

Neutrino Mass: From the Terrestrial Laboratory to the Cosmos
Amherst Center for Fundamental Interactions, December 15 2015

Lepton Number Violation in Astrophysical Environments

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Outline

- Introduction: neutrinos in hot and dense media & QKEs
- Spin-dependent effects in QKEs and ν - $\bar{\nu}$ oscillations (LNV!)
- Neutrino-antineutrino conversion in compact objects: exploration within one-flavor toy model
- Conclusions and outlook

Based on collaboration with George Fuller (UCSD) and Alexey Vlasenko (UCSD → NCSU)

A.Vlasenko, G. Fuller, V. Cirigliano, Phys. Rev. D 89 105004 (2014)

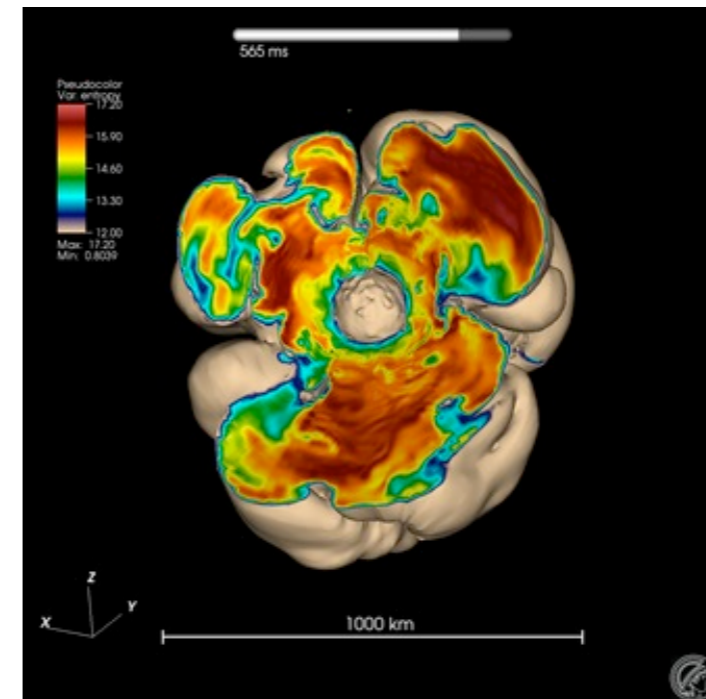
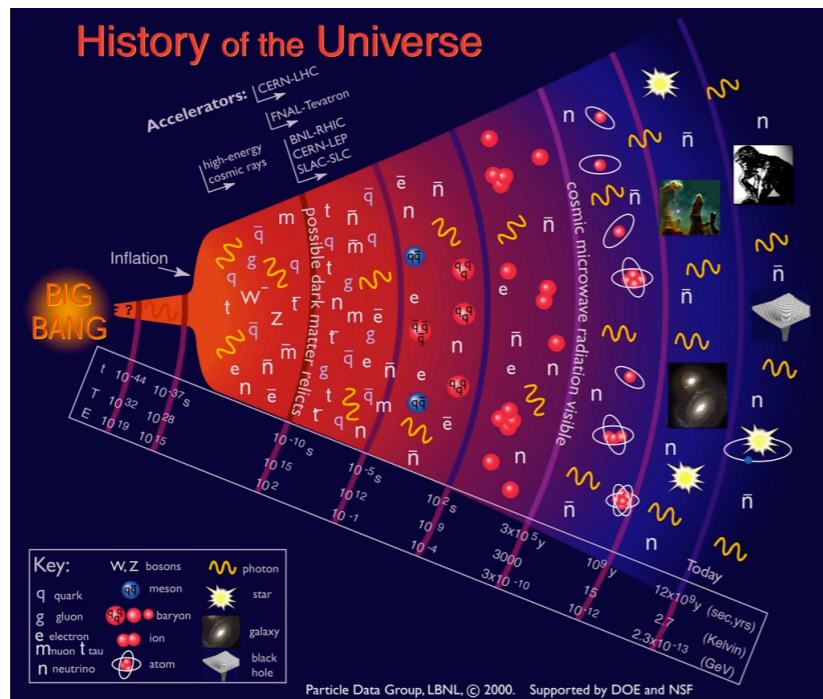
V. Cirigliano, G. Fuller, A.Vlasenko, Phys. Lett. B 747, 27 (2015)

A.Vlasenko, G. Fuller, V. Cirigliano, ArXiv:1406.6724

Neutrinos in hot and dense media & QKEs

Neutrinos in hot / dense medium

- Neutrinos play a key role in early universe and astrophysical systems



- To incorporate the known physics of massive neutrinos and probe non-standard effects one must keep track of **coherent flavor oscillations AND de-cohering inelastic collisions** with the medium

Neutrinos in hot / dense medium

- Ensemble of neutrinos described by “density matrix”
- Spin 1/2 massive neutrino: one flavor

$$F(x, p) = \begin{pmatrix} f_{LL} & f_{LR} \\ f_{RL} & f_{RR} \end{pmatrix}$$

Diagonal: “populations”
Off-diagonal: “coherences”

p, S



L-handed state $|L\rangle$



R-handed state $|R\rangle$

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Off-diagonal: “coherences”

For a pure state

$$|\psi\rangle = c_L |L\rangle + c_R |R\rangle$$

$$f_{LL} = |c_L|^2 \quad f_{RR} = |c_R|^2 \quad f_{LR} = c_L c_R^* \quad f_{RL} = c_R c_L^*$$

Neutrinos in hot / dense medium

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$$F(x, p) = \begin{pmatrix} f_{LL}^{\alpha\beta} & f_{LR}^{\alpha\beta} \\ f_{RL}^{\alpha\beta} & f_{RR}^{\alpha\beta} \end{pmatrix}$$

flavor

spin

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flavor

spin

$$\begin{pmatrix} f^{ee} & f^{e\mu} \\ f^{\mu e} & f^{\mu\mu} \end{pmatrix}$$

Usual neutrino density matrix
(for two flavors e, μ)

Neutrinos in hot / dense medium

- Ensemble of neutrinos described by “density matrix”
- Spin 1/2 massive neutrino: many flavors (Majorana)

$$F(x, p) = \begin{pmatrix} f & \phi \\ \phi^\dagger & \bar{f}^T \end{pmatrix}$$

R-handed neutrino = antineutrino \Rightarrow $f \equiv f_{LL}$ $\bar{f} \equiv f_{RR}^T$ $\phi \equiv f_{LR}$

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$n_f \times n_f$ blocks describing
density matrix for neutrinos
and antineutrinos

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neutrino-antineutrino coherence
(Can be generated by LNV interactions
such as a Majorana mass term or magnetic
moment in external B field)

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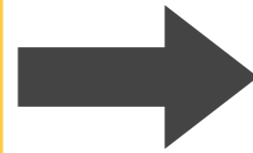
- QKEs: evolution equations for $F(x, p)$

