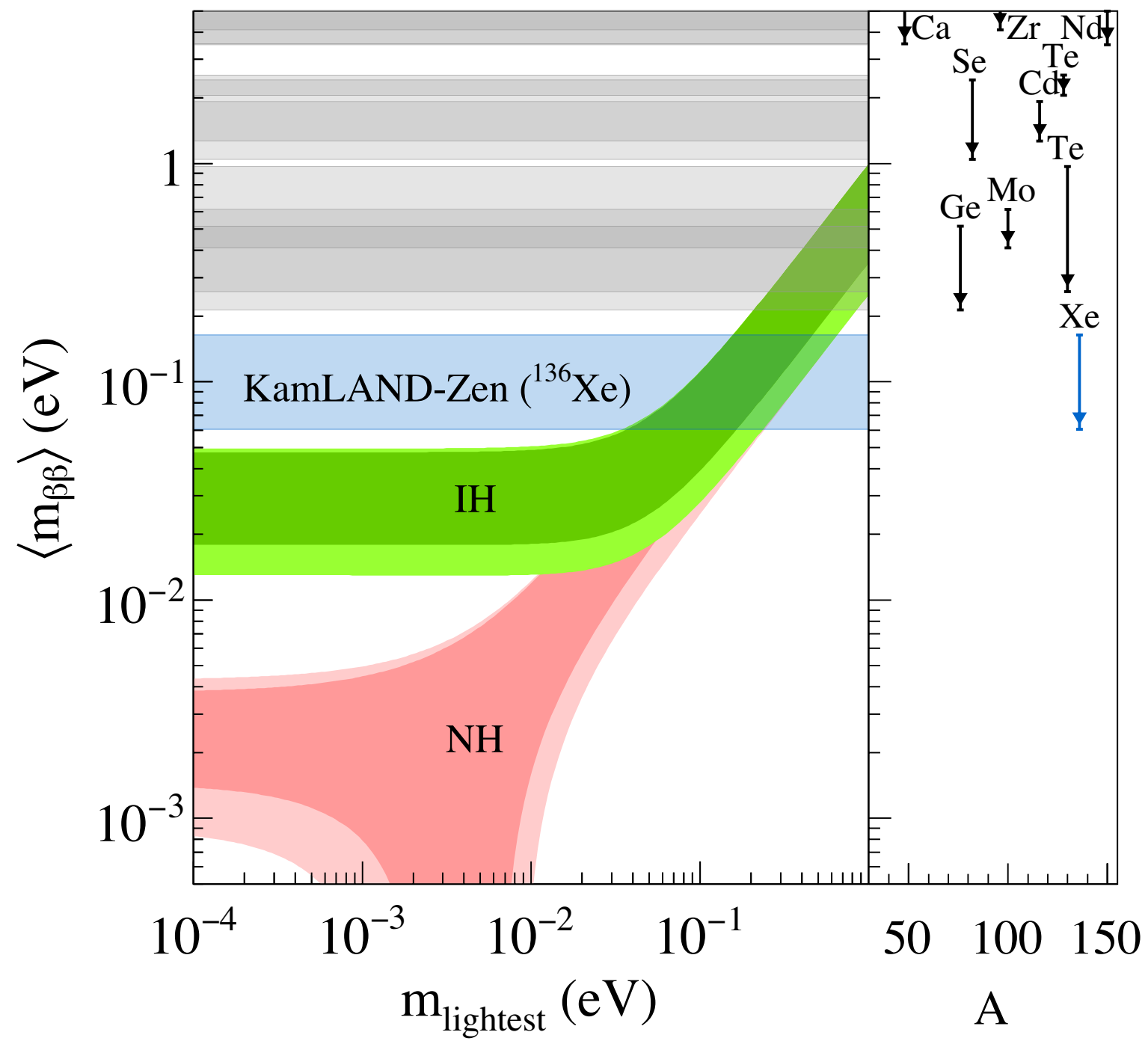


Figure from Phys. Rev. Lett. 117, 082503 (2016)