QKEs from Quantum Field Theory

A.Vlasenko, G.Fuller, V.Cirigliano, Phys. Rev. D 89 105004 (2014)

Equations of motion
for Green Functions

$$\langle \nu_\alpha(x) \bar{\nu}_\beta(y) \rangle$$

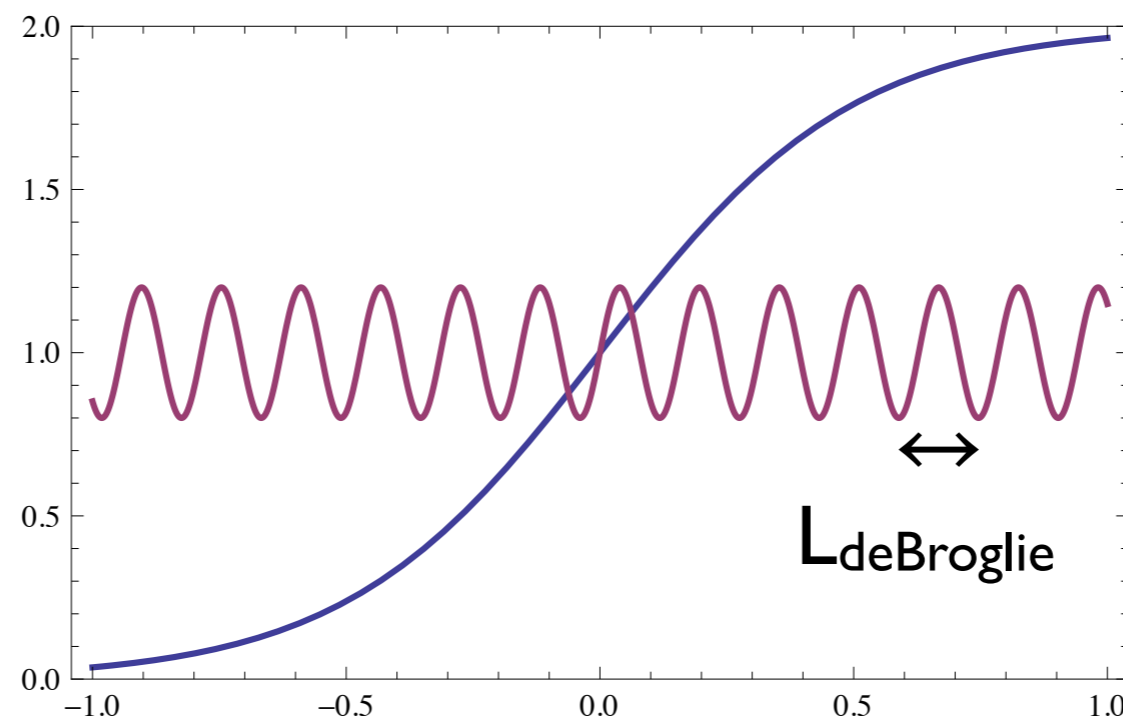


Evolution equations for $F(x,p)$

$$f_{hh'}^{\alpha\beta}(x,p) \sim \langle a_\beta^\dagger(p,h') a_\alpha(p,h) \rangle$$

- Exploit hierarchy of scales: $L_{\text{osc}} \sim E/(\Delta m_\nu^2)$, L_{mfp} , $L_{\text{gradients}} \gg L_{\text{deBroglie}}$

- Work to 2nd order in small ratios of length scales



Structure of the QKEs

A.Vlasenko, G.Fuller, V.Cirigliano, Phys. Rev. D 89 105004 (2014),
Sigl-Raffelt 1993, McKellar-Thomson 1994, Enqvist-Kainulainen-Maalampi 1991, Dolgov-Barbieri 1991

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$$DF = -i[H, F] + C$$

$F, H(F), C(F)$:
 $2n_f \times 2n_f$
matrices

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Derivative along v
world line

“Liouville”

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Coherent evolution:
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Coherent evolution:
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“Oscillation”

Inelastic collisions

“Boltzmann”

Spin-dependent effects in QKEs

A new spin on the QKEs

$$F(x, p) = \begin{pmatrix} f & \phi \\ \phi^\dagger & \bar{f}^T \end{pmatrix}$$

$$DF = -i[H, F] + C$$

- Coherent helicity-flip is possible (even in absence of magnetic moment and magnetic field)

$$H = \begin{pmatrix} H_{LL} & H_{LR} \\ H_{RL} & H_{RR} \end{pmatrix}$$

V. Cirigliano, G. Fuller, A. Vlasenko, Phys. Lett. B 747, 27 (2015), arXiv:1406.5558

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Basic results also obtained in: Serreau-Volpe, arXiv:1409.3591 & Kartavstev-Raffelt-Vogel, arXiv:1504.03230

A new spin on the QKEs

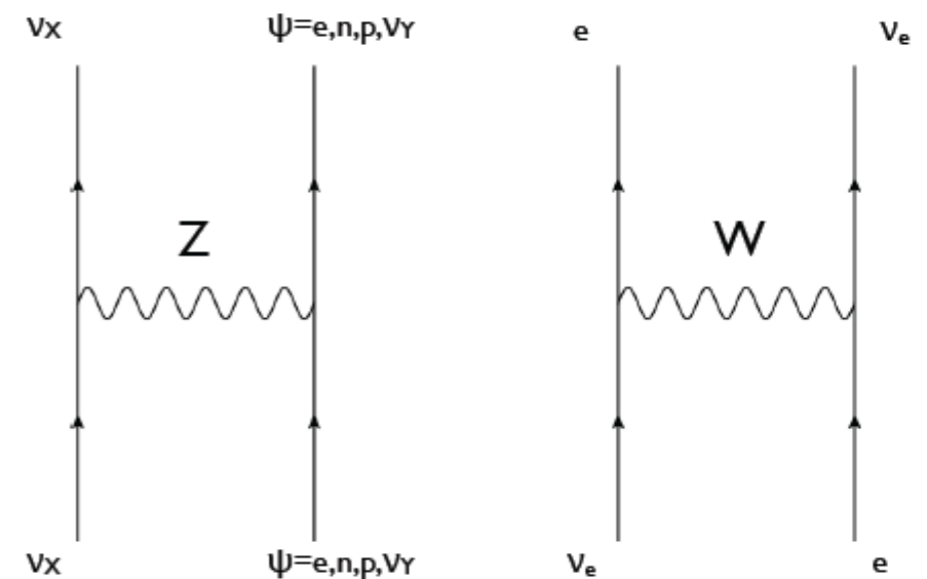
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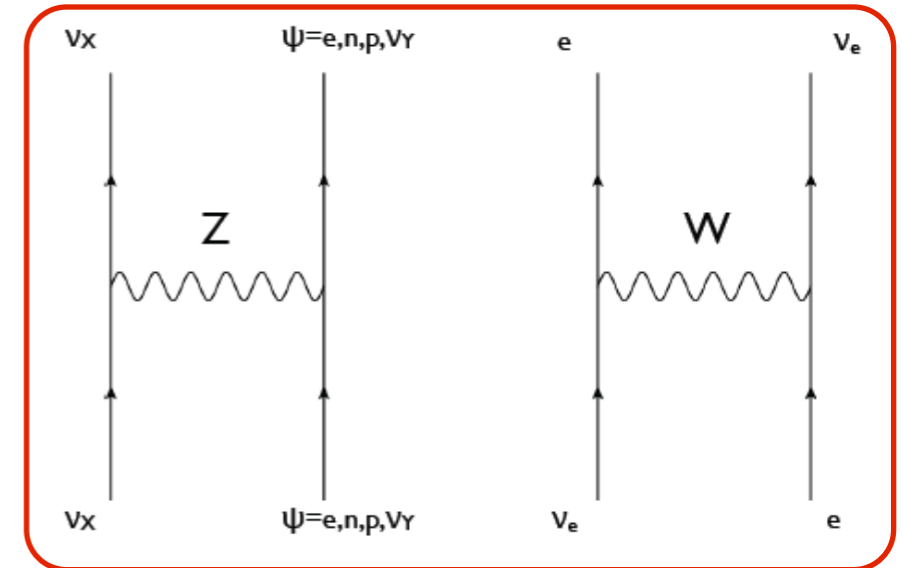
- H_{LR} is determined by **mass matrix** and **neutrino potentials**, generated by forward scattering in medium



Neutrino potentials and spin effects

- Key point: weak interactions generate **4-vector potential**, with time- and **space-like components** in non-isotropic medium

$$\mathcal{H}_{\text{int}} = -\bar{\nu} \sum_{\mu} \gamma^{\mu} \gamma_5 \nu$$



4-potential couples to the neutrino axial current:

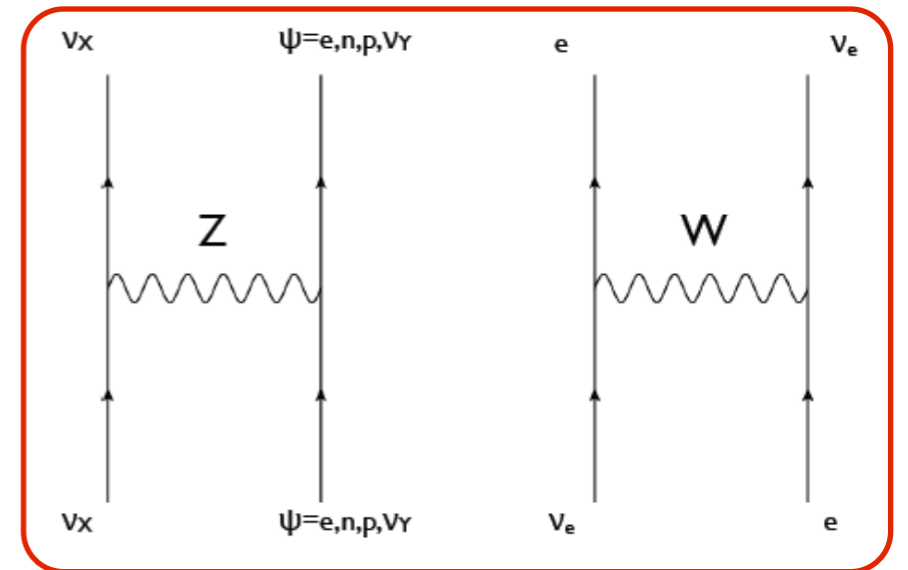
$$H_{\text{int}} \sim \mathbf{J} \cdot \boldsymbol{\Sigma}$$

This term mixes LH and RH ν states

Neutrino potentials and spin effects

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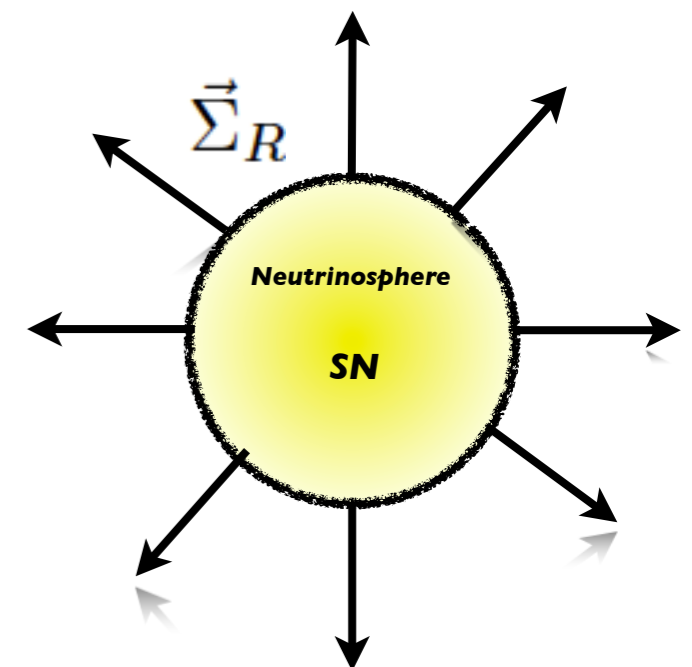


- Σ_{μ} receives contributions from matter and neutrinos, e.g.

$$\Sigma_{(\nu)}^{\mu} = \sqrt{2} G_F \left(J_{(\nu)}^{\mu} + \mathbf{1} \text{tr} J_{(\nu)}^{\mu} \right)$$

$$J_{(\nu)}^{\mu}(x) = \int \frac{d^3 q}{(2\pi)^3} n^{\mu}(q) \left(f(\vec{q}, x) - \bar{f}(\vec{q}, x) \right)$$

$$n^{\mu}(q) = (1, \hat{q})$$

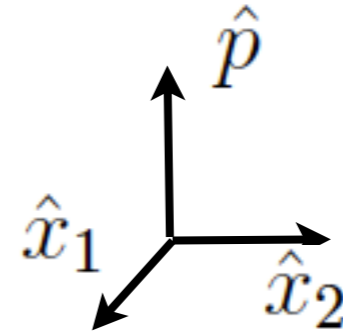


Even in simple “bulb” model for SN: $\vec{\Sigma} \neq 0$

ν - $\bar{\nu}$ mixing: one flavor

- The 2x2 effective hamiltonian H takes the form

$$H = \left(p + \frac{m^2}{2p} \right) \times I + \begin{pmatrix} \Sigma^0 - \hat{p} \cdot \vec{\Sigma} & (m/p) \hat{x}_+ \cdot \vec{\Sigma} \\ (m/p) \hat{x}_+^* \cdot \vec{\Sigma} & -(\Sigma^0 - \hat{p} \cdot \vec{\Sigma}) \end{pmatrix}$$



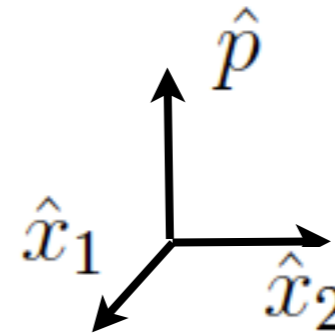
$$\hat{x}_+ = \hat{x}_1 + i\hat{x}_2$$

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- Medium birefringence



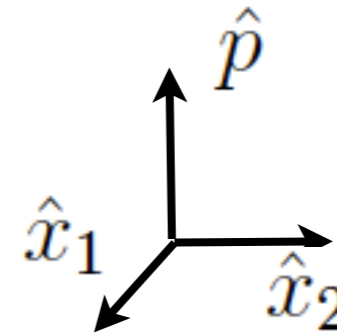
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- Medium birefringence



$$\hat{x}_+ = \hat{x}_1 + i\hat{x}_2$$

- Helicity mixing / oscillations:

- Linear in neutrino mass: manifestation of Lepton Number Violation; sensitive to absolute mass scale
- Proportional to component of the potential *transverse* to the neutrino momentum (anisotropy)

ν - $\bar{\nu}$ mixing: one flavor

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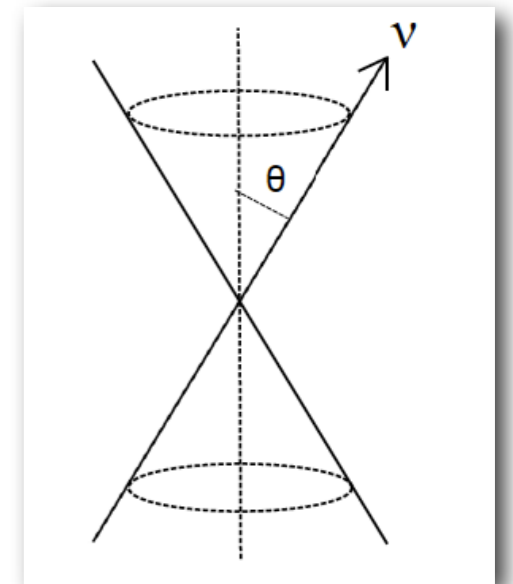
- Off-diagonal terms parametrically suppressed (m/p) compared to diagonal ones
- But resonant conversion is possible if diagonal elements vanish
- Astrophysical environments?

**Neutrino-antineutrino
conversion in compact
objects?**

ν - $\bar{\nu}$ conversion in compact objects?

A.Vlasenko, G. Fuller, V. Cirigliano, ArXiv:1406.6724

- Toy problem: crossed neutrino beams of infinite width in time-varying background matter
- Effective hamiltonian (one flavor)



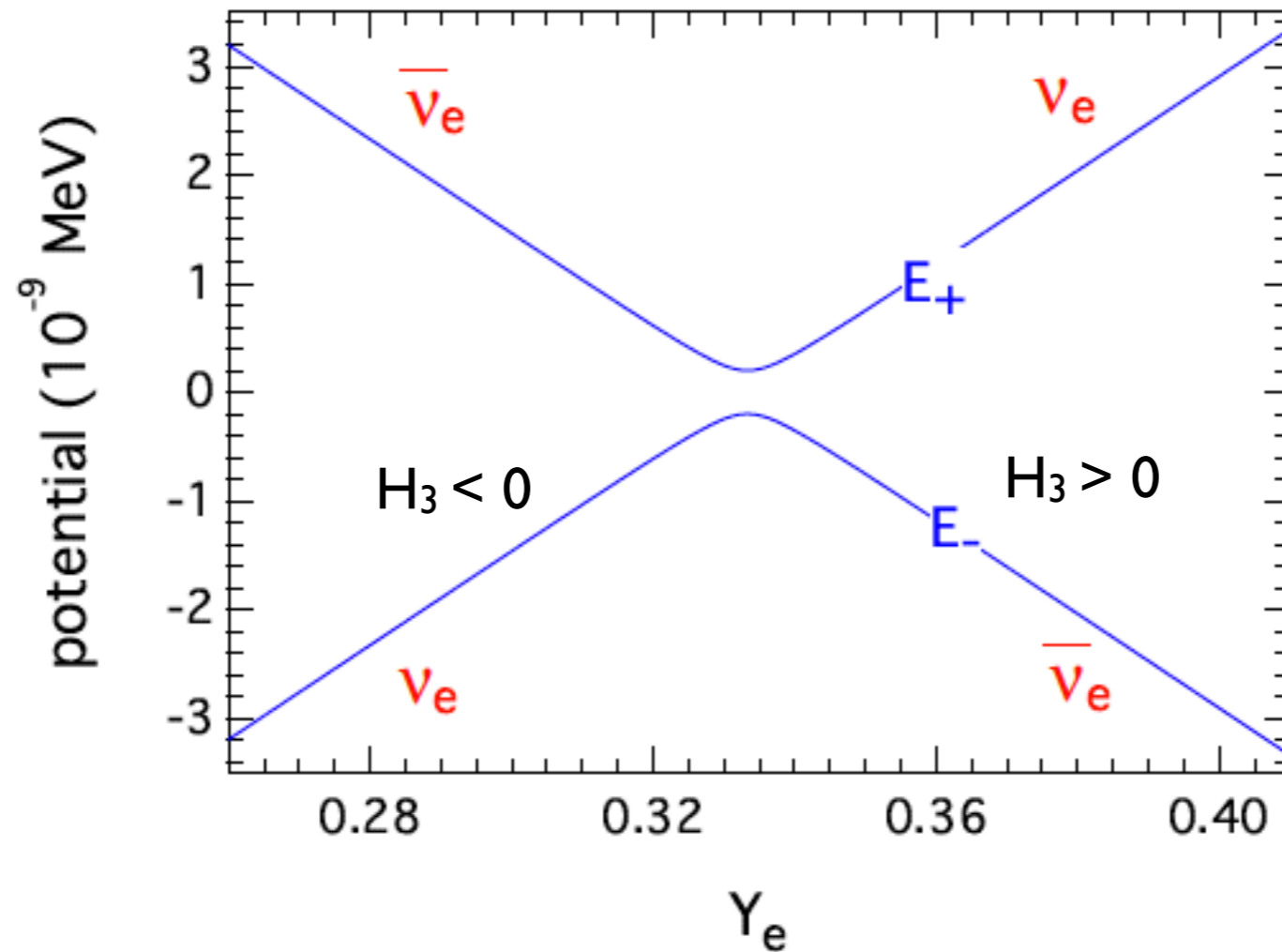
$$u = \cos\theta$$

$$H = \begin{pmatrix} H_3 & H_1 \\ H_1 & -H_3 \end{pmatrix} \quad H_1 = 2\sqrt{2}G_F u\sqrt{1-u^2} \left(\frac{m}{E}\right) (n_\nu - n_{\bar{\nu}})$$

$$H_3 = \frac{G_F}{\sqrt{2}} \left[(3Y_e - 1) n_B + 4(1 - u^2)(n_\nu - n_{\bar{\nu}}) \right]$$

Resonance condition: matter and neutrino contribution to $H_3 = H_{\text{Matter}} + H_\nu$ cancel.
Can happen near protoneutron star, or in central region of compact object merger ($Y_e \sim 1/3$)

- Level crossing



$$n_B = 300 \text{ MeV}^3$$

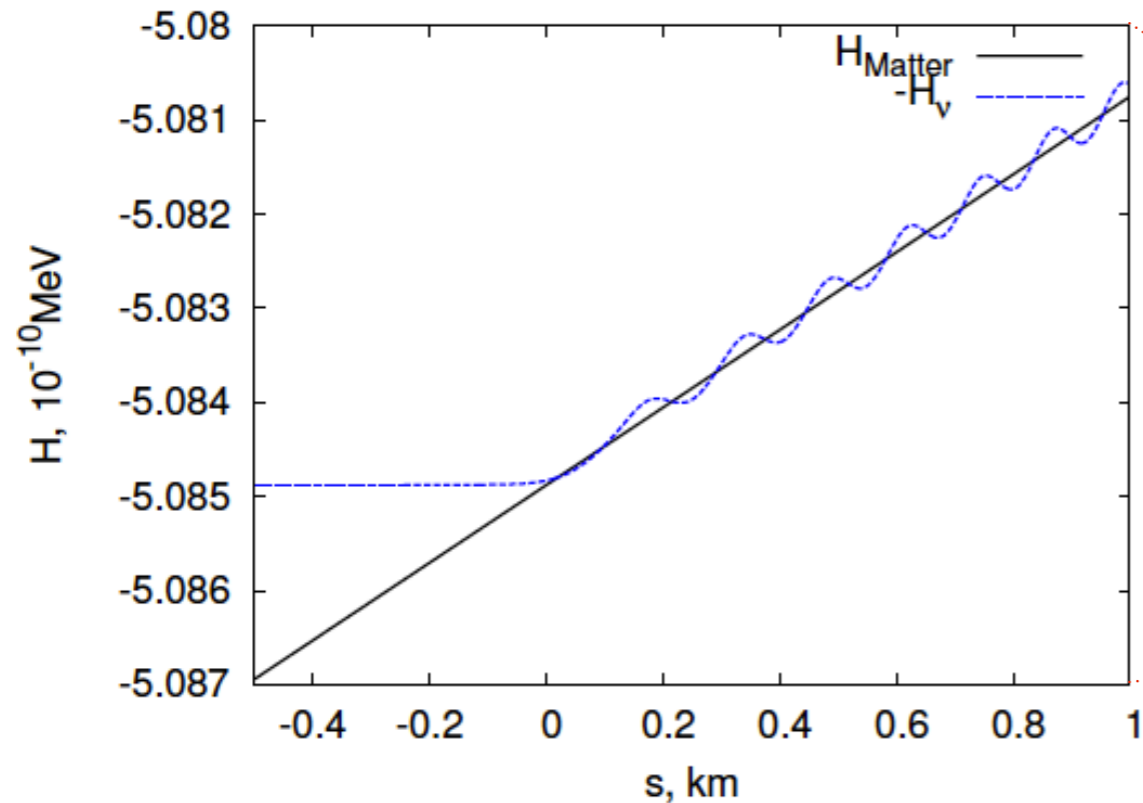
$$T = 4 \text{ MeV}$$

$$\mu = 8 \text{ MeV}$$

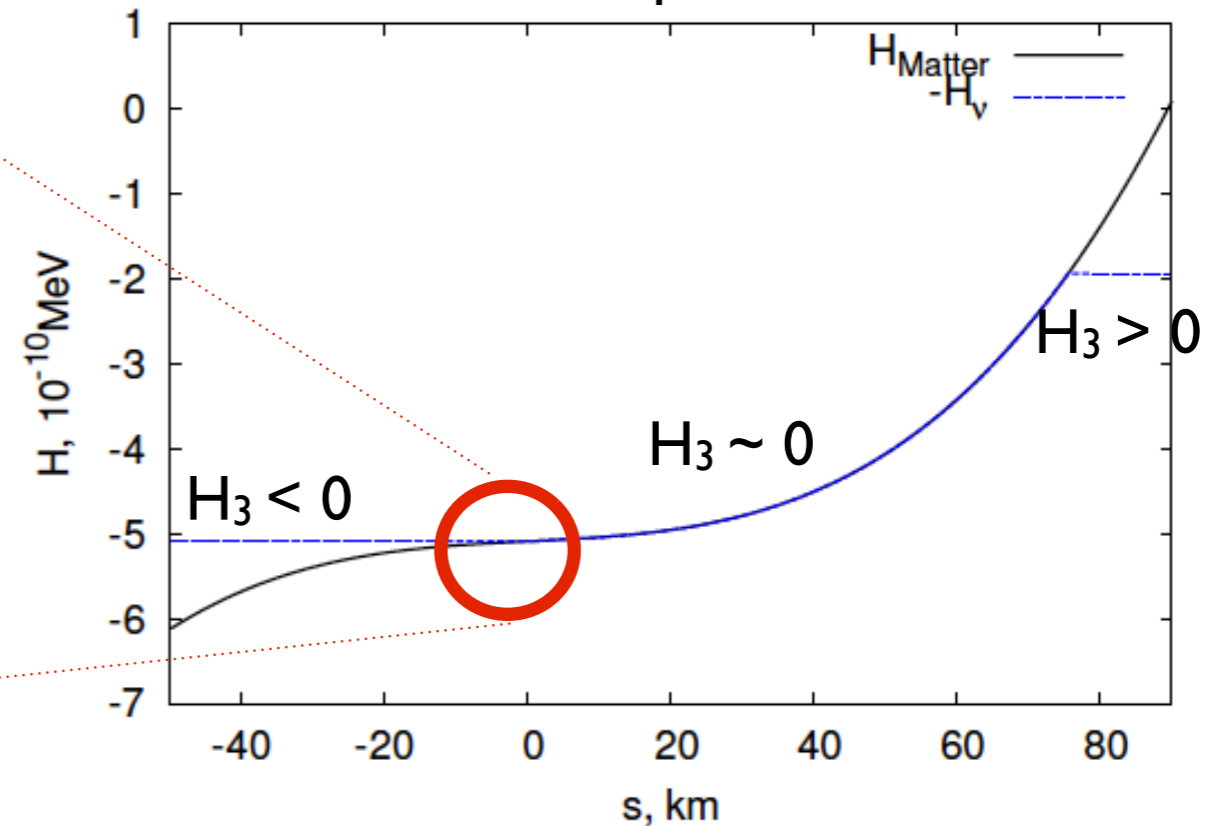
- Can we get large scale conversion?

- Neutrino feedback keeps system close to resonance: $\delta H_{\text{Matter}} > 0$ while $\delta H_{\nu} < 0$ as neutrinos start to convert into antineutrinos

Onset of coherent neutrino-antineutrino transformation



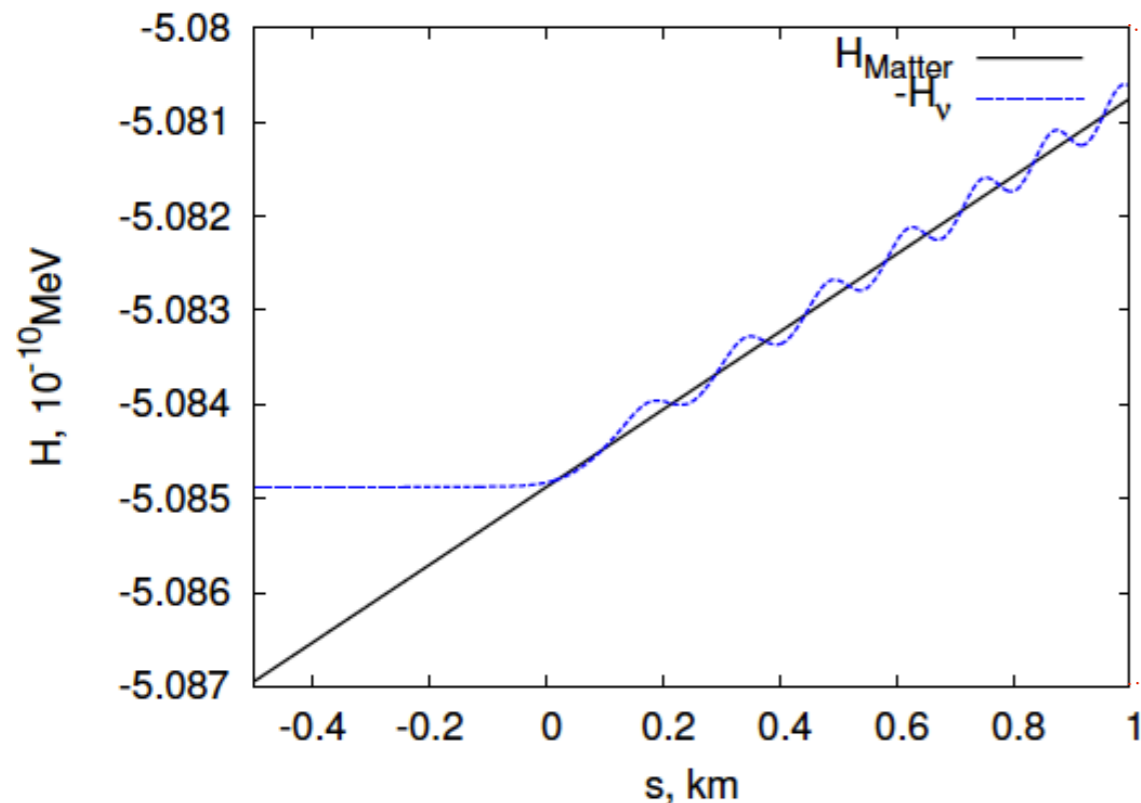
Cancellation between matter and neutrino potential



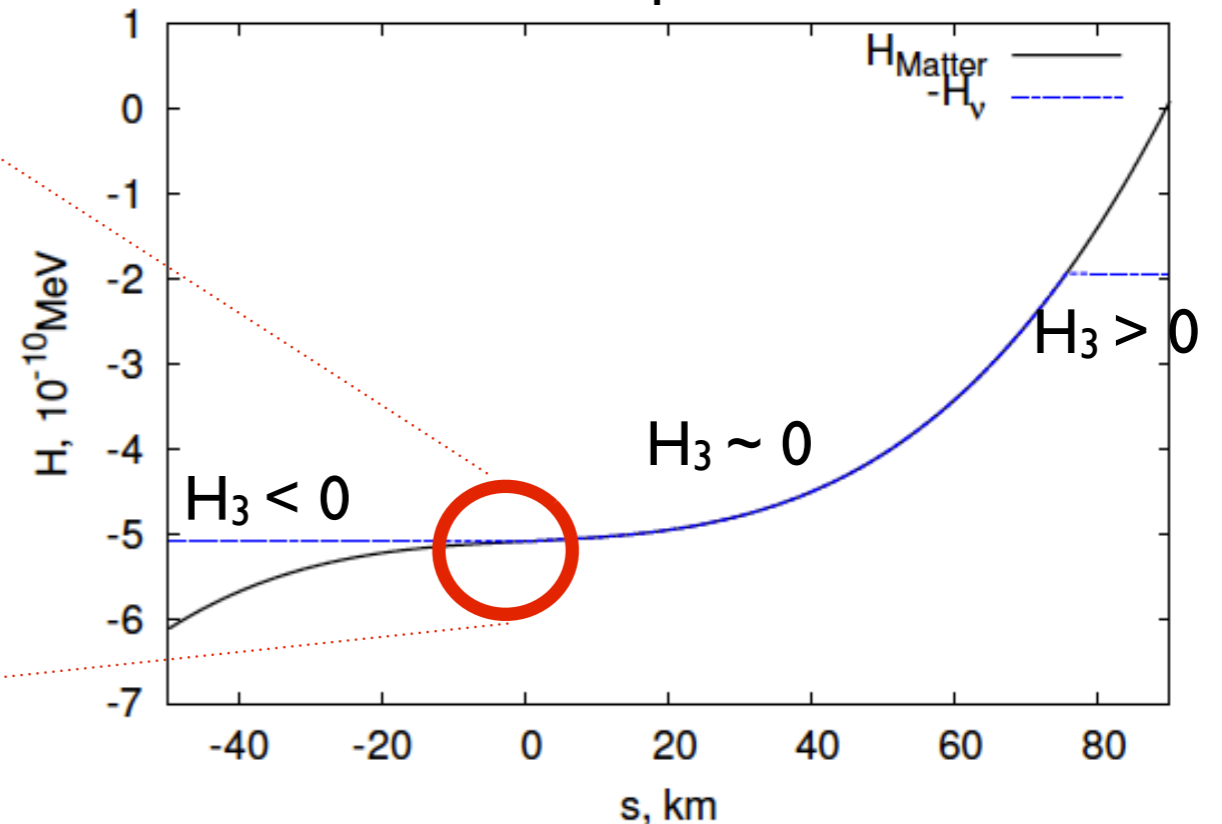
$$Y_e = Y_{e0} + \frac{s}{\lambda} \left(1 + \frac{s^2}{\kappa^2} \right)$$

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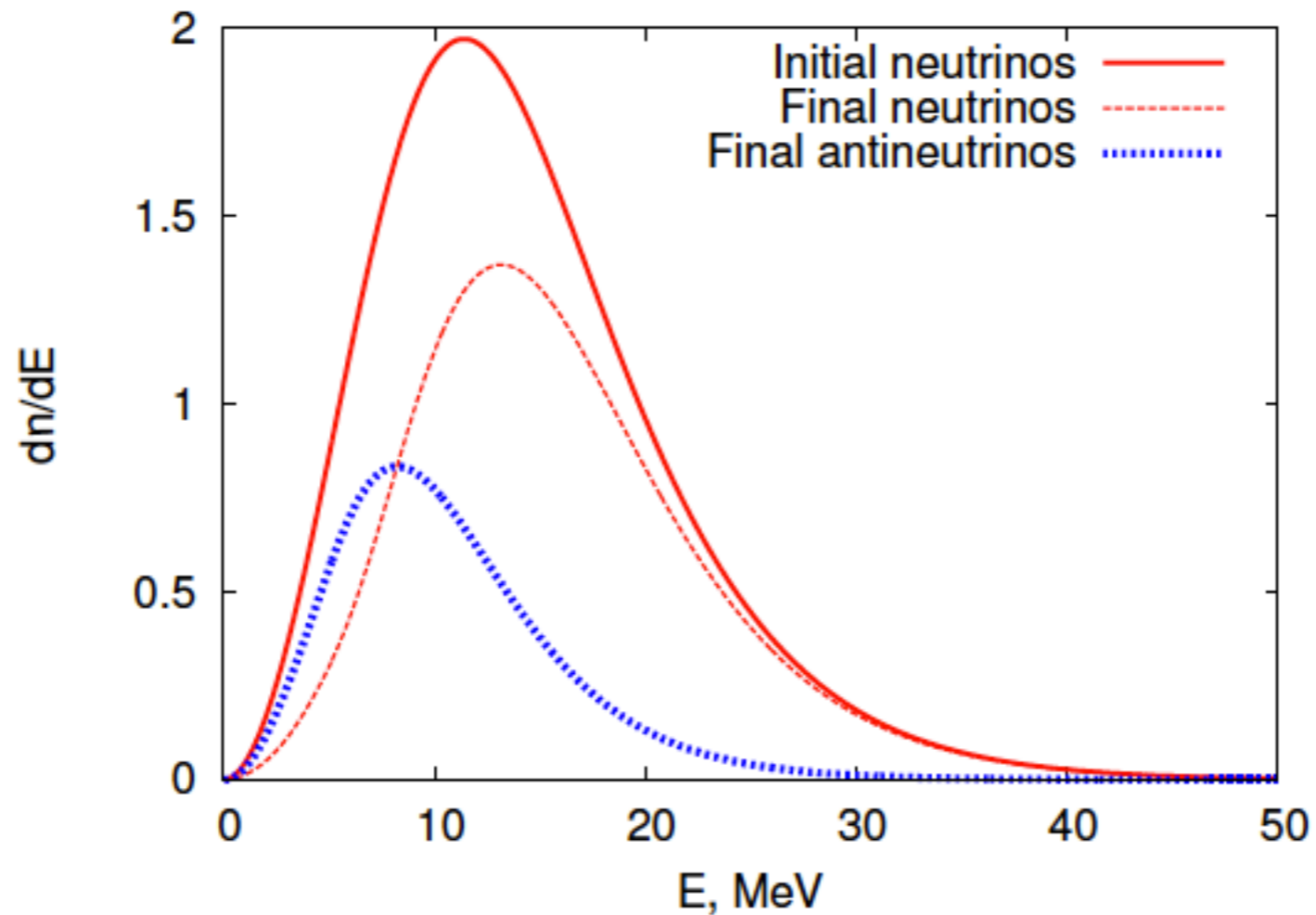
- Requires small derivative of the matter potential and largish neutrino masses (*the two effects are correlated*)

$$Y_e = Y_{e0} + \frac{s}{\lambda} \left(1 + \frac{s^2}{\kappa^2} \right)$$

$$m = 1 \text{ eV}, \lambda = 1.8 \times 10^4 \text{ km}, \kappa = 25 \text{ km}$$

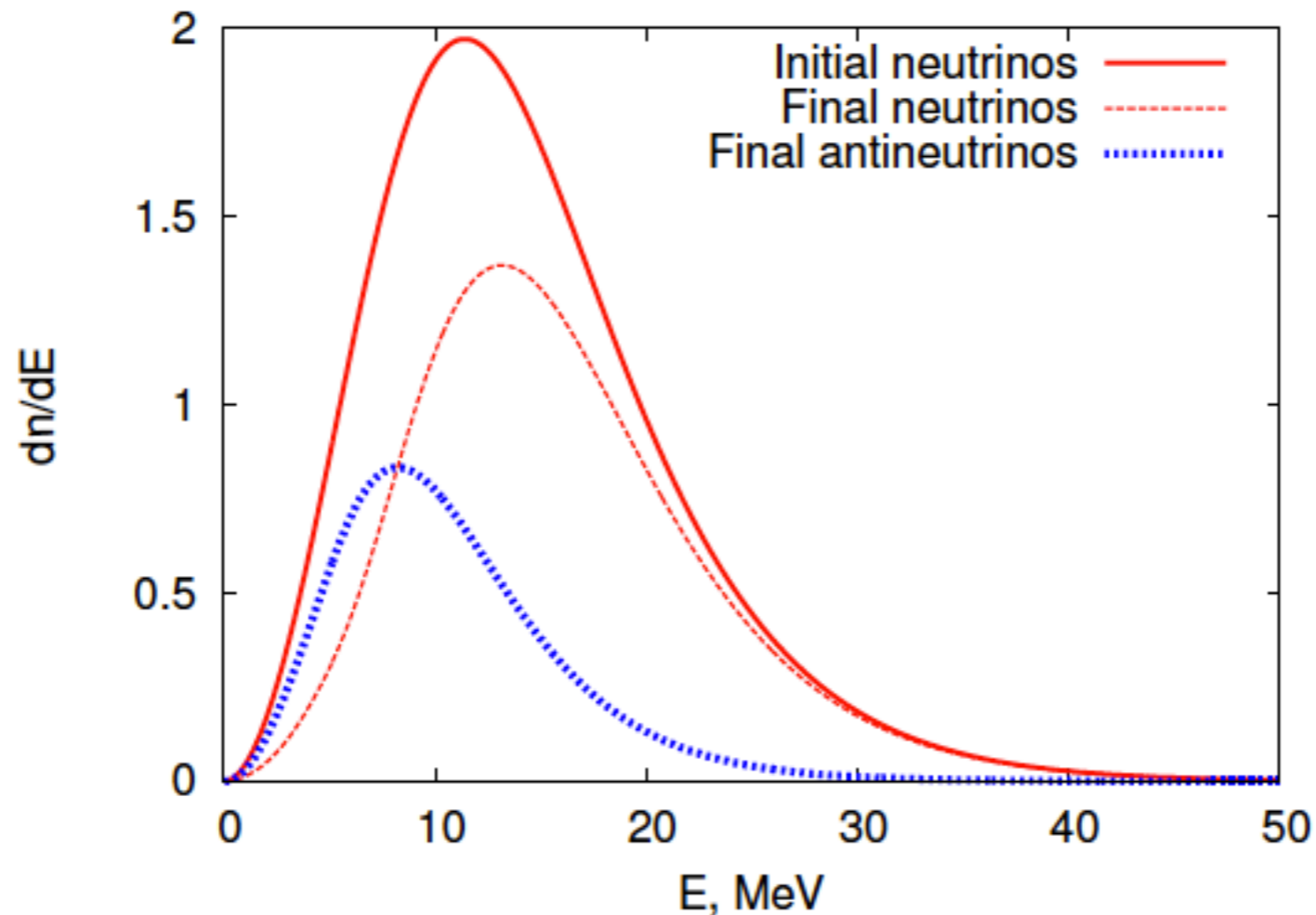
In supernovae typical value is $\lambda \sim 10^3 \text{ Km}$

- In this case, coherent neutrino-to-antineutrino transformation occurs, mostly in the low-energy part of the spectrum



Such an effect would have significant impact on nucleosynthesis, supernova dynamics, and neutrino signal from supernovae

- In this case, coherent neutrino-to-antineutrino transformation occurs, mostly in the low-energy part of the spectrum



HOWEVER: this is a simplified exploratory study!

Robust conclusions require: matter (Y_e) feedback, realistic “bulb” model of Supernova (multi-angle, geometric effects), multi flavor, and eventually inelastic collisions

Generalizations, conclusion & outlook

From one to n_f flavors

- Evolution controlled by $2n_f \times 2n_f$ Hamiltonian $H = \begin{pmatrix} H_{LL} & H_{LR} \\ H_{RL} & H_{RR} \end{pmatrix}$

$$DF = -i[H, F] + C$$

Σ_μ and m become $n_f \times n_f$ matrices

From one to n_f flavors

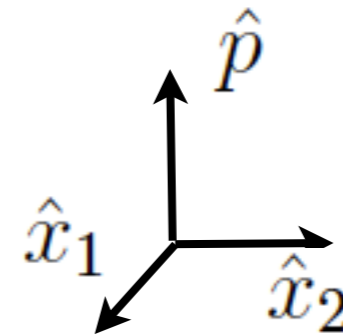
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$$DF = -i[H, F] + C$$

$$H_{LL} = \Sigma^0 - \vec{\Sigma} \cdot \hat{p} + \frac{1}{2|\vec{p}|} m^\dagger m$$

$$H_{RR} = -\left(\Sigma^0 - \vec{\Sigma} \cdot \hat{p}\right)^T + \frac{1}{2|\vec{p}|} m m^\dagger$$

$$H_{LR} = \frac{1}{2|\vec{p}|} \left(\hat{x}_+ \cdot \vec{\Sigma} m^* + (\hat{x}_+ \cdot \vec{\Sigma} m^*)^T \right)$$



$$\hat{x}_+ = \hat{x}_1 + i\hat{x}_2$$

- H_{LR} depends on absolute neutrino mass scale and Majorana phases!

From one to n_f flavors

- Evolution controlled by $2n_f \times 2n_f$ Hamiltonian $H = \begin{pmatrix} H_{LL} & H_{LR} \\ H_{RL} & H_{RR} \end{pmatrix}$

$$DF = -i[H, F] + C$$

- Two-flavor case (one mixing angle θ and one Majorana phase α):

$$m = m_0 \begin{pmatrix} c_\theta^2 + e^{-i\alpha} s_\theta^2 & (e^{-i\alpha} - 1) s_\theta c_\theta \\ (e^{-i\alpha} - 1) s_\theta c_\theta & s_\theta^2 + e^{-i\alpha} c_\theta^2 \end{pmatrix} + \frac{\Delta m^2}{4m_0} \begin{pmatrix} -(c_\theta^2 - e^{-i\alpha} s_\theta^2) & (e^{-i\alpha} + 1) s_\theta c_\theta \\ (e^{-i\alpha} + 1) s_\theta c_\theta & c_\theta^2 e^{-i\alpha} - s_\theta^2 \end{pmatrix}$$

$$\Delta m^2 \equiv m_2^2 - m_1^2$$

$$m_0 \equiv (1/2)(m_1 + m_2)$$

Conclusions and outlook

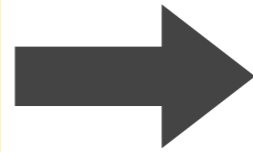
- Neutrino QKEs from QFT: many expected features, some surprising ones (spin oscillations in anisotropic environment)
- Neutrino-antineutrino conversion can only happen if lepton-number is violated: astrophysical probe of Majorana nature of neutrinos
- Resonant conversion possible in compact objects
- Next challenges:
 - computational implementation in more realistic SN model
 - map out regimes in which conversion is possible, as a function of absolute mass scale and Majorana phases
 - signatures in neutrino / antineutrino spectra and their impact on nucleosynthesis and SN signal on Earth

Backup

QKEs from Quantum Field Theory

Equations of motion
for Green Functions

$$\langle \nu_\alpha(x) \bar{\nu}_\beta(y) \rangle$$



Evolution equations for $F(x,p)$

$$f_{hh'}^{\alpha\beta}(x,p) \sim \langle a_\beta^\dagger(p,h') a_\alpha(p,h) \rangle$$

- Exploit hierarchy of scales: $L_{\text{osc}} \sim E/(\Delta m_\nu^2)$, L_{mfp} , $L_{\text{gradients}} \gg L_{\text{deBroglie}}$
- Work to 2nd order in small ratios ($E \sim T$):

$$m_\nu/E \sim \Delta m_\nu/E \sim \Sigma_{\text{forward}}/E \sim \partial_\chi/E \sim O(\varepsilon)$$

$$\Sigma_{\text{inelastic}}/E \sim O(\varepsilon^2)$$

Small
neutrino
(Δ)masses

Small potential induced
by forward scattering on matter
and other neutrinos

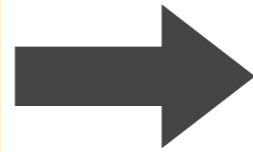
Slowly
varying
background

Weak
interaction
rates

QKEs from Quantum Field Theory

Equations of motion
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Evolution equations for $F(x,p)$

$$f_{hh'}^{\alpha\beta}(x,p) \sim \langle a_\beta^\dagger(p,h') a_\alpha(p,h) \rangle$$

- Advantages of this approach:
 - First principles method, forced us to think about L-R coherence
 - No guesses or fudging: diagrammatic computations in QFT (real time formalism) determine all terms of the QKEs
 - Systematic truncation based on power counting in ϵ 's

Survival probability for different E

